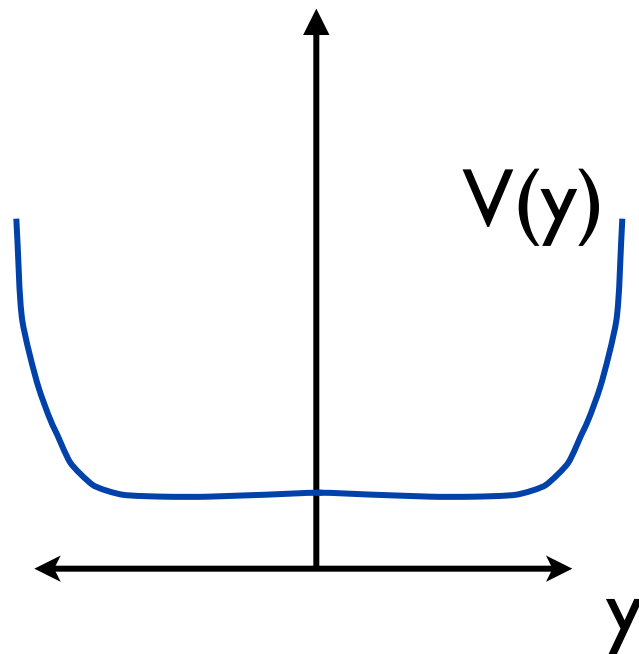
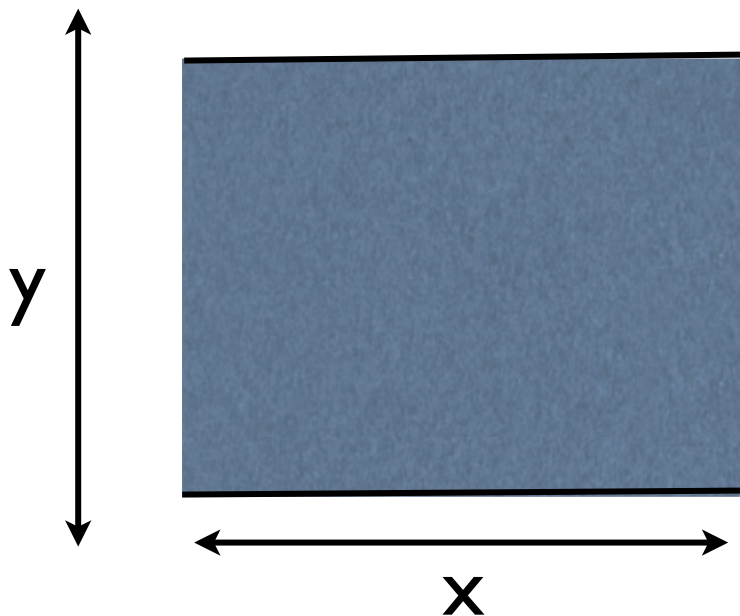
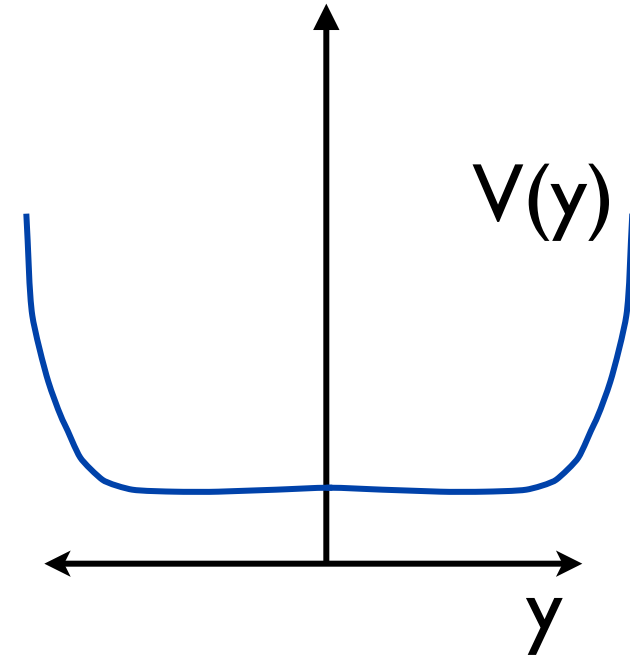


# Edge states

- A simple way to understand the quantization of Hall effect, realized by Halperin
- Consider Hall bar



# Edge states



$$\left[ -\frac{\hbar^2}{2m} \frac{d^2}{dy^2} + \frac{1}{2} m \omega_c^2 (y - k_x \ell^2)^2 + V(y) \right] Y = \epsilon Y$$

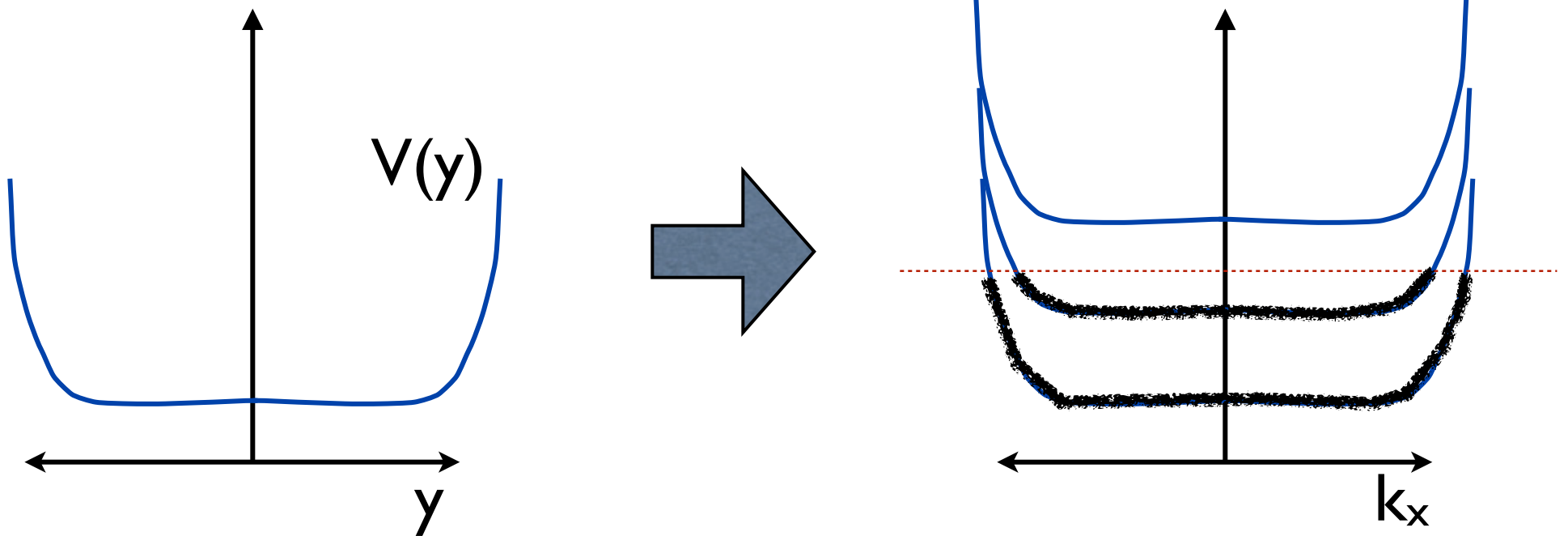
If  $V(y)$  is slowly varying, then we can approximate

$$V(y) \approx V(k_x \ell^2)$$

$$\epsilon_n \approx \hbar \omega_c \left( n + \frac{1}{2} \right) + V(k_x \ell^2)$$

# Edge states

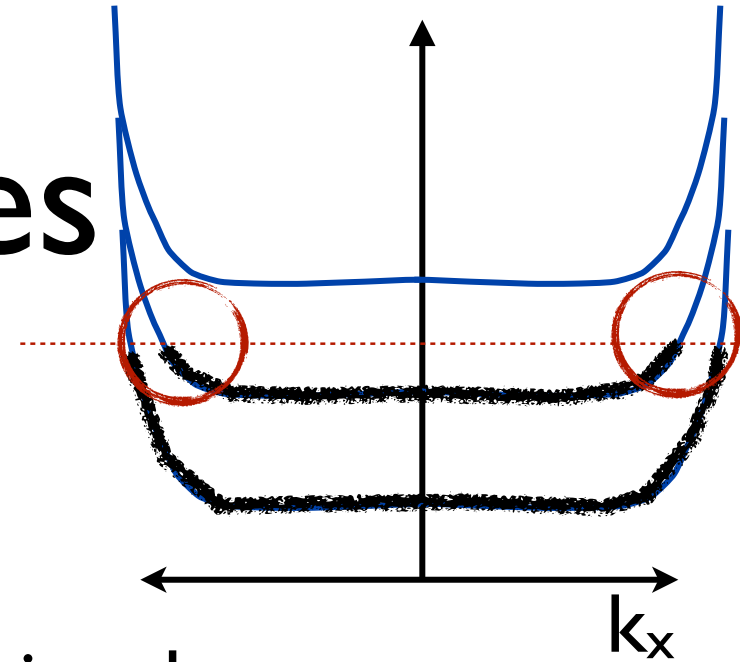
$$\epsilon_n \approx \hbar\omega_c(n + \frac{1}{2}) + V(k_x\ell^2)$$



Low energy states at the edges of the system

# Edge states

$$\epsilon_n \approx \hbar\omega_c(n + \frac{1}{2}) + V(k_x\ell^2)$$



- Near the edge, we can linearize the energy

$$k_x = \pm K_n + q_x$$

$$\epsilon_n \approx \epsilon_F \pm v_n q_x$$

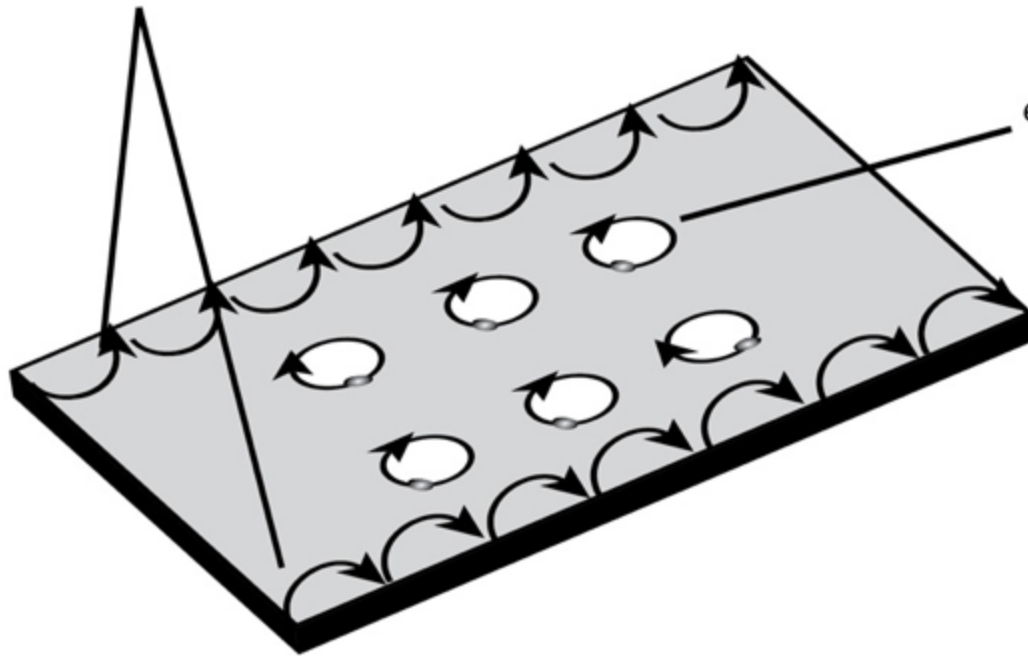
- This describes “right and left-moving chiral fermions” = edge states



# Edge states

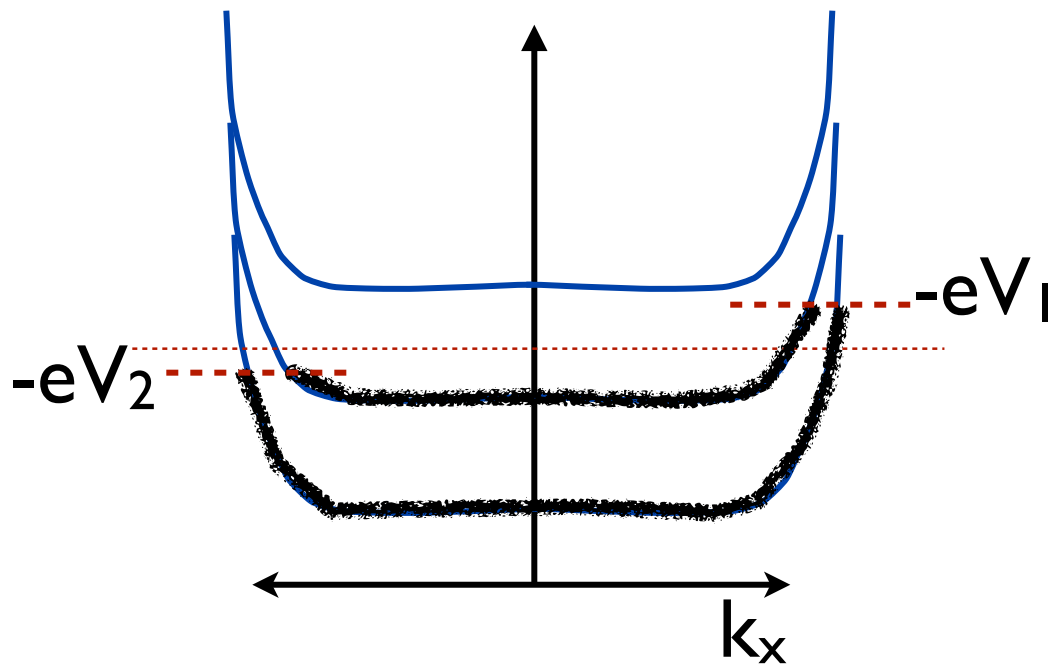
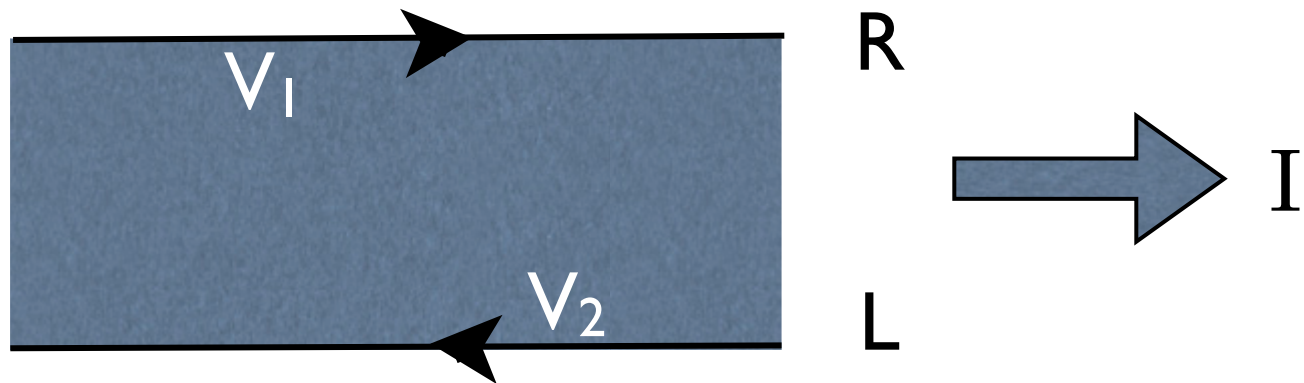
- Corresponds to semi-classical “skipping orbits”

electrons can move along edge (conducting)



electrons localized in orbits (insulating)

# Quantum Hall effect



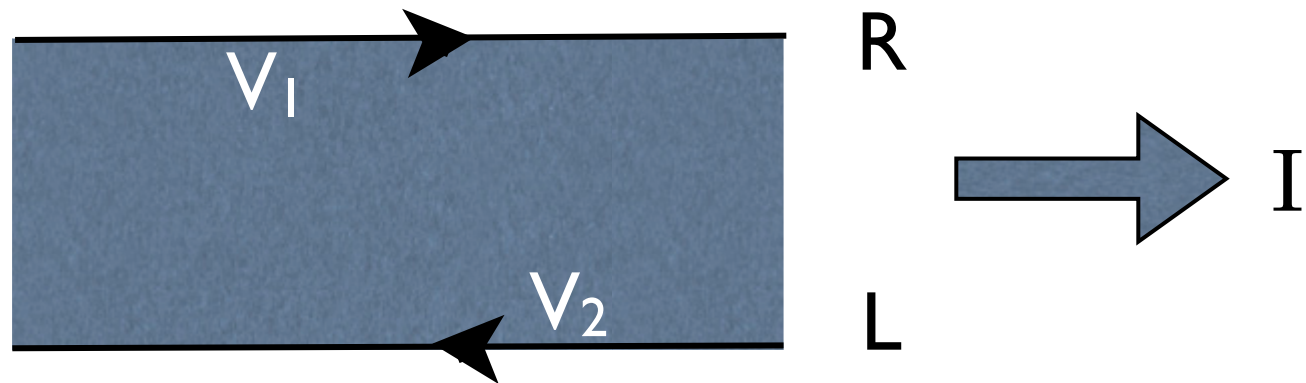
$$\delta n_R = \frac{-eV_1}{2\pi\hbar v} \quad \delta n_L = \frac{-eV_2}{2\pi\hbar v}$$

$$I = -e(n_R v - n_L v)$$

$$= \frac{e^2}{h} (V_1 - V_2)$$

(for each LL)

# Quantum Hall effect



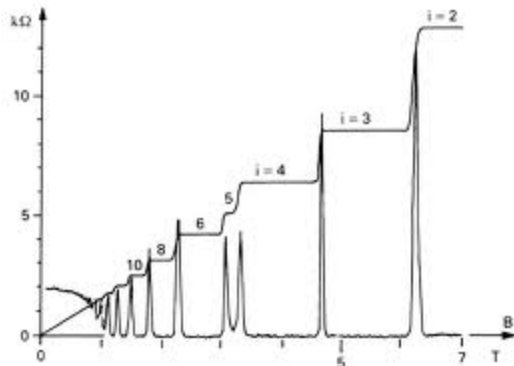
$$I_x = N \frac{e^2}{h} V_y$$

$$G_{xy} = N \frac{e^2}{h} \quad \text{n.b. } h/e^2 = 25 \text{ kOhms}$$

$$V_x = 0$$

R+L movers are *separately* at equilibrium. No dissipation

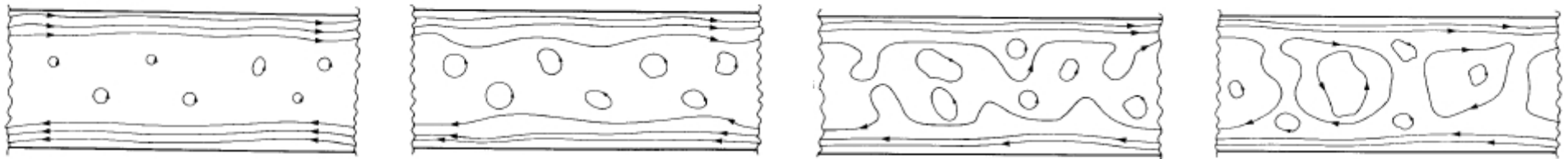
$$\sigma_{xx} = \rho_{xx} = 0$$



Show these are equivalent!!

# Robustness

- In real samples, disorder is important, and splits the degeneracy of the bulk LL states
- BUT...it cannot “back-scatter” from one edge to another - “protected” edge state

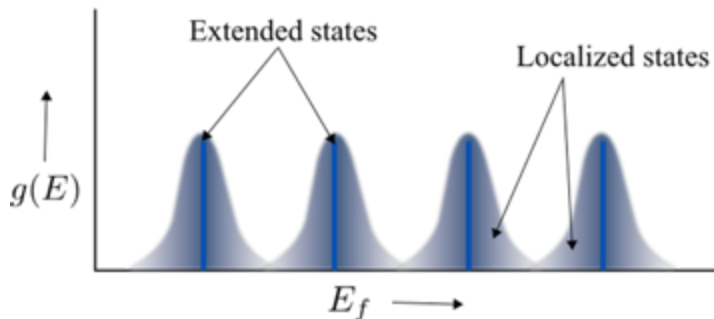
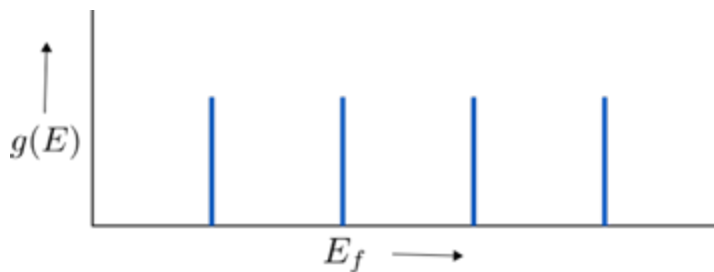


somewhere here an edge state  
“peels off” from boundary and  
crosses the bulk



# Robustness

- In real samples, disorder is important, and splits the degeneracy of the bulk LL states
- BUT...it cannot “back-scatter” from one edge to another



it turns out truly delocalized states occur only at one energy...this is NOT obvious

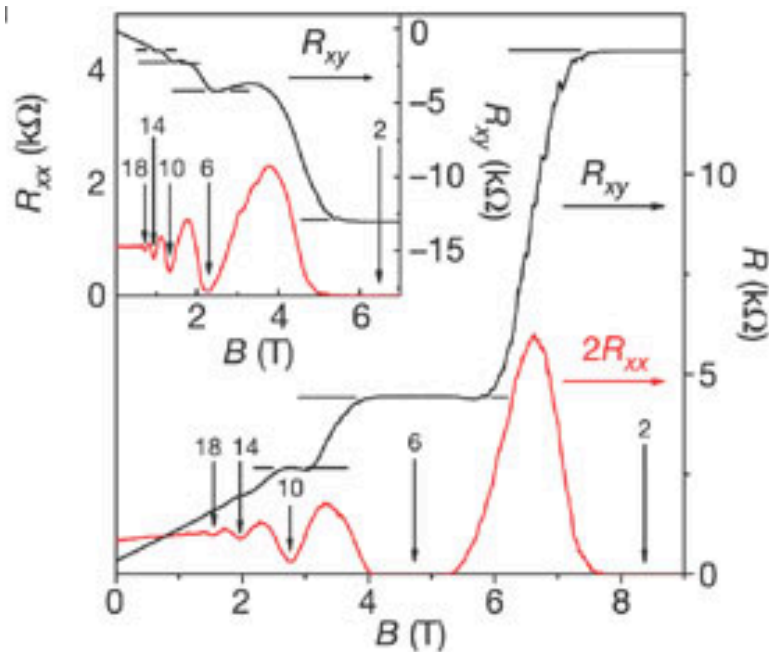
consequently, IQHE “steps” in Hall conductance are expected to be *infinitely sharp* at  $T=0$ , for a large sample

# IQHE phases

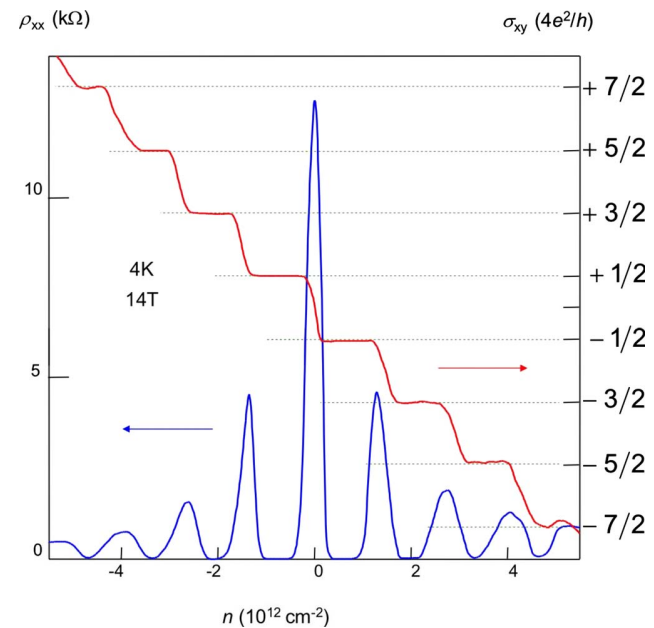
- Actually, the states with different integer quantum Hall conductivity are *different phases of matter* at  $T=0$ : they are sharply and qualitatively distinguished from one another by  $\sigma_{xy}$
- This means that to pass from one IQHE state to another requires a quantum *phase transition*: this corresponds to the point at which the edge state delocalizes and “percolates” through the bulk
- However, unlike most phases of matter, IQHE states *break no symmetry*
- They are distinguished not by symmetry but by “topology” (actually the Hall conductivity can be related to topology...a bit of a long story)

# Graphene IQHE

What first experiments saw...



Zhang et al, 2005



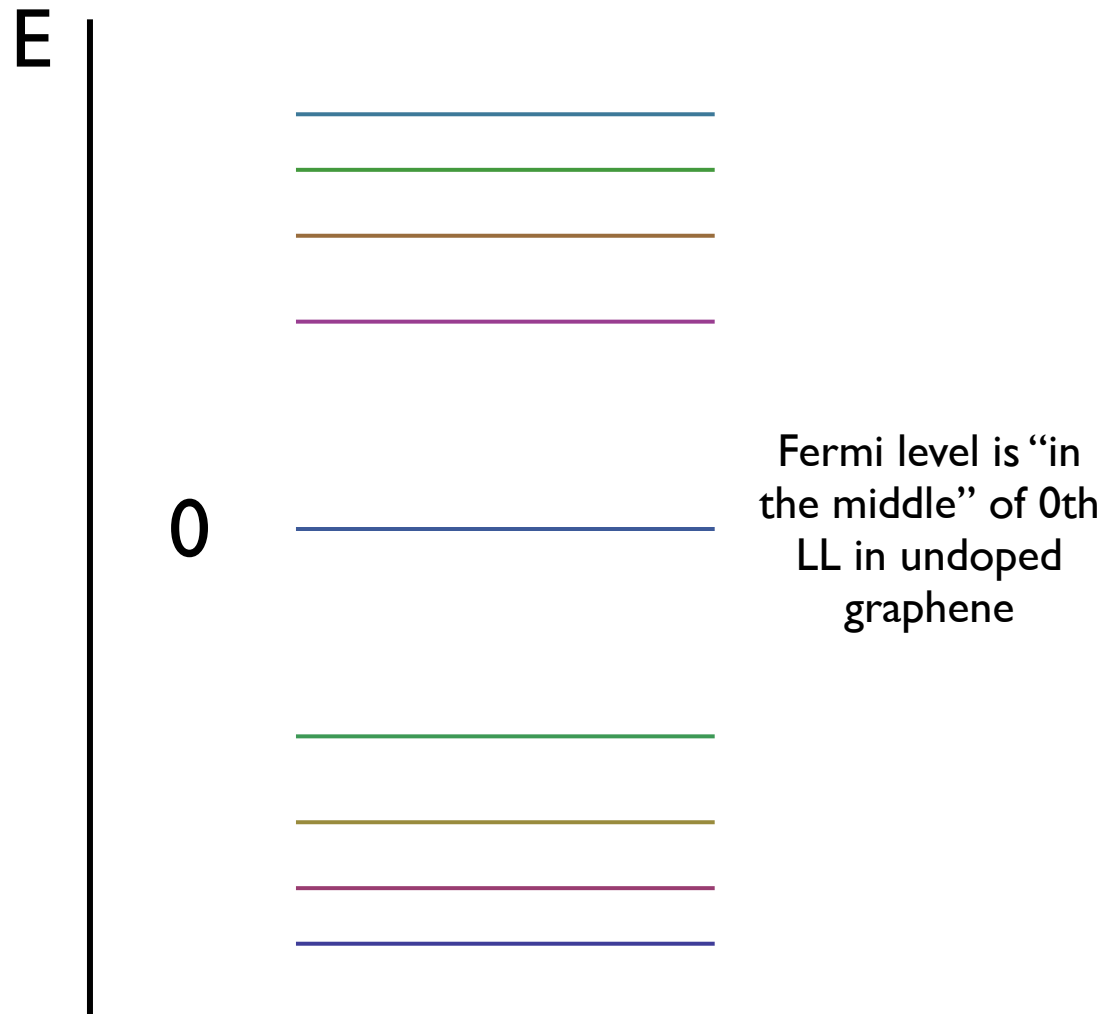
Novoselov et al, 2005

$$\sigma_{xy} = \frac{e^2}{h} \times (\pm 2, \pm 6, \pm 10, \dots)$$

# Relativistic vs NR LLs

- We expect  $\sigma_{xy}$  to change by  $\pm e^2/h$  for each filled Landau level
- Each Landau level is **4-fold** degenerate
  - 2 (spin) \* 2 (K,K')

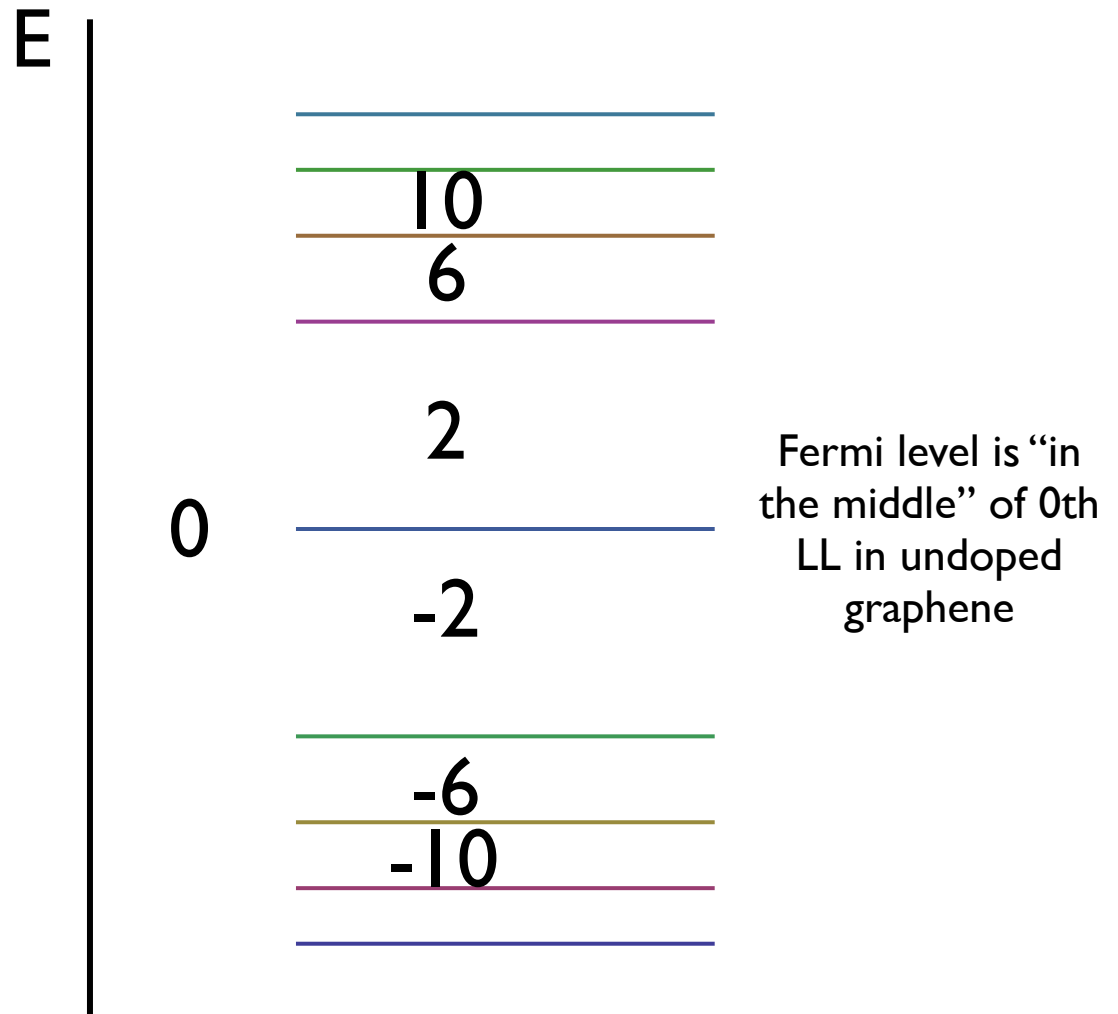
Consistent with observations, but zero is not fixed



# Relativistic vs NR LLs

- Guess: when  $E_F=0$ , there are equal numbers of holes and electrons:  $\sigma_{xy} = 0$

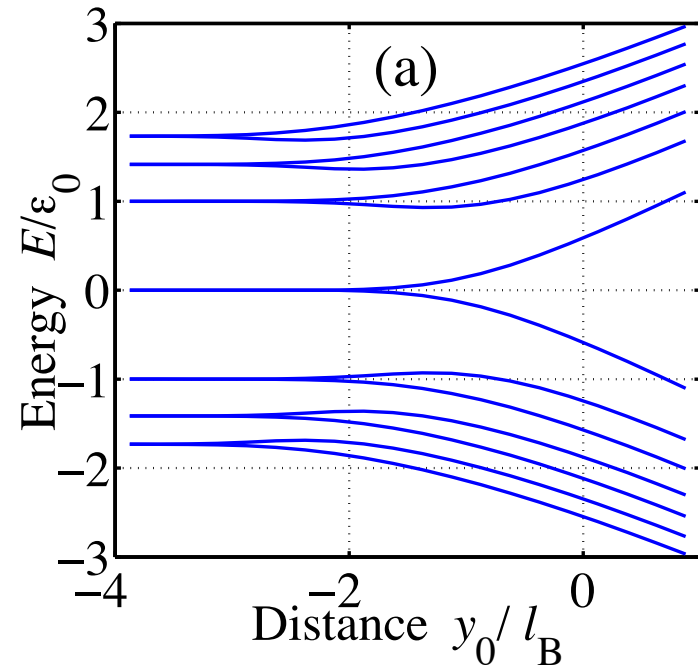
Then we get the observed sequence



# Edge state picture

We will not work this out here, but one can show that levels at the edge bend as shown here

The downward bending of half the levels naturally explains the observed quantization, and why the filled valence states do not contribute to  $\sigma_{xy}$



the splitting of  $n \neq 0$  levels shown is due to lifting of the spin/valley degeneracy, which we have not talked about.

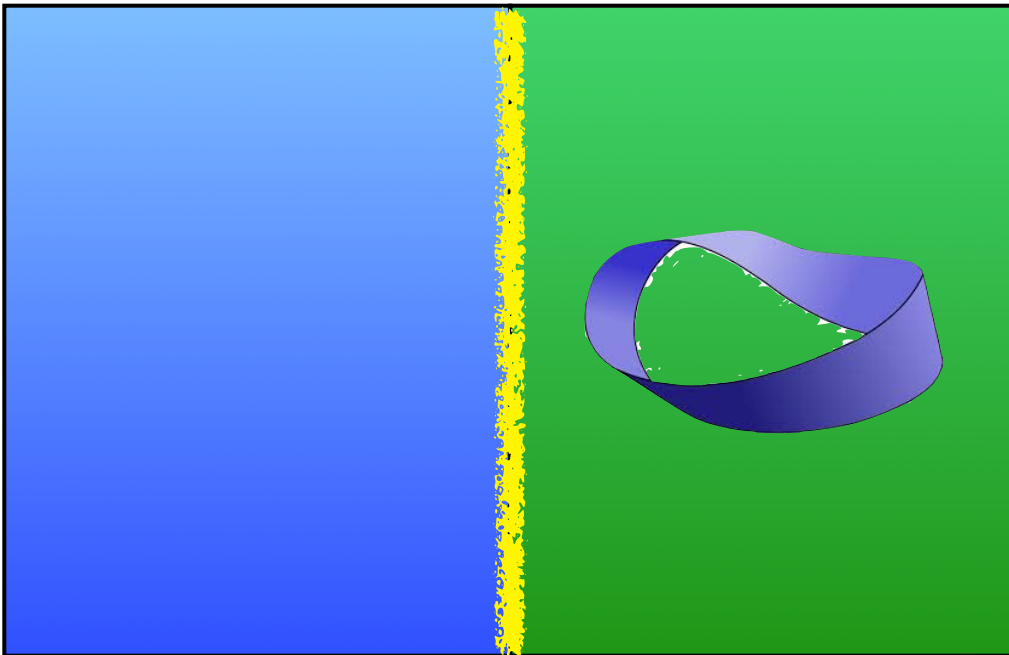
This leads to additional integer states at higher fields.

# Topological Insulators

- So the IQHE states are examples of what we now call “Topological Insulators”: states which are distinguished by “protected” edge states
- Until very recently, it was thought that this physics was restricted to high magnetic fields and 2 dimensions
- But it turns out there are other TIs...even in zero field and in both 2d and 3d!

# Topological insulators

- General understanding: insulators can have gaps that are “non-trivial”: electron wavefunctions of filled bands are “wound differently” than those of ordinary insulators



“unwinding” of  
wavefunctions requires  
gapless edge states



# Topological insulators

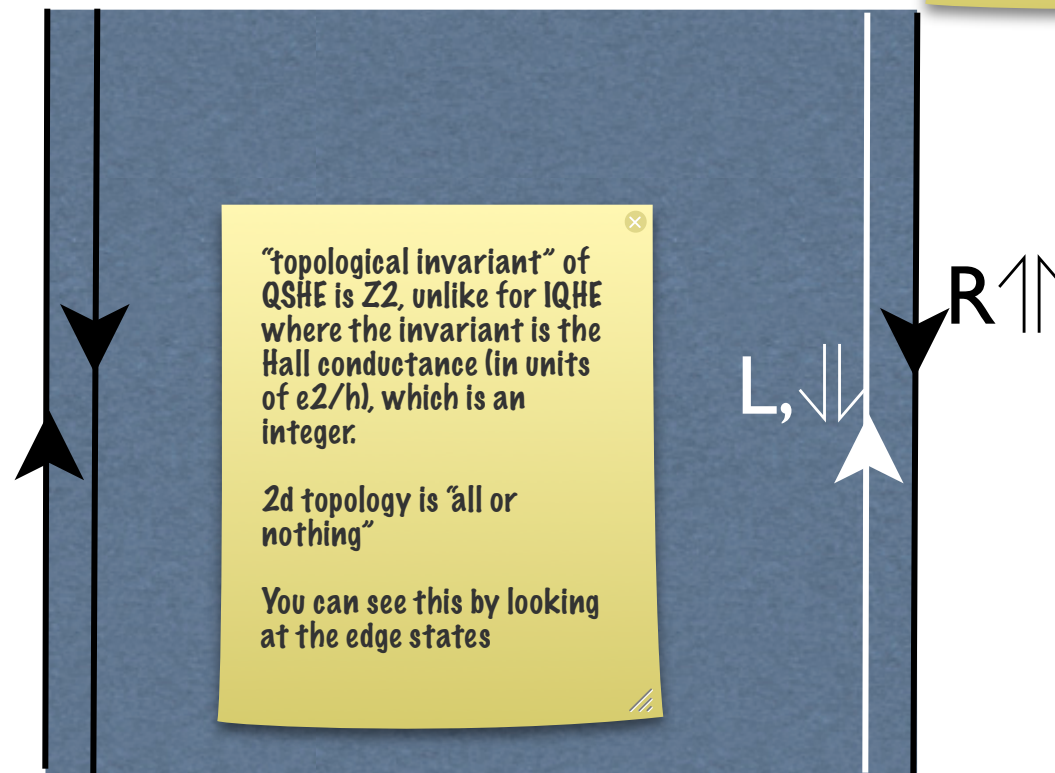
- 2005: Kane+Mele “Quantum spin Hall effect”: 2d materials with SOC can show protected edge states *in zero magnetic field*
- 2007: QSHE - now called 2d “ $Z_2$ ” topological insulator - found experimentally in HgTe/CdTe quantum wells
- 2007:  $Z_2$  topological insulators predicted in 3d materials
- 2008: First experiments on  $\text{Bi}_{1-x}\text{Sb}_x$  start wave of 3d TIs

Since then there has been explosive growth

# QSHE

- Edge states!

To preserve time-reversal symmetry, there *must* be counter-propagating edge states, and no net spin (magnetization)



like IQHE but with counter-propagating edge states for opposite spin