

# *Symmetry and Aesthetics in Contemporary Physics*

*CS-10, Spring 2016*

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**CLASS 9:**

**SYMMETRY: THE SEARCH CONTINUES**

# Looking for deeper symmetries and spontaneous symmetry breaking

$$S = \int dx \sqrt{g} \left[ \frac{1}{G} R + \frac{1}{g^2} F^2 + \bar{\psi} \mathcal{D} \psi + (D\varphi)^2 + V(\varphi) + \bar{\psi} \varphi \psi \right]$$

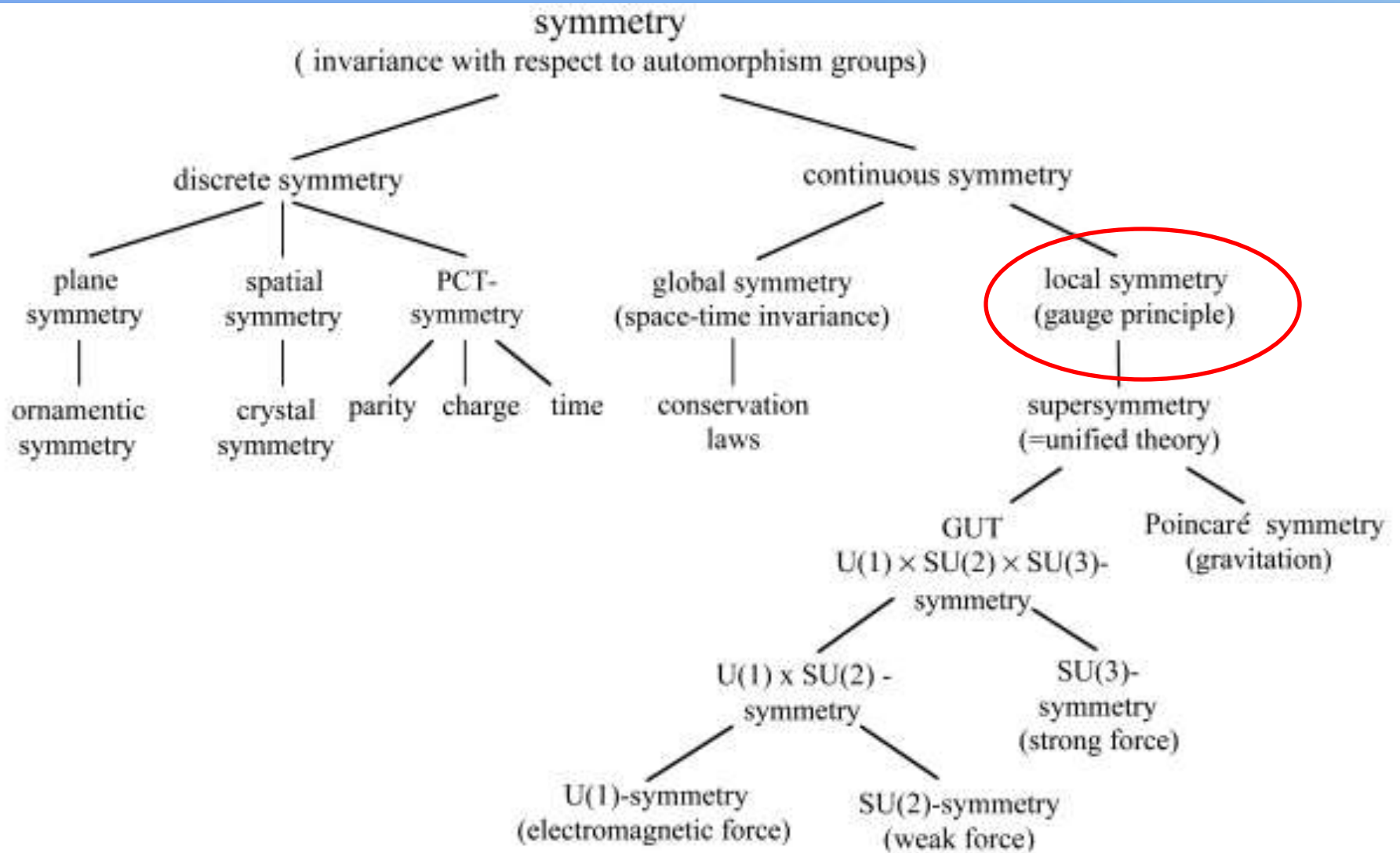


*mmmwah!*



**p. 111: To say that physics possesses a certain symmetry, is to say that the Action is invariant under the transformation associated with that Symmetry.**

***Action: path of stable energy, invariant to rotations,  
path of maximum proper time***



**Figure 9.** Classification of symmetry

**A gauge theory is a type of field theory in which the Lagrangian is invariant under a continuous group of local transformations – i.e., depend on spacetime.**

- When the symmetry group depends on spacetime, it is called a *local symmetry*.
- The continuous symmetry that depends on spacetime is called a *gauge group*.
- The transformation that depends on spacetime is called a *gauge transformation*.

**Yang-Mills Theory: a gauge theory in which a *field* is defined everywhere in space, mediated by the exchange of *virtual particles***

$$\Delta E \Delta t \leq \hbar$$

...but first, a short excursion into  $SO(n)$  and  $SU(n)$ :

**Special Orthogonal Groups of order  $n$ :  $SO(n)$  are defined:**

$O^T O = 1$  and  $\det O = 1$  and has  $n(n-1)/2$  degrees of freedom

The group  $SO(n)$  consists of rotations in  $n$ -dimensional Euclidean space, represented by  $n$ -dimensional tensors.  $SO(n)$  represents GLOBAL symmetries that are independent of spacetime.

**$SO(2)$ :**

for a counter-clockwise rotation:

$$R(\theta) = \begin{pmatrix} \sin \theta & \cos \theta \\ -\cos \theta & \sin \theta \end{pmatrix}$$

**$SO(3)$ :**

for 3 dimensions, just add the z-axis:

$$R(\varphi) = \begin{pmatrix} \sin \varphi & \cos \varphi & 0 \\ -\cos \varphi & \sin \varphi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

## Rotations in spacetime are SO(4)

DEFINE:

$$\gamma = \frac{1}{\sqrt{\left(1 - \frac{v^2}{c^2}\right)}}$$
$$\beta = \frac{v}{c}$$

or,  $v = \beta c$

$$x' = \gamma(x - vt)$$
$$t' = \gamma\left(t - \frac{\beta x}{c}\right)$$

**Lorentz Transformation: the rule that translates between inertial reference frames in spacetime**

For motion along the x-axis:

$$\begin{bmatrix} x' \\ y' \\ z' \\ t' \end{bmatrix} = \begin{bmatrix} \gamma & 0 & 0 & -\gamma\beta c \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -\frac{\gamma\beta}{c} & 0 & 0 & \gamma \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ t \end{bmatrix}$$

**Global symmetry in Minkowski spacetime (no mass, no gravity)**



**SU(n): Special Unitary groups of order n**

***complex unitary matrices (det U = 1)***

**SU(n) represent LOCAL symmetries that DO depend on local variations in spacetime.**

**Examples: GR and symmetries in particle physics which depend on local FIELDS, i.e. *gauge theories***

# Examples of Gauge Theories

## Quantum Electro-Dynamics

QED has the gauge group =  $U(1)$  E&M.

The number of gauge fields is  $\dim(U(1)EM) = 1$ .

This gauge field is the photon.

It couples to charged leptons and quarks.

Does SSB occur: No. So the photon remains massless.

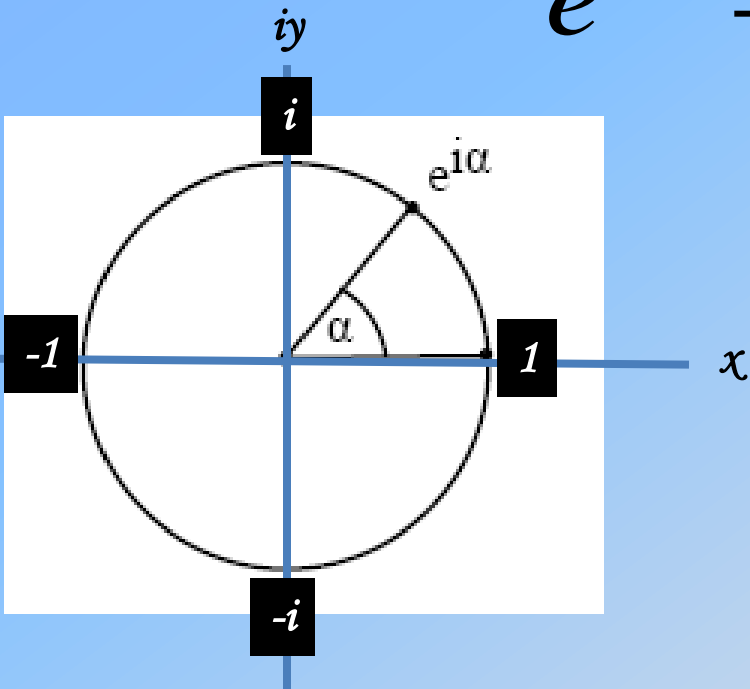




**U(1) is the group of all possible phase multiplications  $e^{i\alpha}$**

$$\Psi(x) \rightarrow e^{i\alpha} \Psi(x) \quad ; \quad \bar{\Psi}(x) \rightarrow e^{-i\alpha} \bar{\Psi}(x)$$

$$e^{i\alpha} = \cos \alpha + i \sin \alpha$$



## Examples of Gauge Theories : Quantum Chromo-Dynamics

QCD has the gauge group  $SU(3)_{\text{color}}$ . A gauge transformation is  $U \in SU(3)_{\text{color}}$ . QCD offers a new way of thinking about matter.

Every quark field of flavor  $f$ , say  $f(x)$ , has an associated color of red, green or blue. Define

$$\chi^f(x) = \begin{pmatrix} \Psi^f_{\text{red}}(x) \\ \Psi^f_{\text{green}}(x) \\ \Psi^f_{\text{blue}}(x) \end{pmatrix}$$

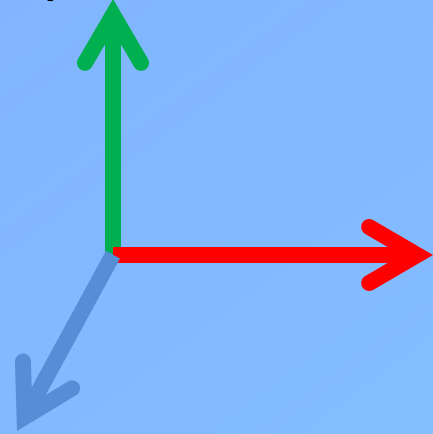
Construct the gauge-invariant Lagrangian:

$$\mathcal{L} = \bar{\chi}^f (i\gamma^\mu D_\mu - m) \chi^f$$

This Lagrangian must be invariant to 'rotations' in  $SU(3)$ .

There are  $3^2 - 1 = 8$  degrees of freedom, which are the 8 gluon fields.

quark color space



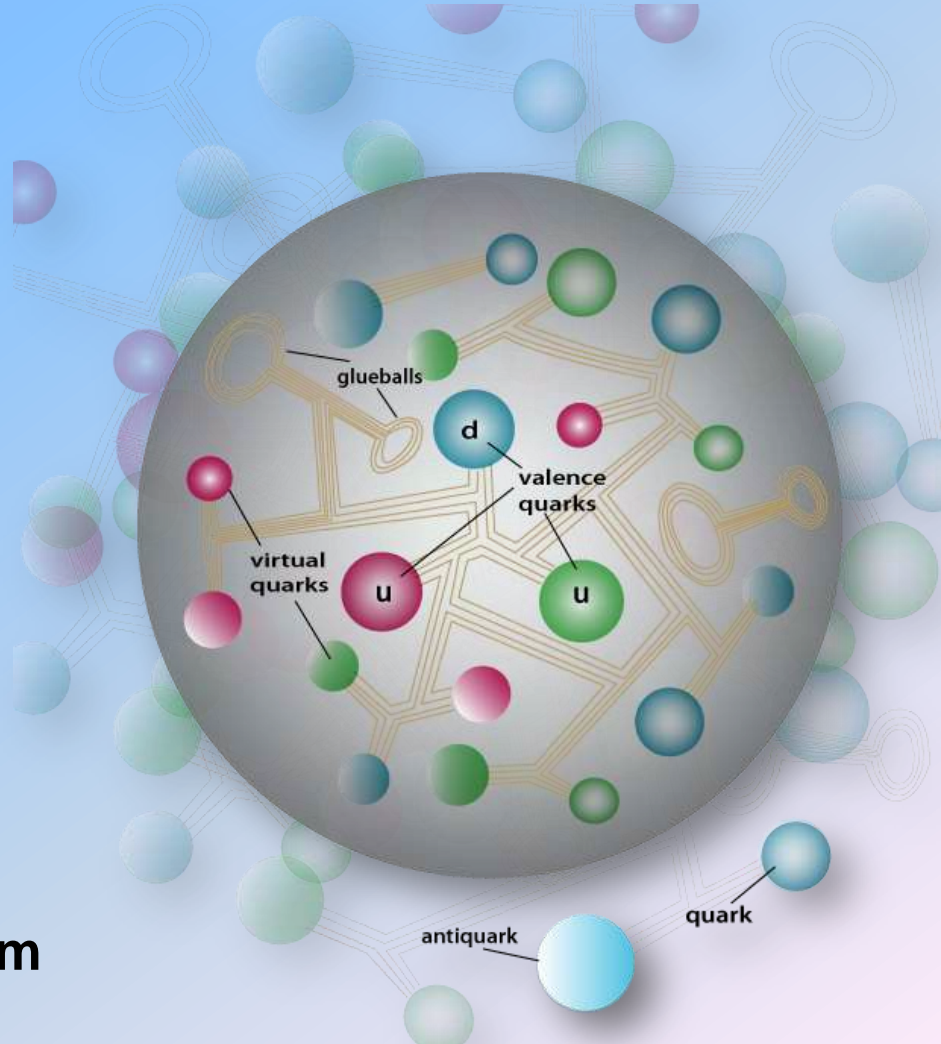
$$r = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$g = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

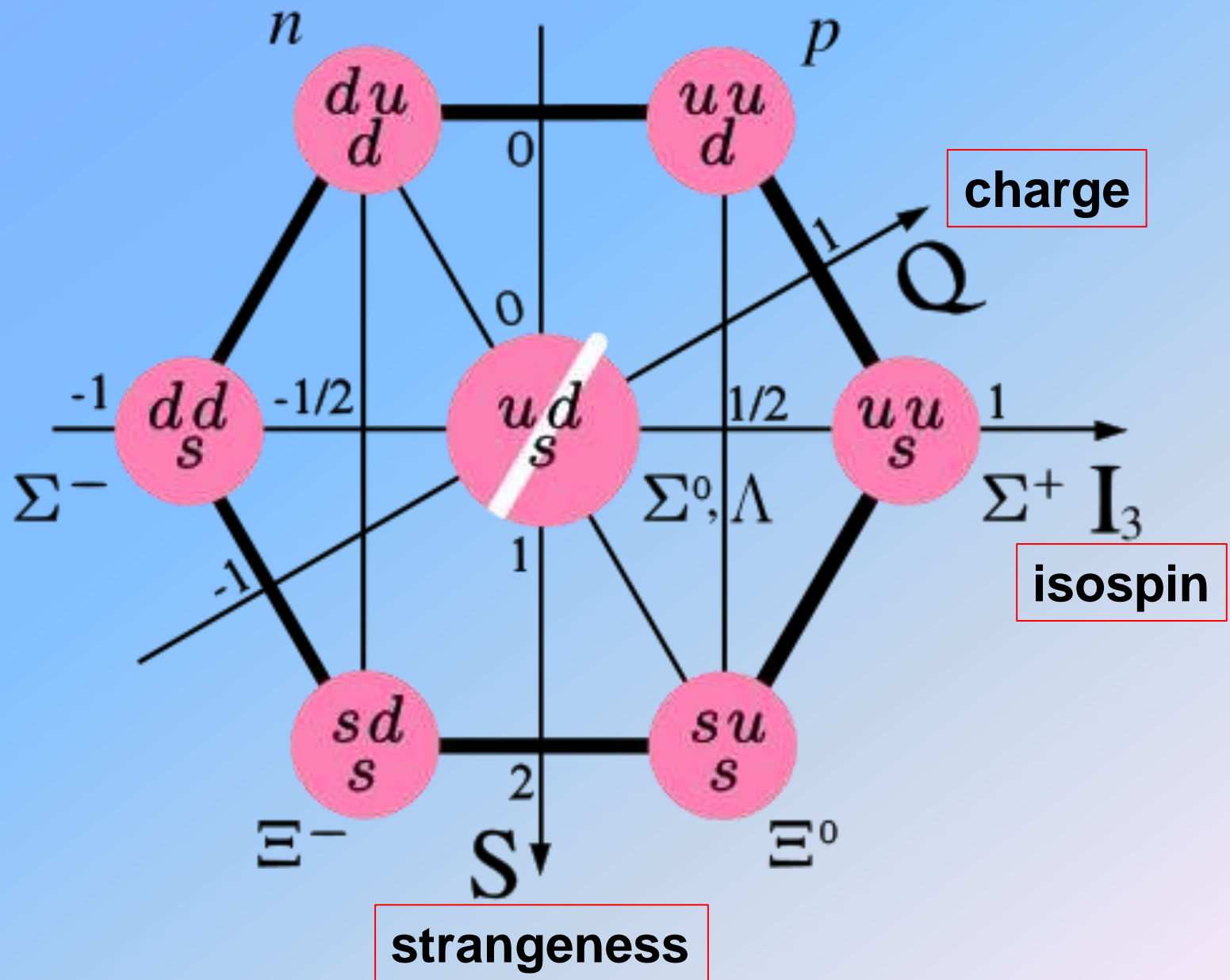
$$b = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

color symmetry of quarks is an exact symmetry : each quark can be transformed into a different 'color' quark

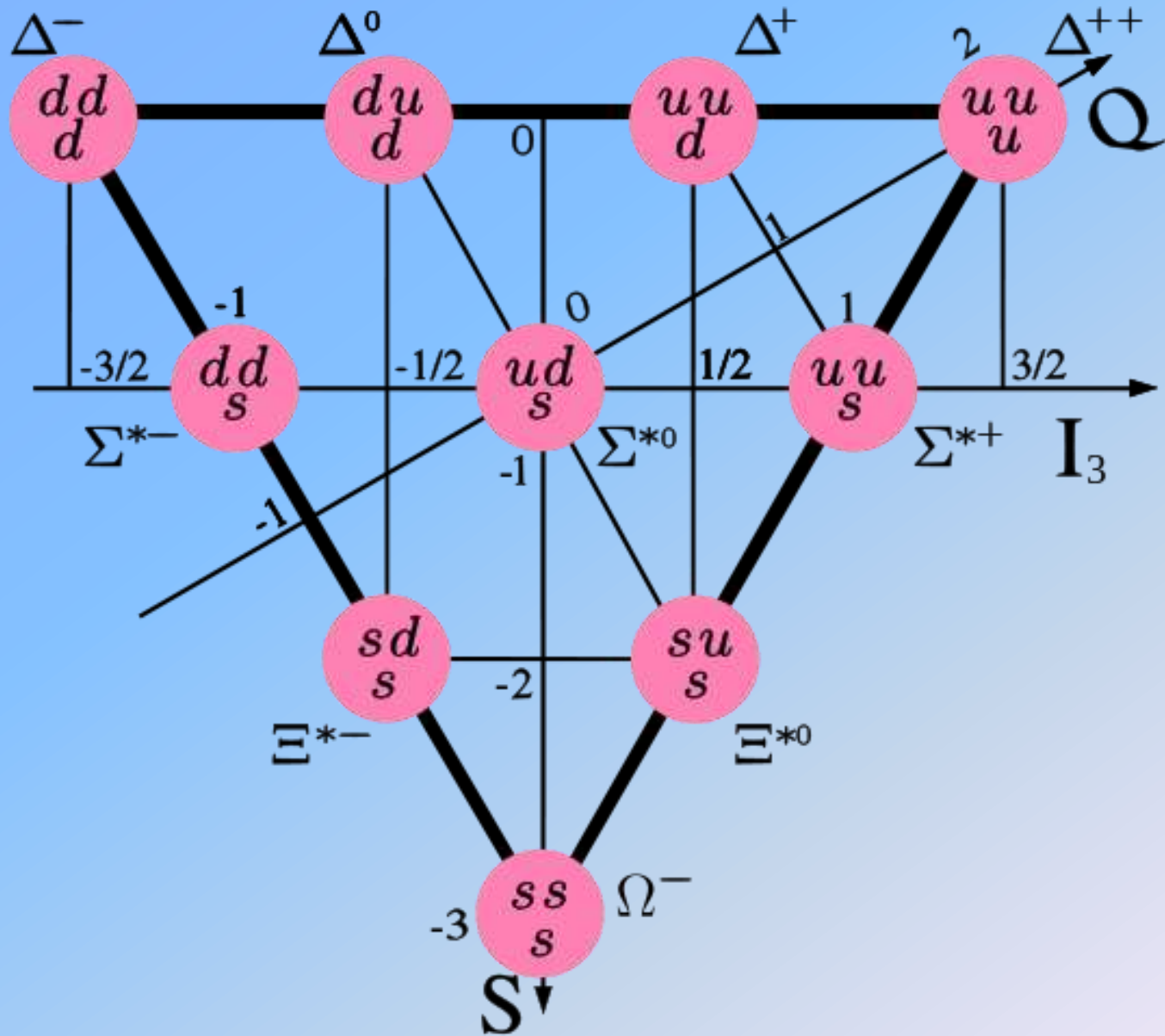
Only around 2% of the mass of the proton comes from the three valence quarks. The rest comes from the gluon field and virtual quarks.



# “Eightfold Way” representation of the spin 1/2 baryons



# almost symmetries of the spin 3/2 baryons



The Electro-Weak theory has the gauge group  $SU(2)_{\text{left}} \times U(1)_{\text{hypercharge}}$ . The number of gauge fields is equal to  $\dim(SU(2)_{\text{left}} \times U(1)_{\text{hypercharge}}) = 4$ . The gauge fields are the  $W_{\mu}^a$ ,  $B_{\mu}$ ,  $a = 1, 2, 3$ . Does SSB occur? Yes.

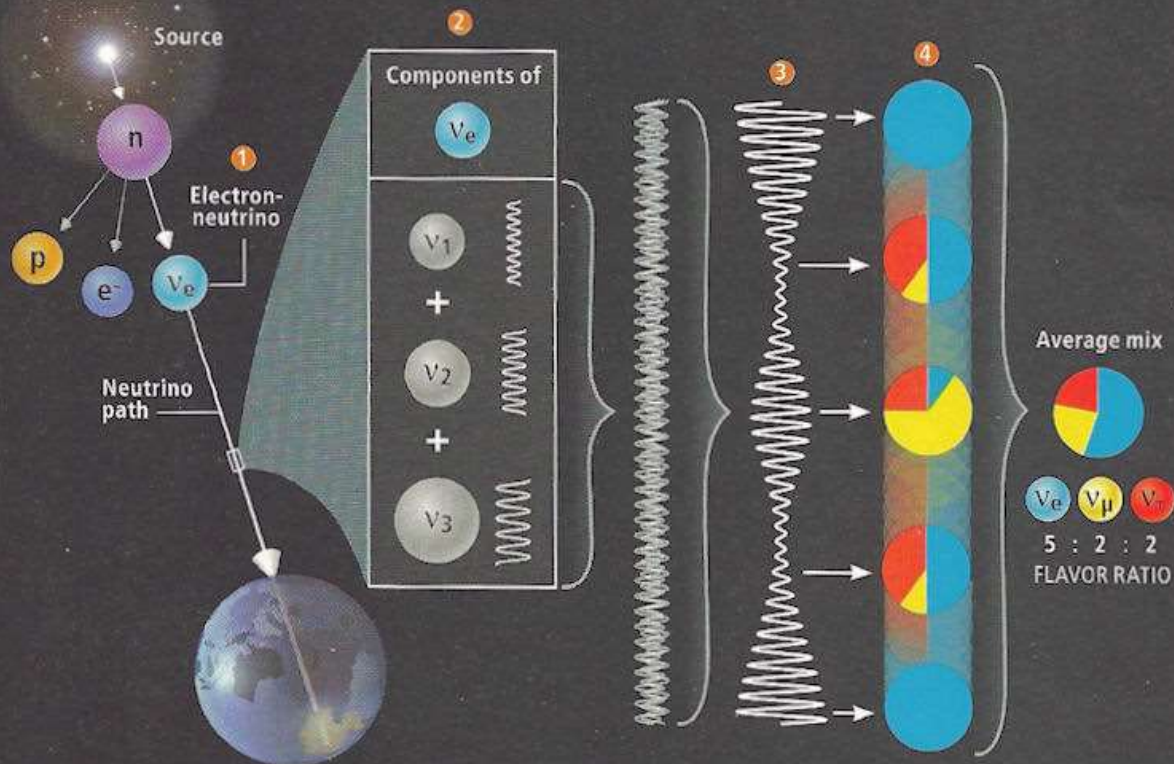
After SSB, the massive gauge fields are called  $W_{\mu}^{\pm}$ ,  $Z_{\mu}^0$  while the massless gauge field is called the photon.

The  $W_{\mu}^{\pm}$  couple to left handed matter causing flavor changing processes like beta decay, the  $Z_{\mu}^0$  couples to all particles and the photon couples to charged matter.



## FLAVOR OSCILLATIONS

When created or detected, a neutrino has a specific flavor. For instance, the beta decay of a neutron creates an electron-neutrino ①. This neutrino has no specific mass but is a mix of all three possibilities—represented by a sum of three waves with different wavelengths ②. As the neutrino propagates, the waves become misaligned, so they no longer add up to the original flavor but to some mix of all three flavors ③. The mix varies as the neutrino travels ④. Here the average mix is 5:2:2—which means a detector has a five-ninths chance of seeing it as an electron-neutrino and a fourth-ninths chance as a muon- or a tau-neutrino.

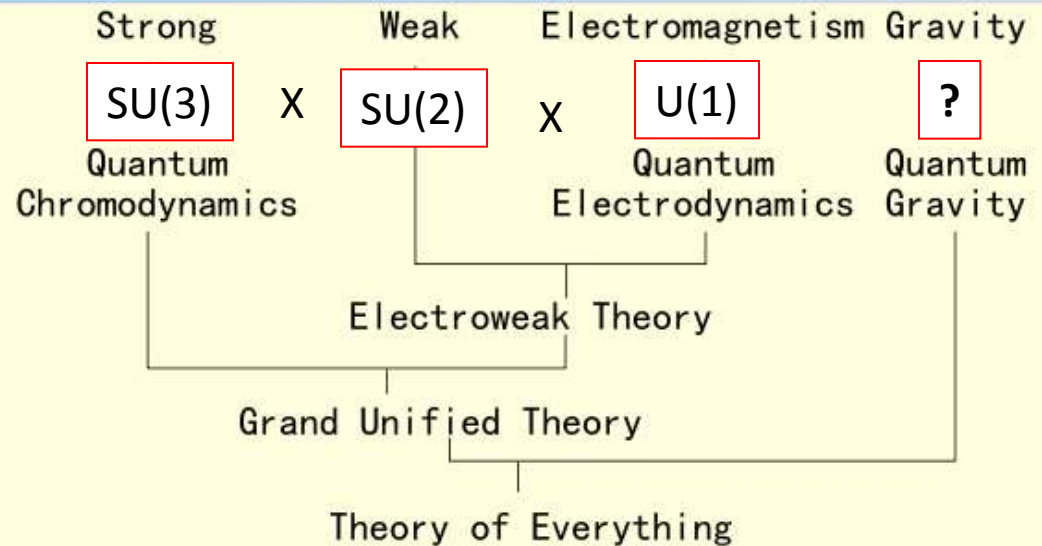
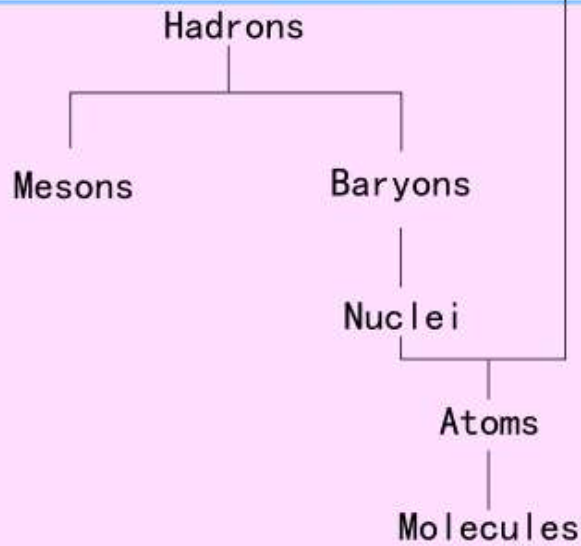
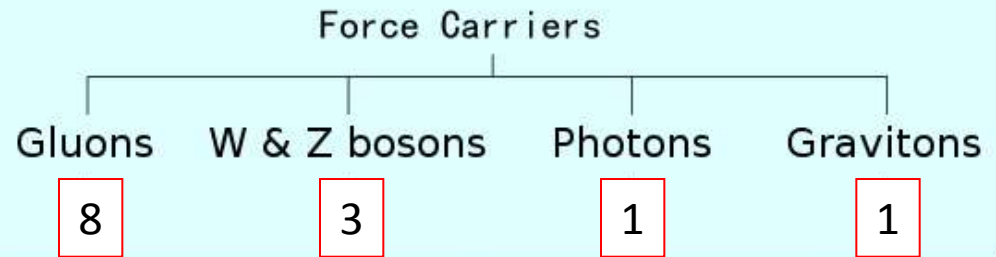
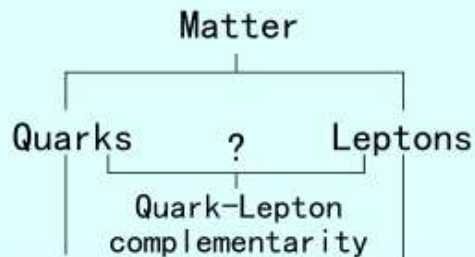


and flavor  
oscillations  
among neutrinos

FLAVOR MIXES	Source	Ratios at Source	Ratios at Earth
Astrophysical processes produce distinctive flavor mixes, which astronomers can deduce by accounting for the metamorphosis. Muon- and tau-neutrinos always arrive in equal proportions, a consequence of their intrinsic symmetry.	Neutron decay	$1\nu_e:0\nu_\mu:0\nu_\tau$	$5\nu_e:2\nu_\mu:2\nu_\tau$
	Pion decay (complete)	1:2:0	1:1:1
	Pion decay (incomplete)	0:1:0	4:7:7
	Dark matter decay (example)	1:1:2	7:8:8
	Spacetime foam	Any	1:1:1
	Neutrino decay ( $\nu_1$ lightest)	Any	4:1:1
	Neutrino decay ( $\nu_3$ lightest)	Any	0:1:1

# THE STANDARD MODEL AT THE END OF THE 20<sup>TH</sup> CENTURY

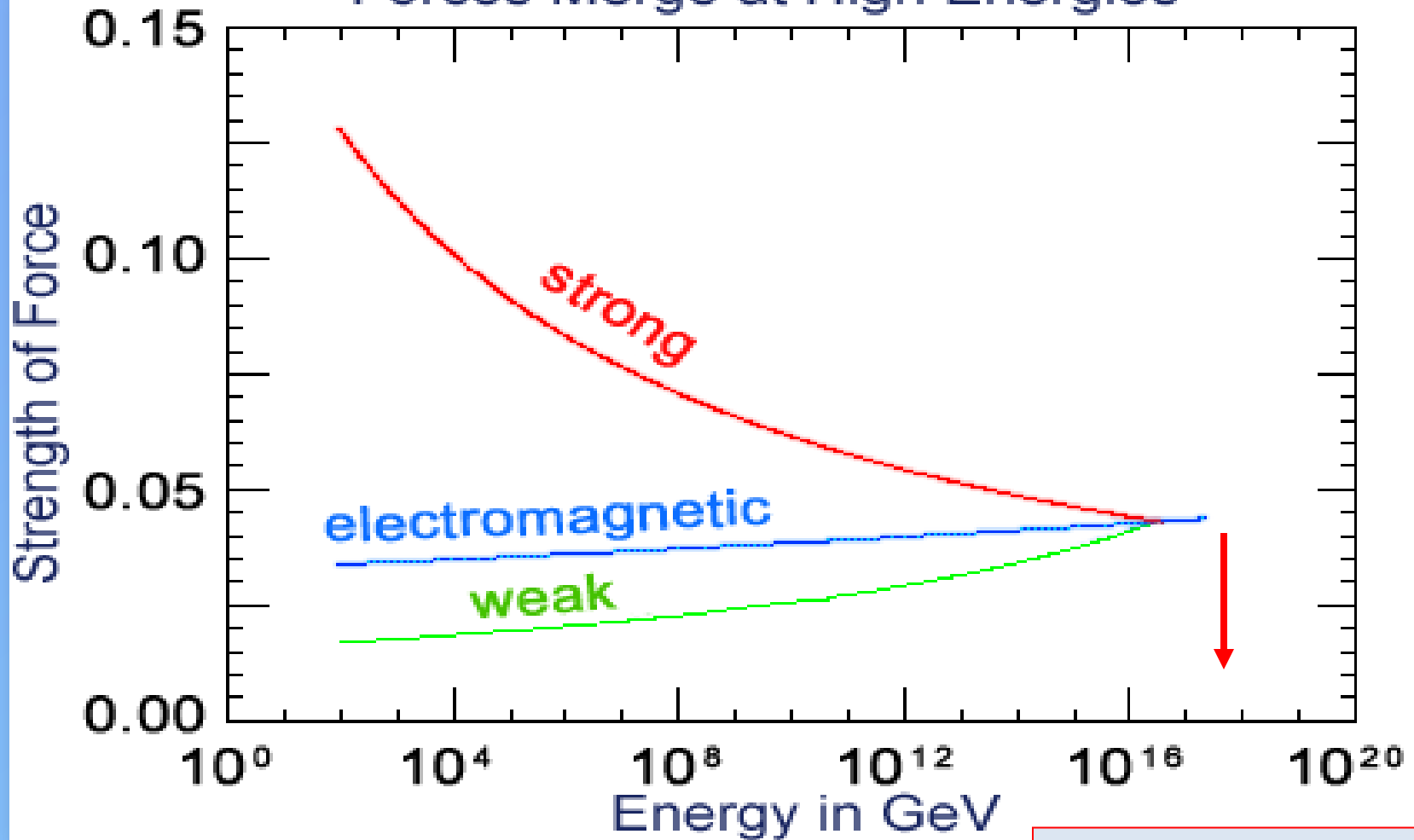
## *Elementary Particles*



## *Composite Particles*

## *Forces*

## Forces Merge at High Energies



GUT scale:  $t \sim 10^{-43}$  sec,  
 $E \sim 10^{19}$  GeV

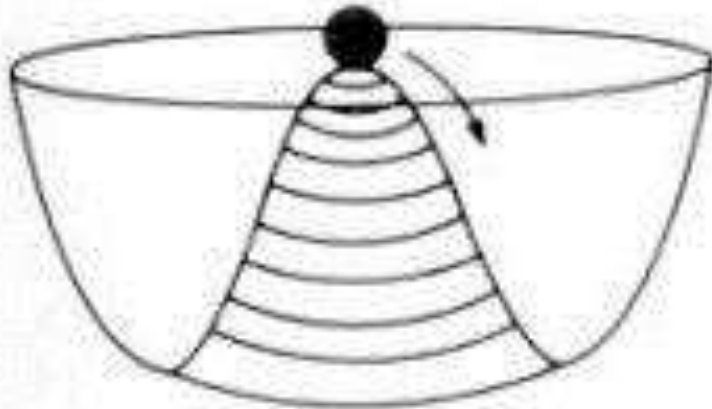
The four different forces are now seen as broken symmetries in a low-energy universe, reduced from a higher symmetry at higher energies in the first instants after “creation.”



***Almost symmetries:*** A symmetric theory can have asymmetric consequences. For example, the equations of a ball and the wheel of a roulette are symmetric with respect to the rotation axis, but the ball always keeps lying in an asymmetric position.

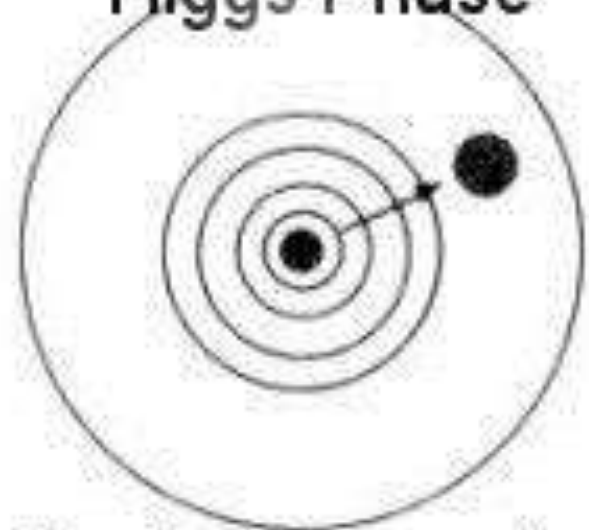


## Symmetric Phase



Unstable Symmetry

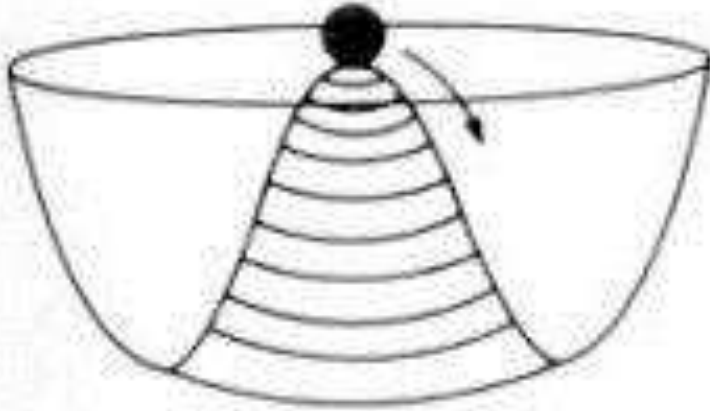
## Higgs Phase



Broken Symmetry

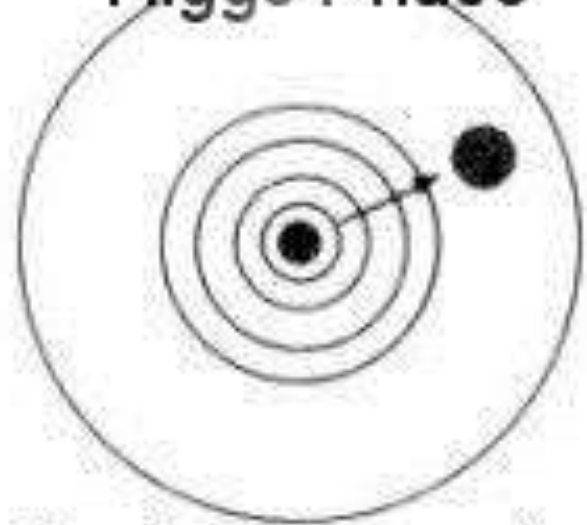
The symmetric state is not the state of minimum energy, i.e., the ground state, and in the process of evolving towards the ground state, the intrinsic symmetry of the system has been broken.

## Symmetric Phase



Unstable Symmetry

## Higgs Phase



Broken Symmetry

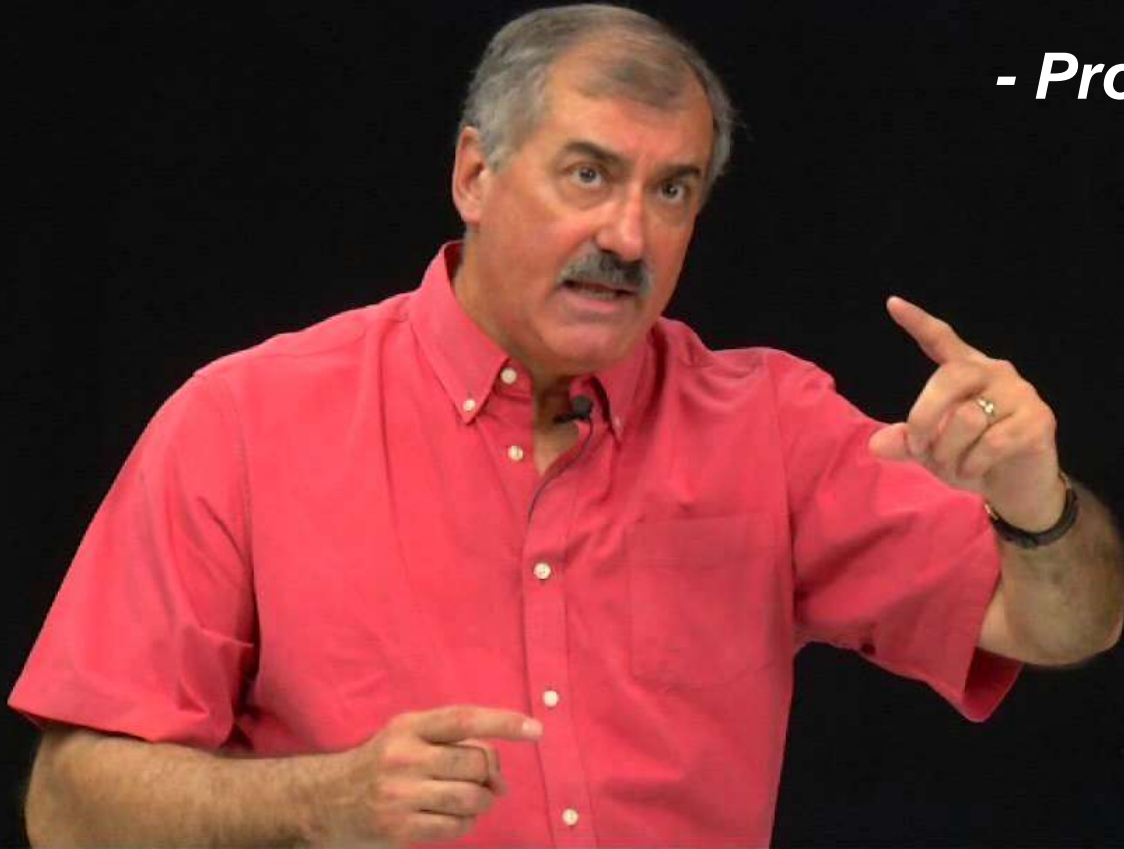
The symmetric state is not the state of minimum energy, i.e., the ground state, and in the process of evolving towards the ground state, the intrinsic symmetry of the system has been broken.

**A small perturbation will cause the rotational symmetry to be broken and the system to assume the ground state configuration.**

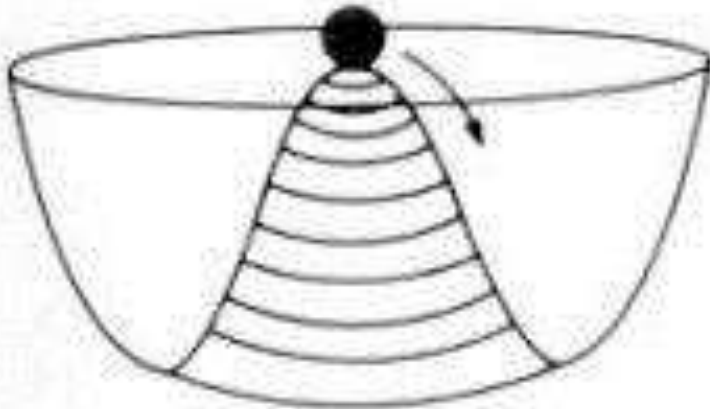


**Nothing is unstable!**

**- Professor Rocky Kolb  
Fermilab, 1995  
personal  
communication**

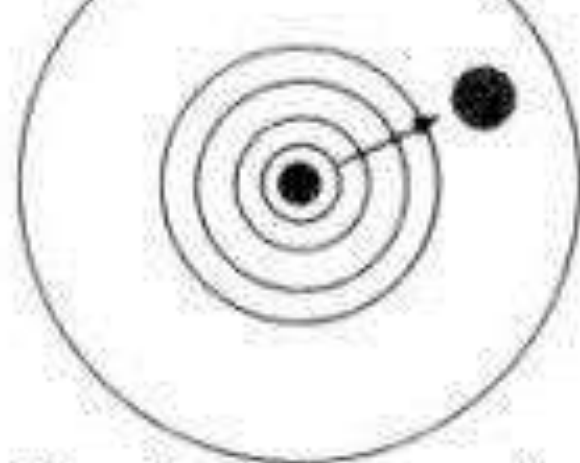


## Symmetric Phase



Unstable Symmetry

## Higgs Phase



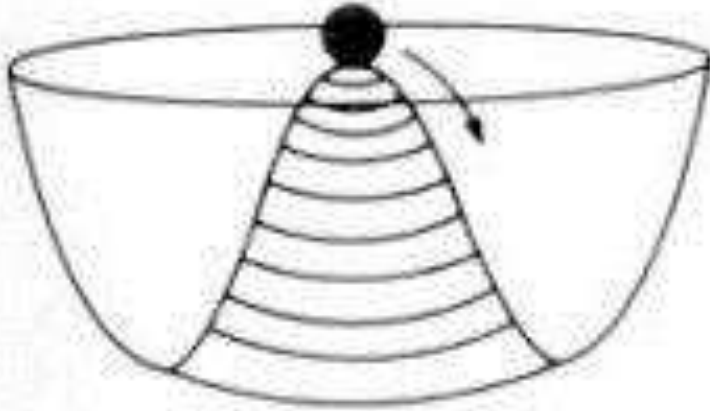
Broken Symmetry

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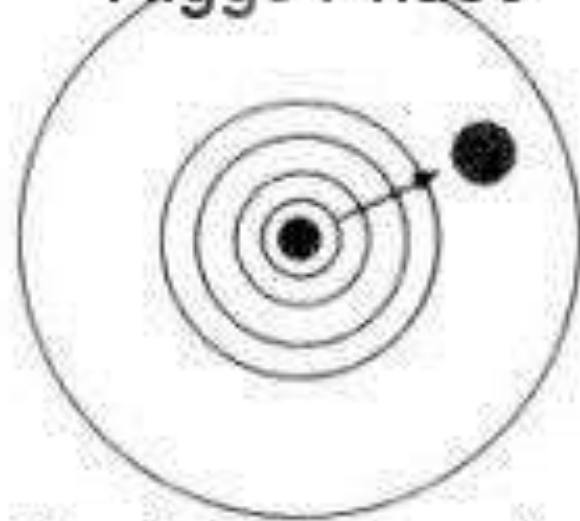
When the symmetry of a physical system is broken in this way, it is often referred to as "spontaneous symmetry breaking" (SSB).

## Symmetric Phase



Unstable Symmetry

## Higgs Phase



Broken Symmetry

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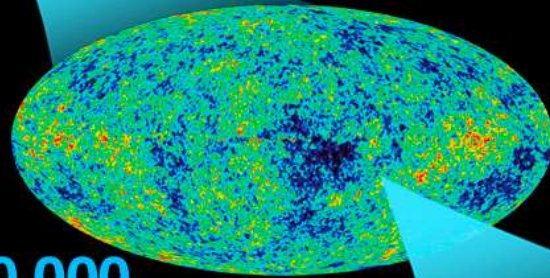
***And this is how our current cosmological model explains how the universe came into existence!***

**DAWN  
OF  
TIME**



**tiny fraction  
of a second**

**inflation**



**380,000  
years**



**13.7  
billion  
years**



# t=0 – “The Big Bang” Is there a “before”?

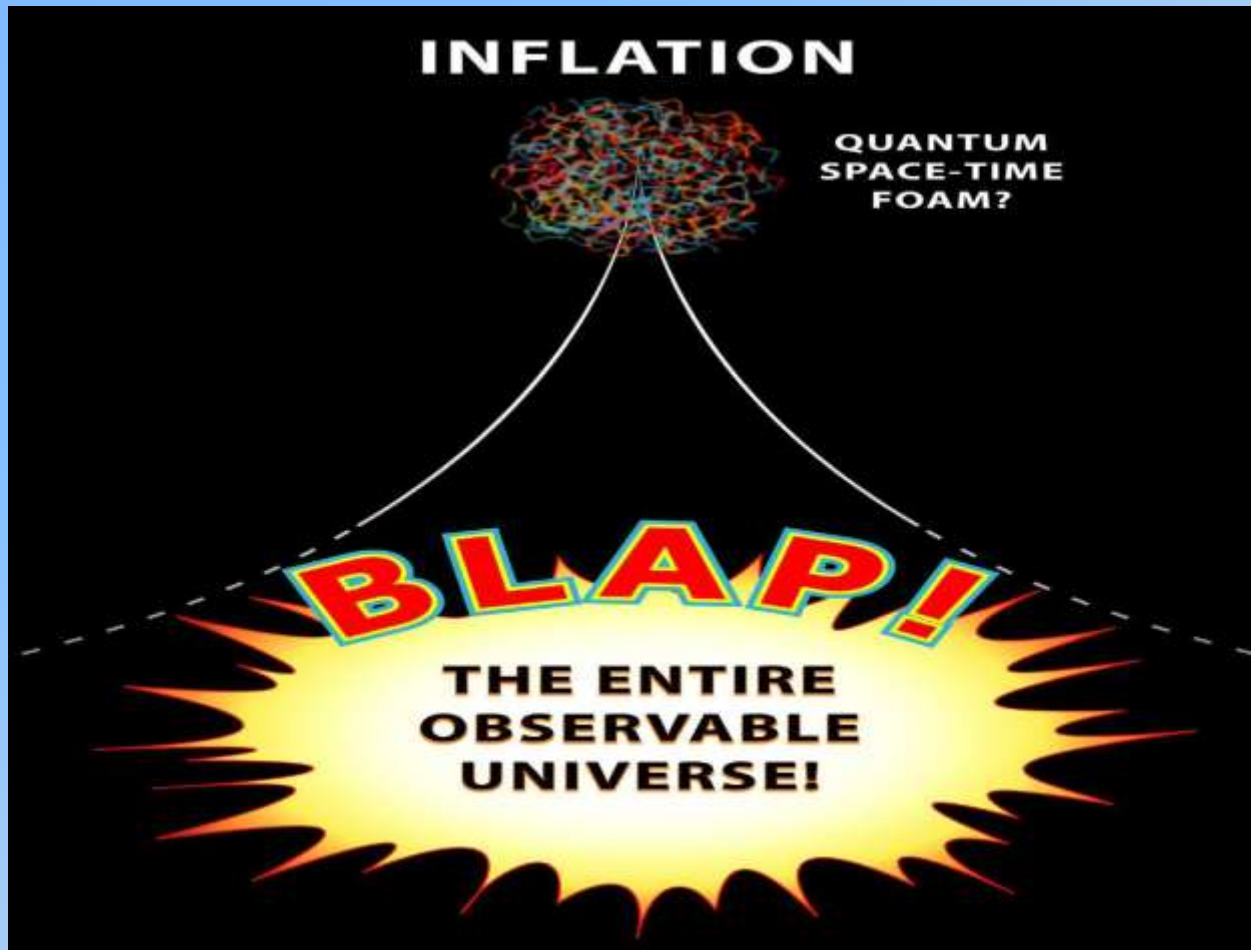


<http://planck.cf.ac.uk/timeline/universe/bigbang>

Quantum Space Time ->

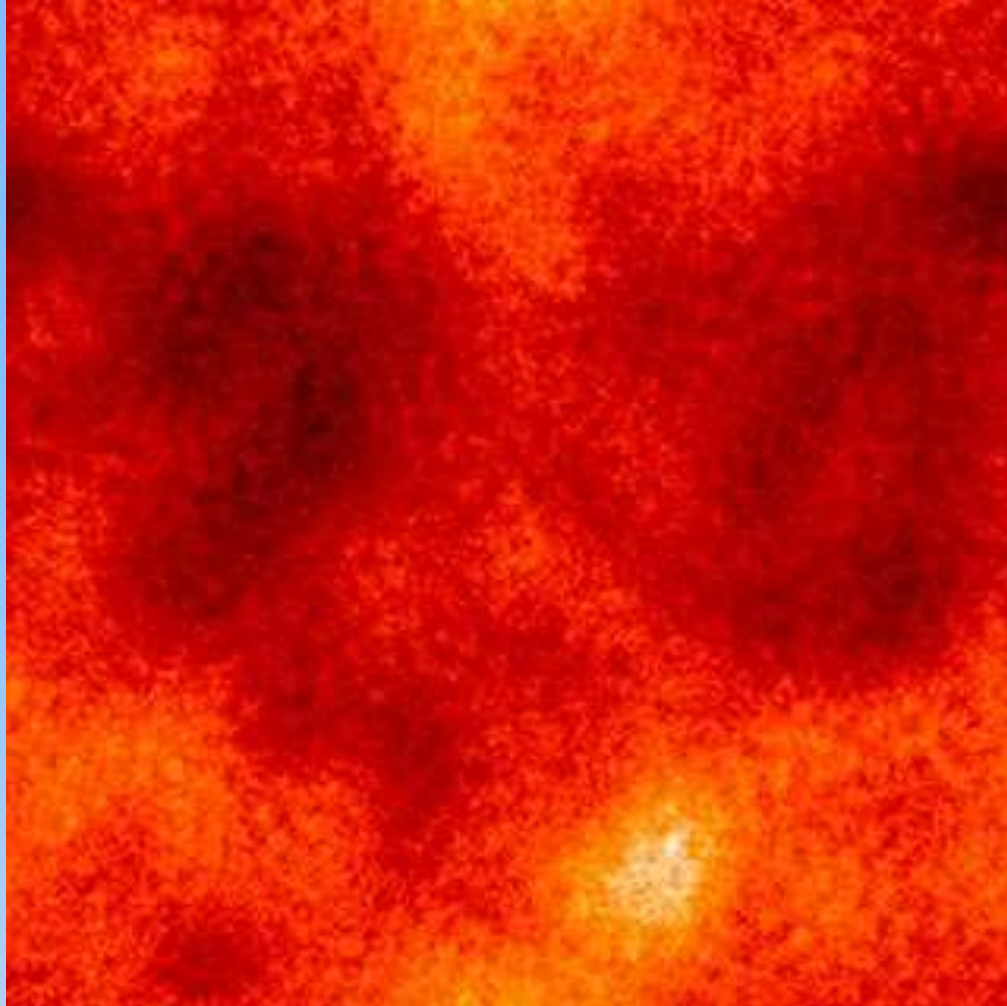
Inflation - Hyperexpansion?

in  $10^{-35}$  sec there was  $10^{60}$  Times Expansion





# First Three Minutes – Baryogenesis and Nucleosynthesis

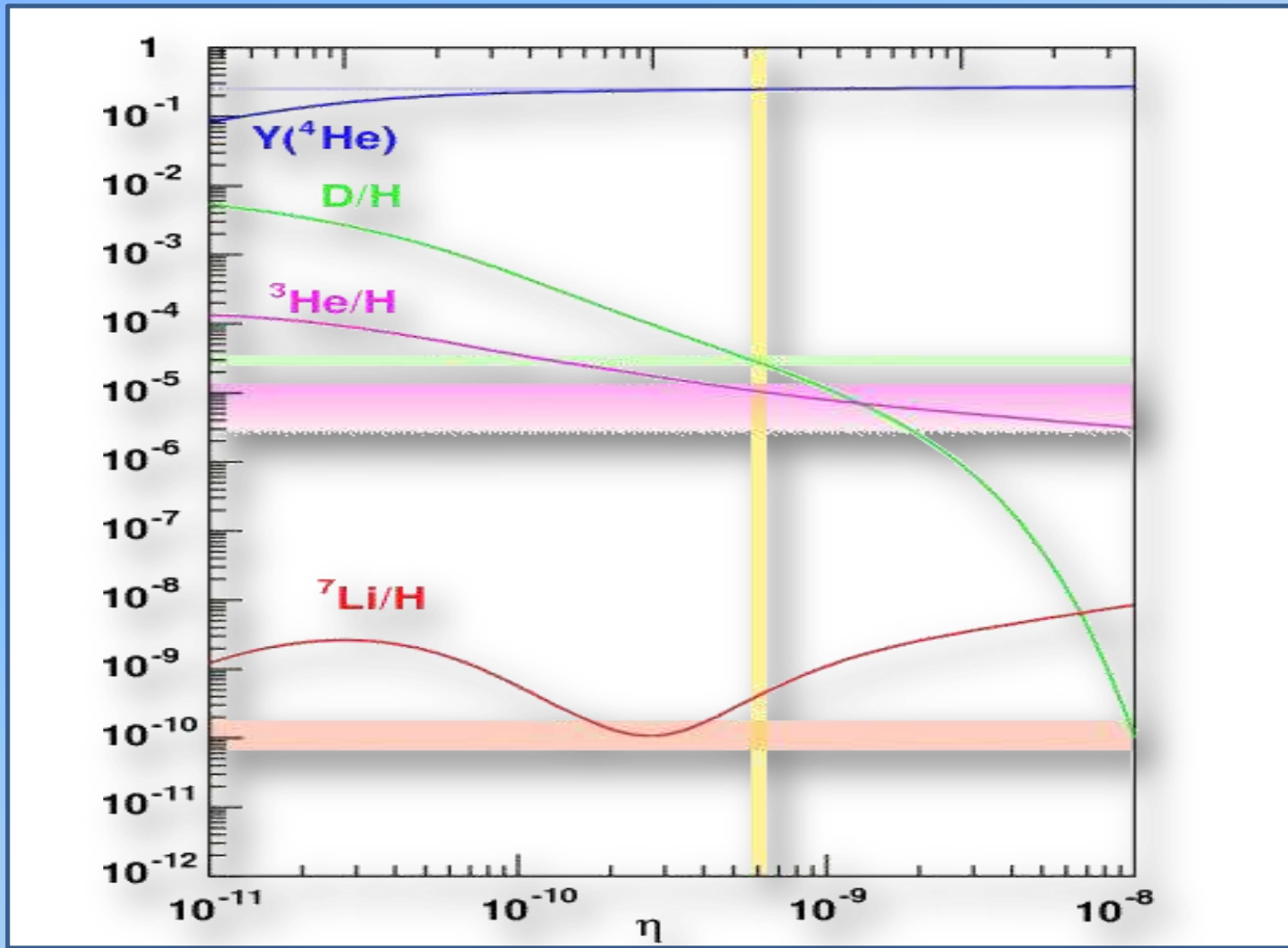


# Nucleosynthesis depends on Proton to Photon ratio

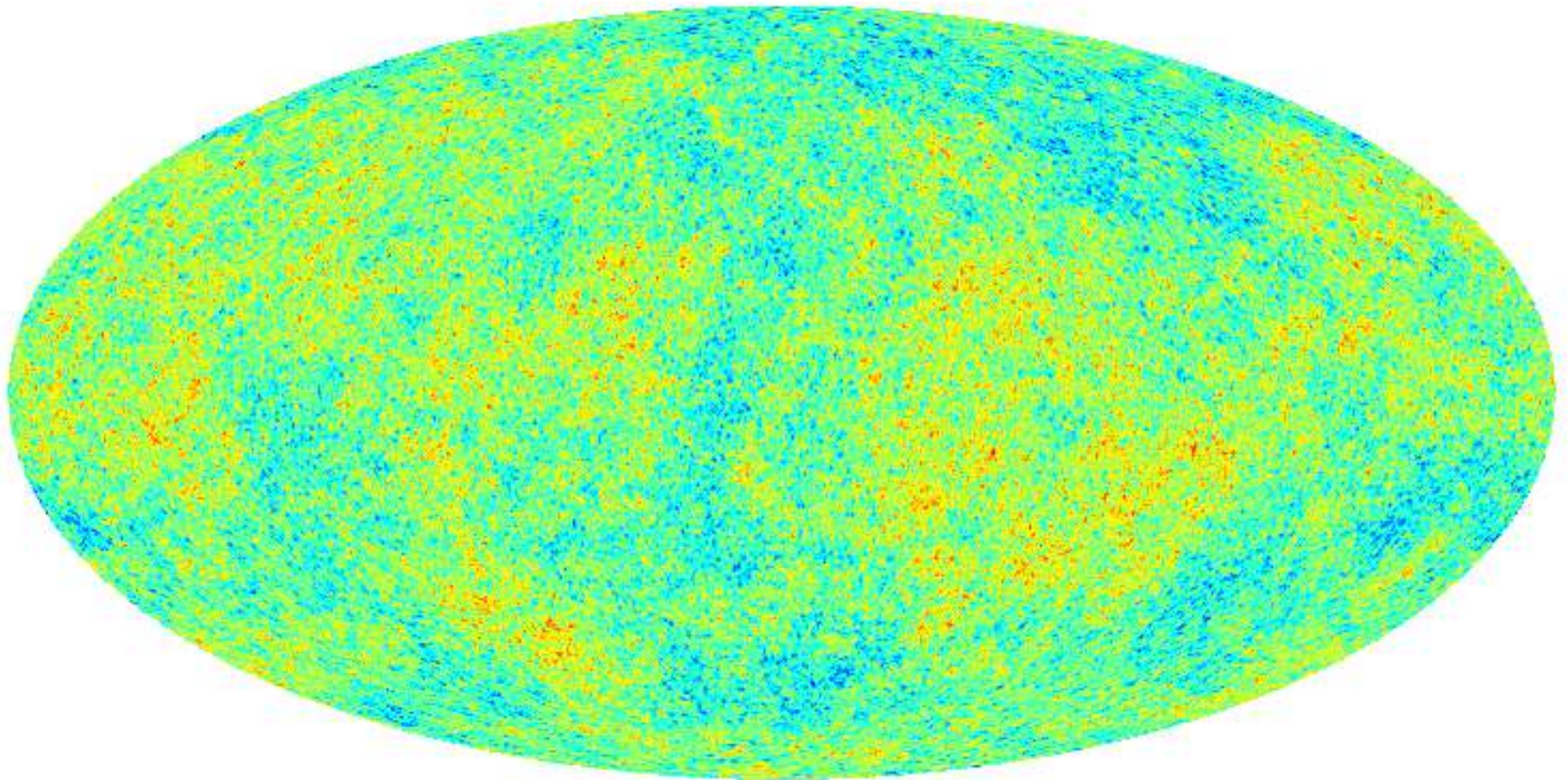
$\eta$

(you are alive because there are no stable mass 5 or 8 elements)

Vertical axis is mass ratio



400 Kyr – Ionized to Neutral – Thomson to  
Rayleigh Scattering  
Opaque to Clear - “The CMB”





# 400 Kyr to 400 Myr - The “Dark Ages”

The Universe is largely Neutral but no stars yet –

Baryonic collapse in progress

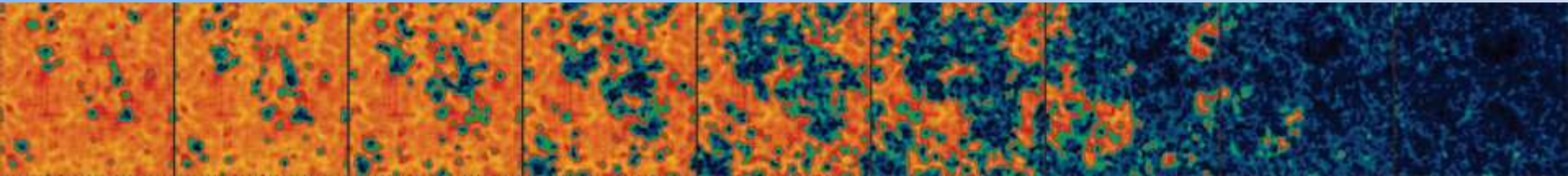
simulation rendition– WMAP team



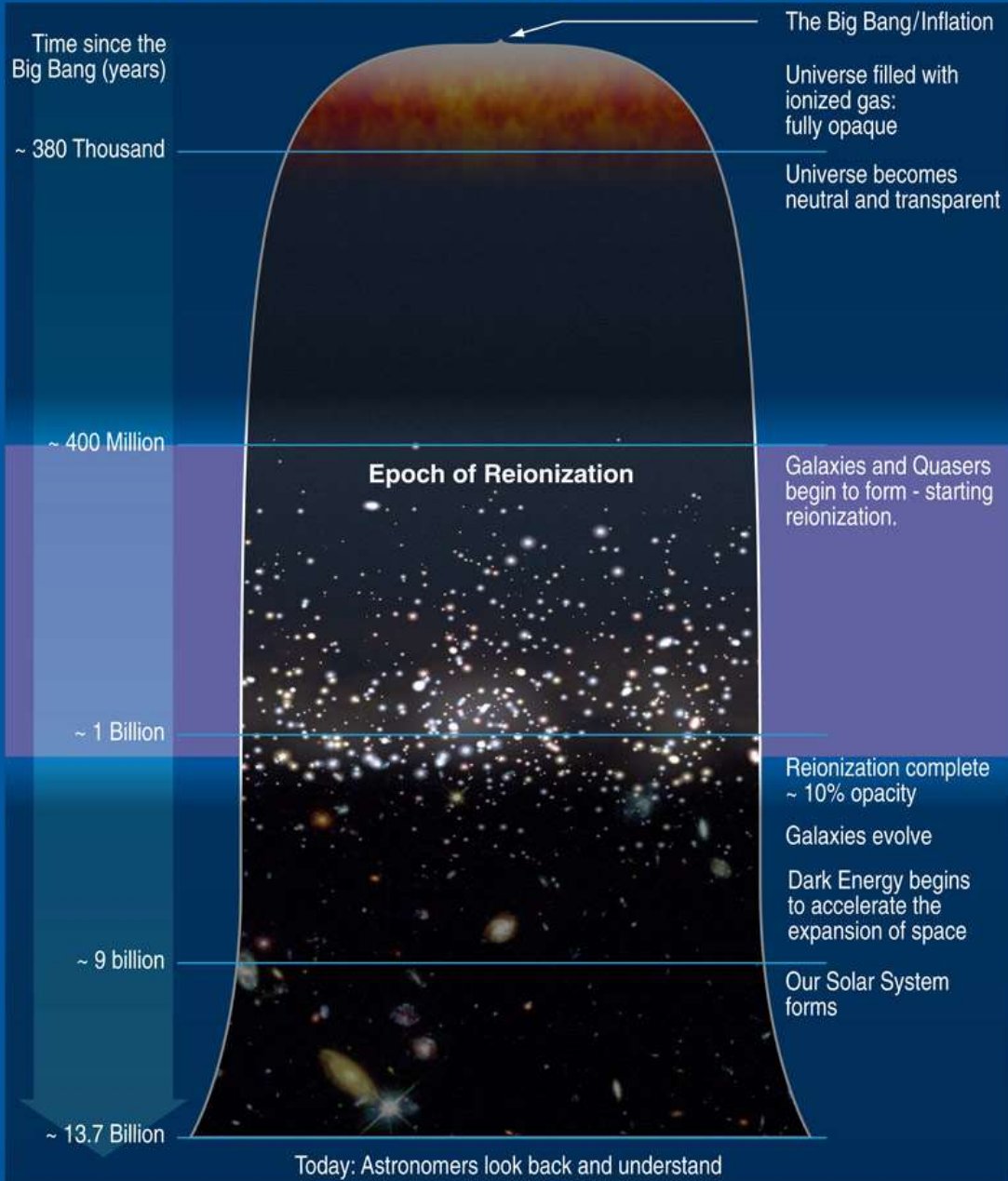
The Universe goes from an Ionized Plasma to  
Neutral to Ionized  
Approx 0.4 Gyr

The First Stars Reionize the Universe

B. Ciardi – Nature 2006 - simulation

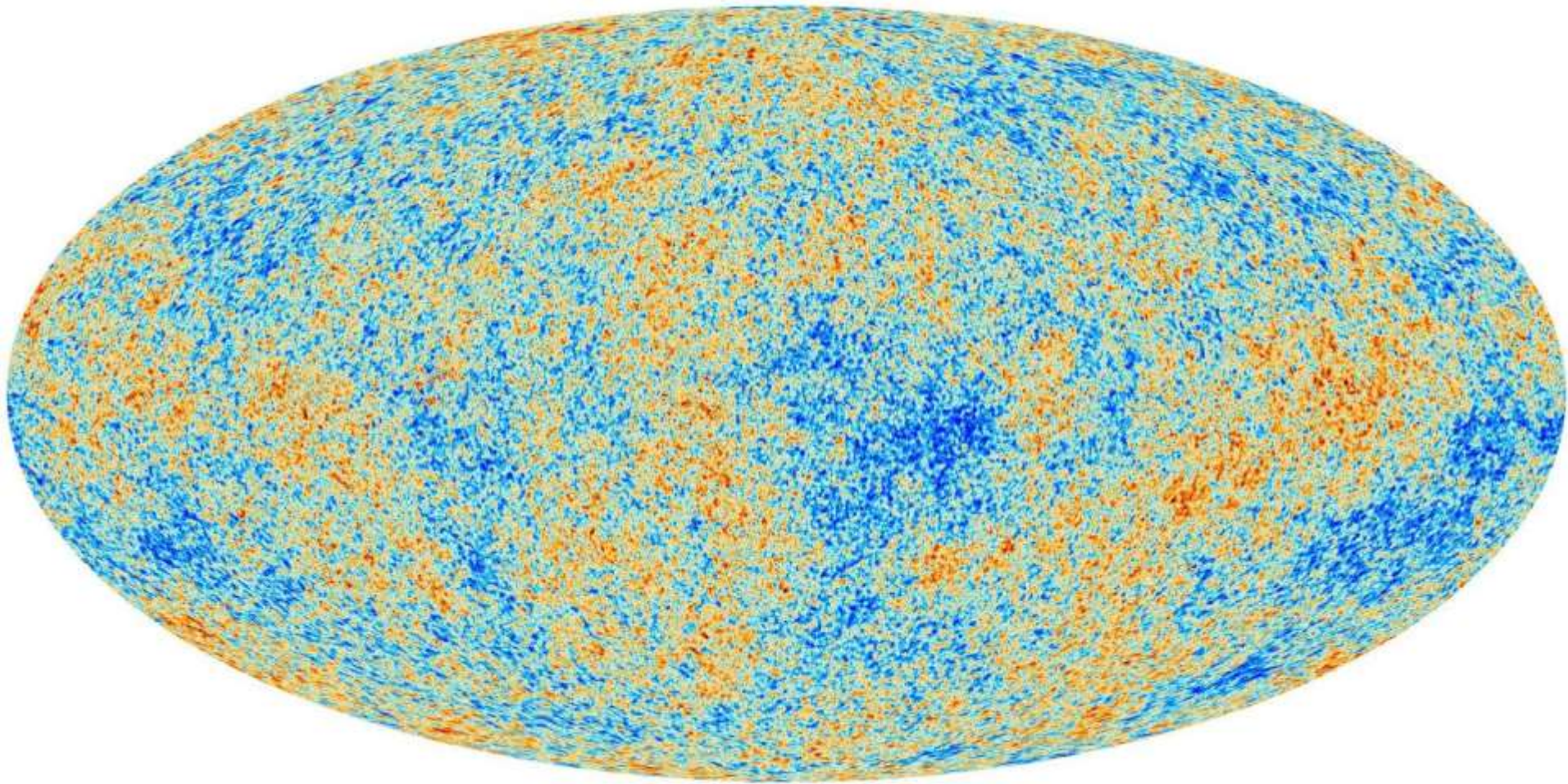


# First Stars and Reionization Era

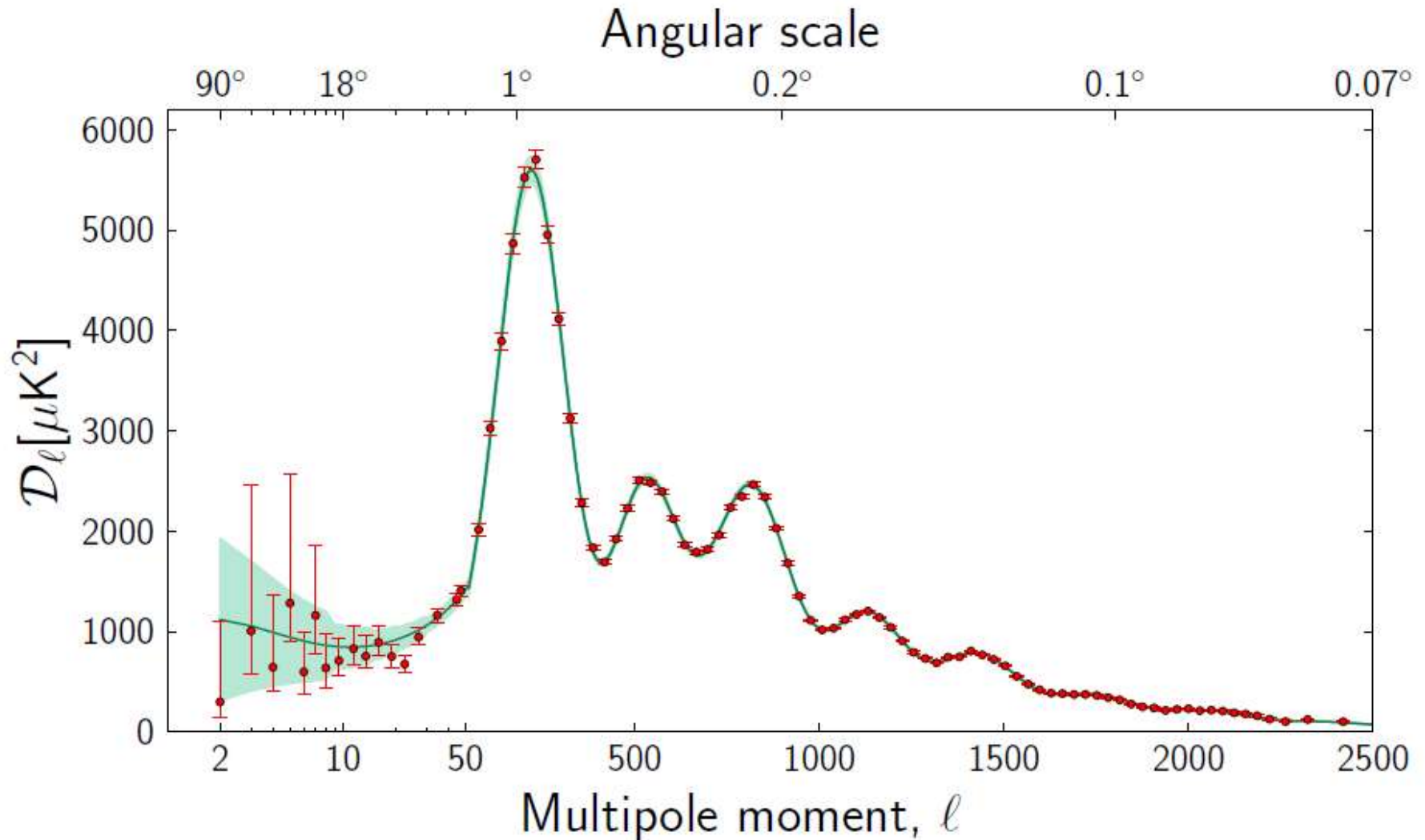




Foreground removed CMB  
fossil light from the edge of the  
visible universe



# CMB temperature power spectrum

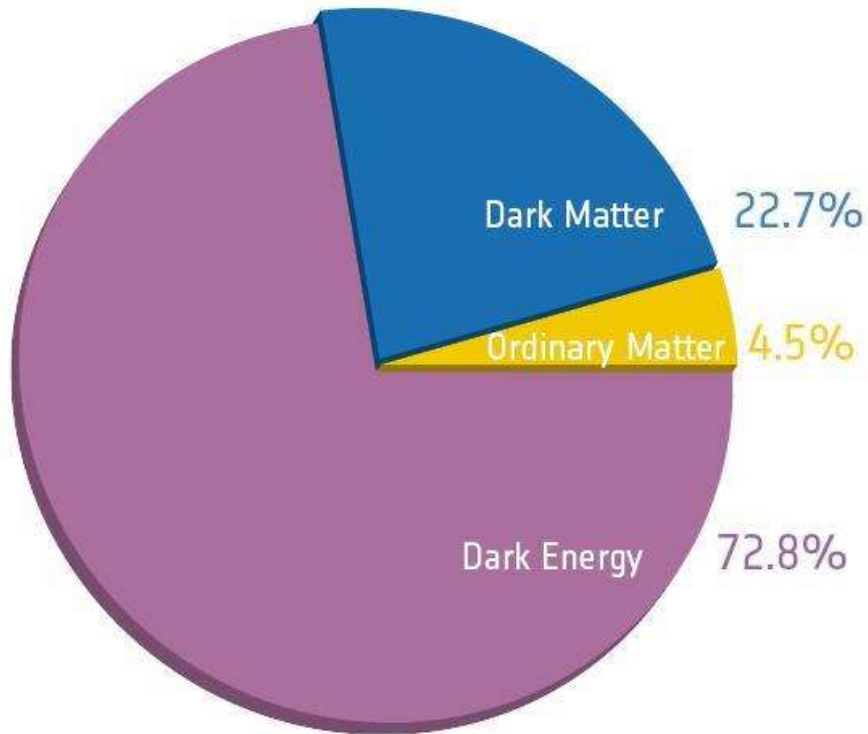




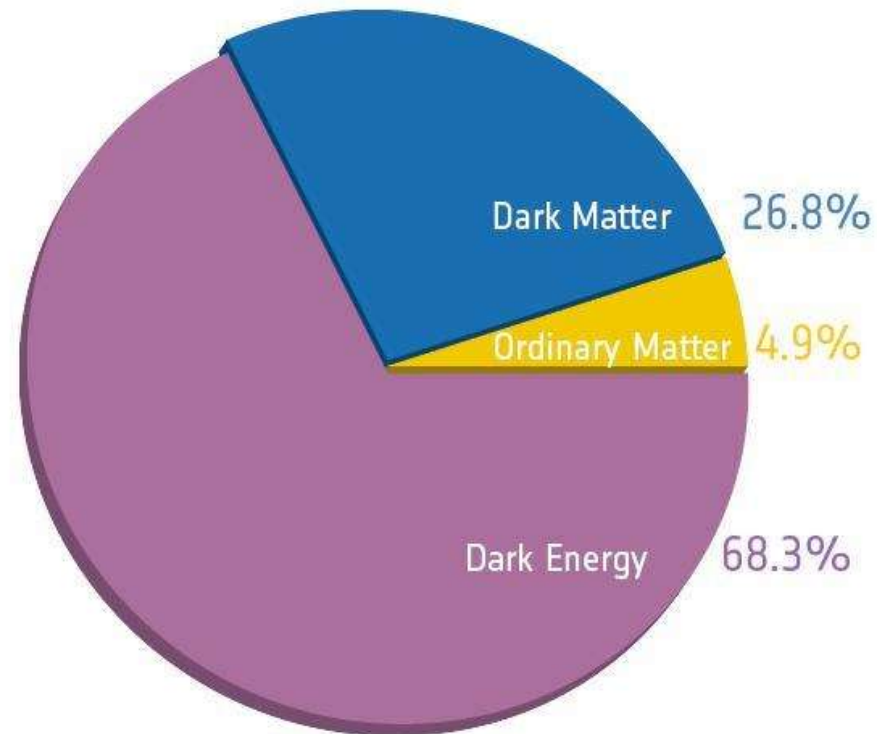
Parameter	Planck (CMB+lensing)		Planck+WP+highL+BAO	
	Best fit	68 % limits	Best fit	68 % limits
$\Omega_b h^2$	0.022242	$0.02217 \pm 0.00033$	0.022161	$0.02214 \pm 0.00024$
$\Omega_c h^2$	0.11805	$0.1186 \pm 0.0031$	0.11889	$0.1187 \pm 0.0017$
$100\theta_{MC}$	1.04150	$1.04141 \pm 0.00067$	1.04148	$1.04147 \pm 0.00056$
$\tau$	0.0949	$0.089 \pm 0.032$	0.0952	$0.092 \pm 0.013$
$n_s$	0.9675	$0.9635 \pm 0.0094$	0.9611	$0.9608 \pm 0.0054$
$\ln(10^{10} A_s)$	3.098	$3.085 \pm 0.057$	3.0973	$3.091 \pm 0.025$
$\Omega_\Lambda$	0.6964	$0.693 \pm 0.019$	0.6914	$0.692 \pm 0.010$
$\Omega_m$	0.3036	$0.307 \pm 0.019$		
$\sigma_8$	0.8285	$0.823 \pm 0.018$	0.8288	$0.826 \pm 0.012$
$z_\infty$	11.45	$10.8^{+3.1}_{-2.5}$	11.52	$11.3 \pm 1.1$
$H_0$	68.14	$67.9 \pm 1.5$	67.77	$67.80 \pm 0.77$
$10^9 A_s$	2.215	$2.19^{+0.12}_{-0.14}$		
$\Omega_m h^2$	0.14094	$0.1414 \pm 0.0029$		
$\Omega_m h^3$	0.09603	$0.09593 \pm 0.00058$		
$Y_p$	0.247785	$0.24775 \pm 0.00014$		
Age/Gyr	13.784	$13.796 \pm 0.058$	13.7965	$13.798 \pm 0.037$
$z_*$	1090.01	$1090.16 \pm 0.65$		
$r_*$	144.58	$144.96 \pm 0.66$		
$100\theta_*$	1.04164	$1.04156 \pm 0.00066$	1.04163	$1.04162 \pm 0.00056$
$z_{drag}$	1059.59	$1059.43 \pm 0.64$		
$r_{drag}$	147.74	$147.70 \pm 0.63$	147.611	$147.68 \pm 0.45$
$k_D$	0.13998	$0.13996 \pm 0.00062$		
$100\theta_D$	0.161196	$0.16129 \pm 0.00036$		
$z_{eq}$	3352	$3362 \pm 69$		
$100\theta_{eq}$	0.8224	$0.821 \pm 0.013$		
$r_{drag}/D_V(0.57)$	0.07207	$0.0719 \pm 0.0011$		

**26 parameters!**

# composition of the universe



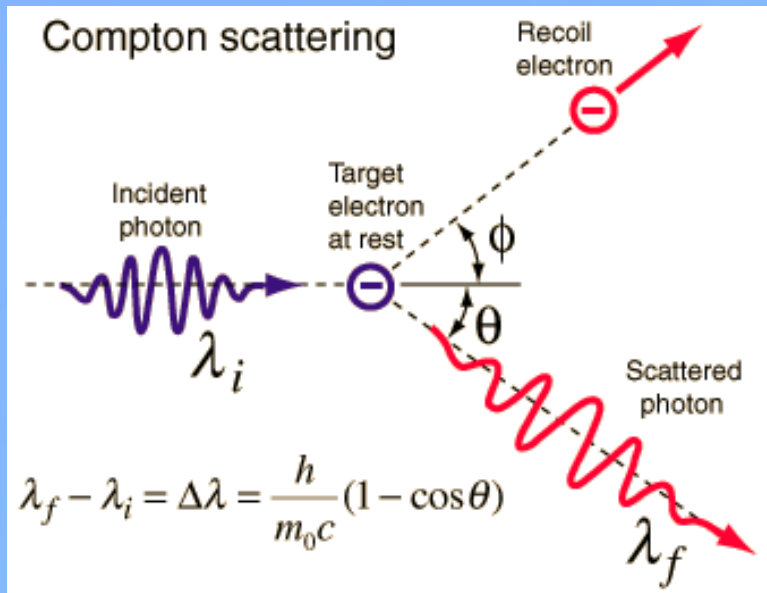
Before Planck  
**what we thought**



After Planck  
**what we now think**



[http://www.esa.int/esatv/Videos/2013/03/Planck\\_reveals\\_an\\_almost\\_perfect\\_Universe/George Efstathiou Professor of Astrophysics University of Cambridge English The theory of the expansion of the Universe](http://www.esa.int/esatv/Videos/2013/03/Planck_reveals_an_almost_perfect_Universe/George_Efstathiou_Professor_of_Astrophysics_University_of_Cambridge_English_The_theory_of_the_expansion_of_the_Universe)



The Planck Scale:  
When the entire universe  
was contained within its  
own Compton wavelength

The Planck length:  $\left(\frac{\hbar G}{c^3}\right)^{1/2} = 1.6 \times 10^{-35}$  metres,

The Planck mass:  $\left(\frac{\hbar c}{G}\right)^{1/2} = 2.1 \times 10^{-8}$  kilograms,

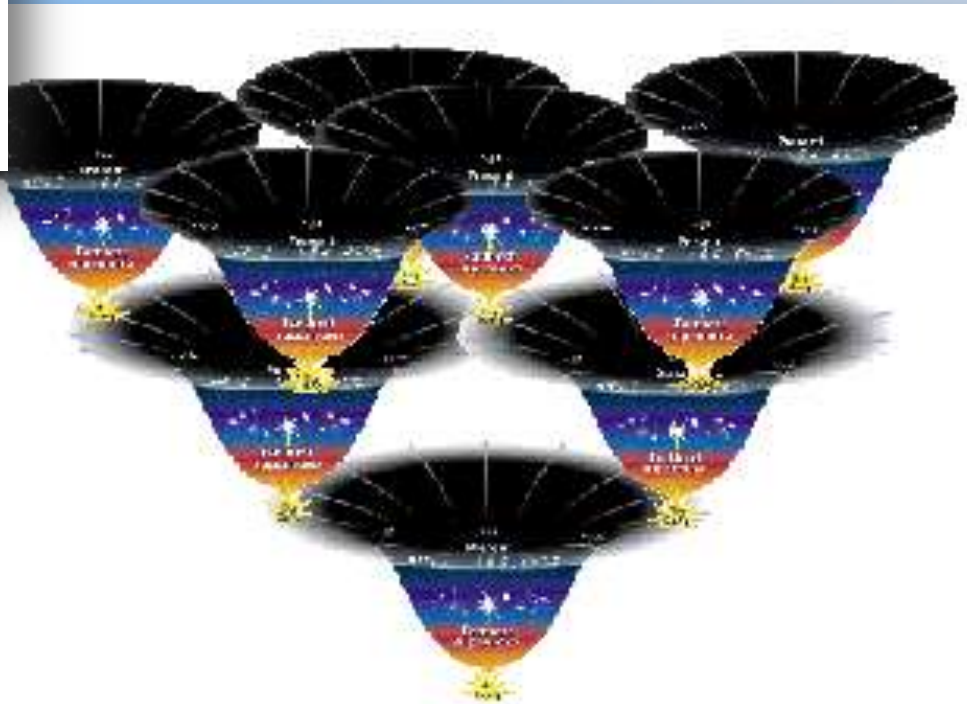
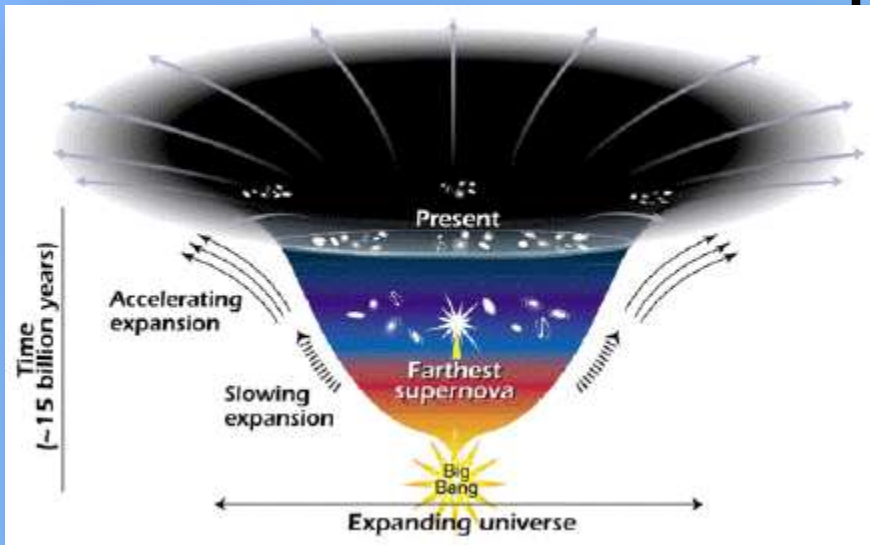
The Planck time:  $\left(\frac{\hbar G}{c^5}\right)^{1/2} = 5.4 \times 10^{-44}$  seconds,

The Planck energy:  $\left(\frac{\hbar c^5}{G}\right)^{1/2} = 1.2 \times 10^{19}$  GeV.

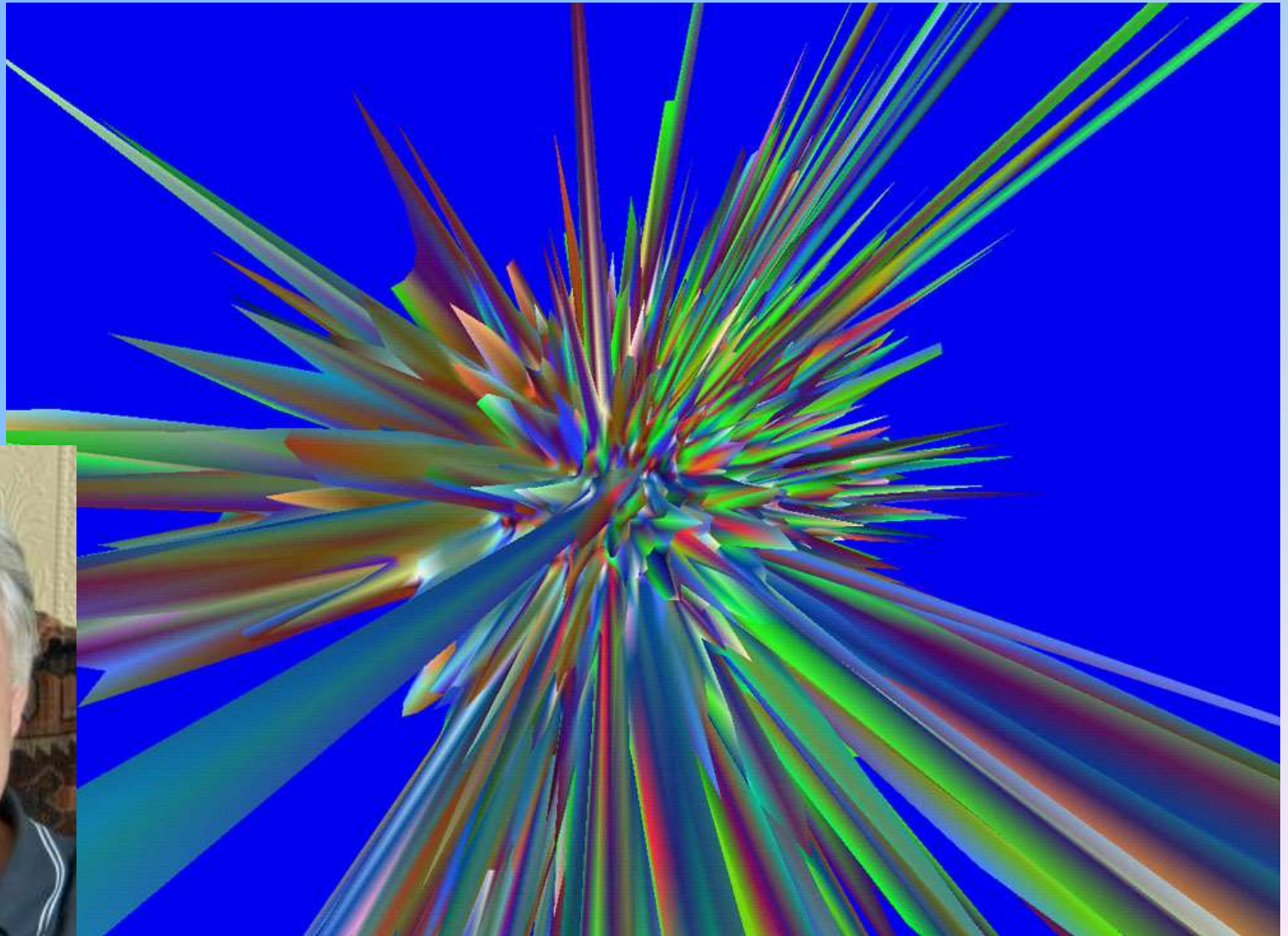
**global symmetries! do not depend on spacetime**



# One Universe or Many Perhaps Infinite



# Discussion of Andrei Linde: Self-Replicating Multiverse



**Parting thought:  
Our universe seems  
to be always on the  
edge of a black  
hole...**

$$R_S = \frac{2GM}{c^2}$$

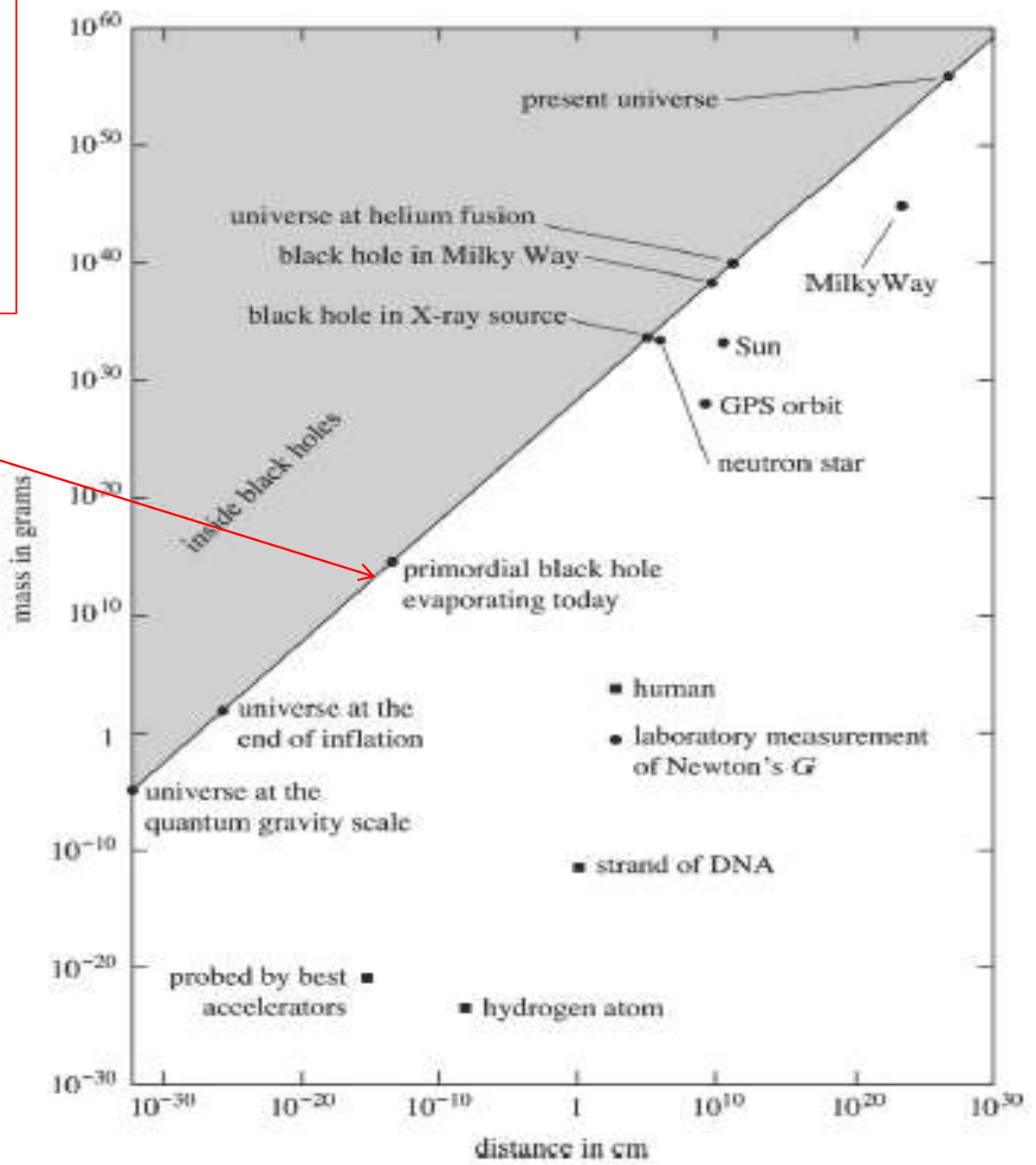


figure from  
Professor Jim  
Hartle's book  
on Gravity



**(embedded video clip)**

**Brian Greene explains String Theory: The next symmetry?**