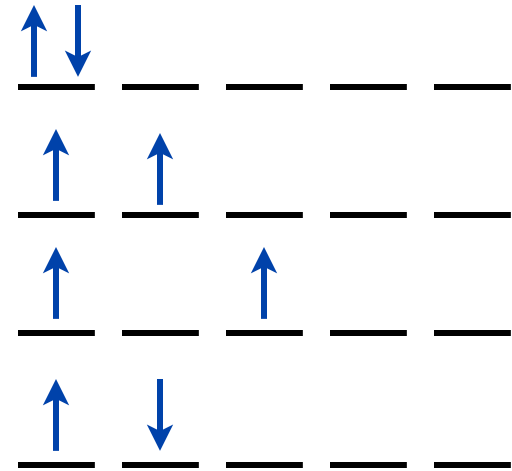


- For ions with spherical symmetry, have “Hund’s rules”
- In this case, total  $S$ ,  $L$  commute with  $H$  (neglecting SOC).
- Hund’s rule 1: Maximize  $S$
- Hund’s rule 2: Maximize  $L$  (after applying 1)
- Hund’s rule 3: apply SOC

# Hund's rules

- Example: 2 electrons

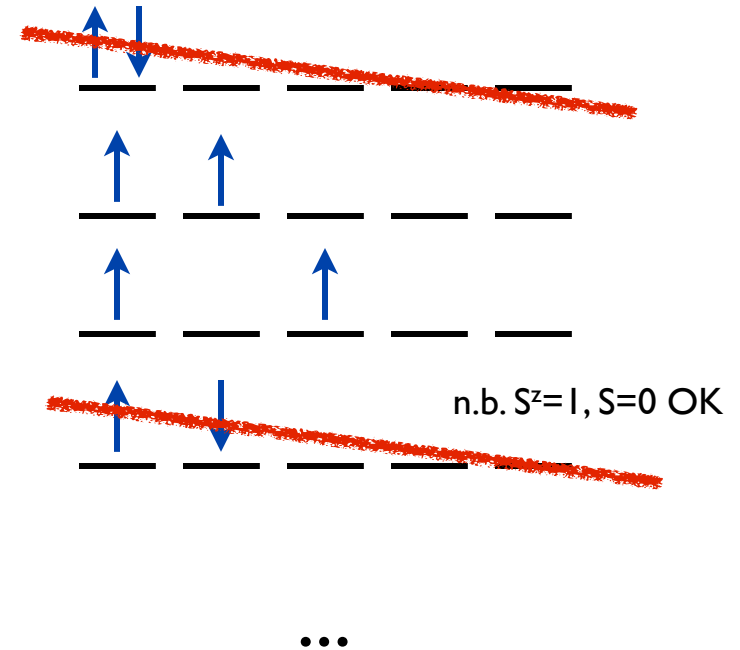


...

$$25 + 2 * 5 * 4 / 2 = 45 \text{ states}$$

# Hund's rules

- Example: 2 electrons
- Rule 1: maximize spin
  - Forces  $S=1$
  - Reason: Pauli exclusion: electrons are kept further apart, which minimizes  $1/r$  Coulomb energy

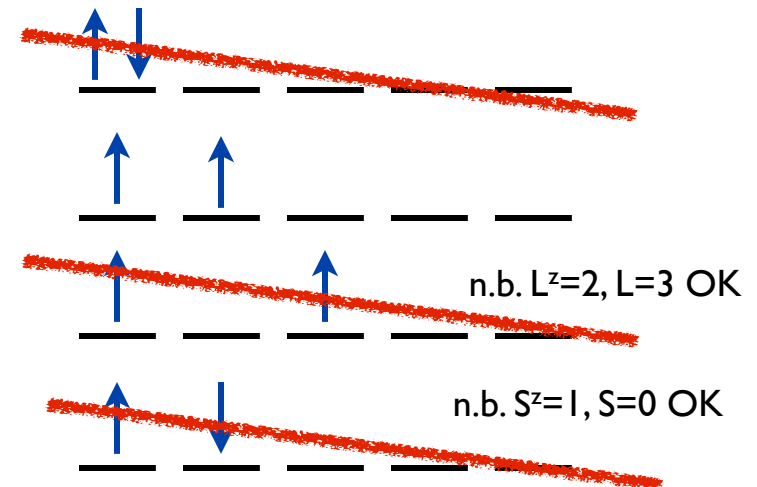


$$3 \cdot 5 \cdot 4 / 2 = 30 \text{ states}$$

# Hund's rules

- Example: 2 electrons
  - Rule 1: maximize spin
    - $S=1$
  - Rule 2: maximize L
    - $L=3$
  - This is also to minimize Coulomb repulsion but it is less obvious!

One picture - but I am not sure it is the right one!  
 - is that electrons orbiting in the same direction are less likely to meet



...

$$(2S+1)(2L+1) = 3*7 = 21 \text{ states}$$

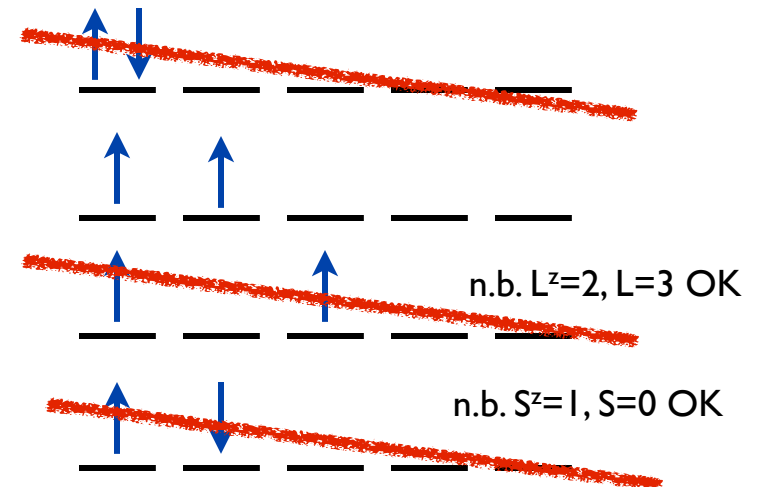
# Hund 3

- Hund's third rule includes the effect of spin-orbit coupling
  - $\lambda \mathbf{L} \cdot \mathbf{S}$  implies states with different  $J = L + S$  have different energy
  - quantum mechanics:  $|L-S| \leq J \leq L+S$
- Hund 3:
  - For a less than half-filled shell,  $J = |L-S|$
  - For a more than half-filled shell,  $J = L+S$

This is basically just SOC applied to holes

# Hund's rules

- Example: 2 electrons
  - Rule 1: maximize spin
    - $S=1$
  - Rule 2: maximize  $L$ 
    - $L=3$
  - Rule 3:  $J = |L-S|=2$



...

$2J+1=5$  states

45  $\rightarrow$  30  $\rightarrow$  21  $\rightarrow$  5 states

# Moments in solids

- An ion in a solid is subjected to *crystal fields*, which lower the symmetry from spherical, and hence split the atomic multiplets
- Typically this reduces the orbital angular momentum which is possible
  - an extreme case (low symmetry): effectively  $L=0$  because no orbital degeneracy
- Those crystal fields may be comparable to the atomic Coulomb energies, and hence compete with Hund's rules 1+2. They are often larger than Hund 3.

# Local moments

- How do we know local moments exist?
  - Curie Susceptibility
  - Electron spin resonance
  - Specific heat (entropy)



# Curie Susceptibility

- Magnetic moment in general is proportional to spin

$$\mu = g\mu_B \mathbf{S} / \hbar$$

spin  $S$  quantum spin  
 $S^2 = S(S+1)\hbar^2$

g-factor  
 (could be a tensor)  
 $g \approx 2$  for pure spin  
 moment

Bohr magneton

$$\mu_B = \frac{e\hbar}{2m_e} = 9.3 \times 10^{-24} \text{ J/T}$$

$$= 0.671 \text{ K/T}$$

- Magnetic dipole interaction

$$\mathcal{H} = -\boldsymbol{\mu} \cdot \mathbf{H}$$

# Compare with metals

Curie Law

$$\chi = \frac{N(g\mu_B)^2 S(S+1)}{3kT}$$

Pauli paramagnetism

$$\chi = V \frac{(g\mu_B)^2}{4} D(\epsilon_F)$$

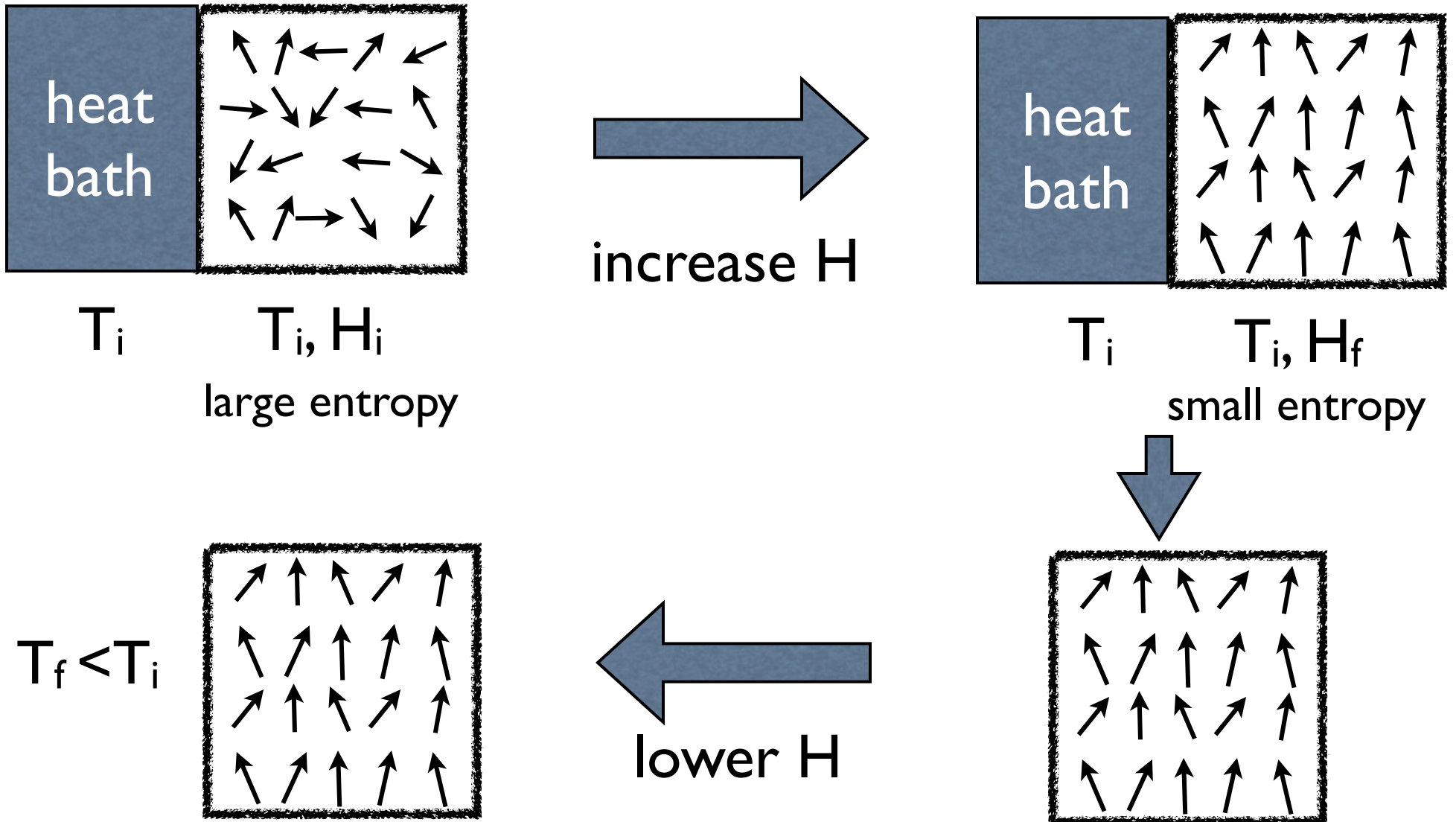
basically  $kT \rightarrow \epsilon_F$

Much larger susceptibility than delocalized electrons

# Magnetic cooling

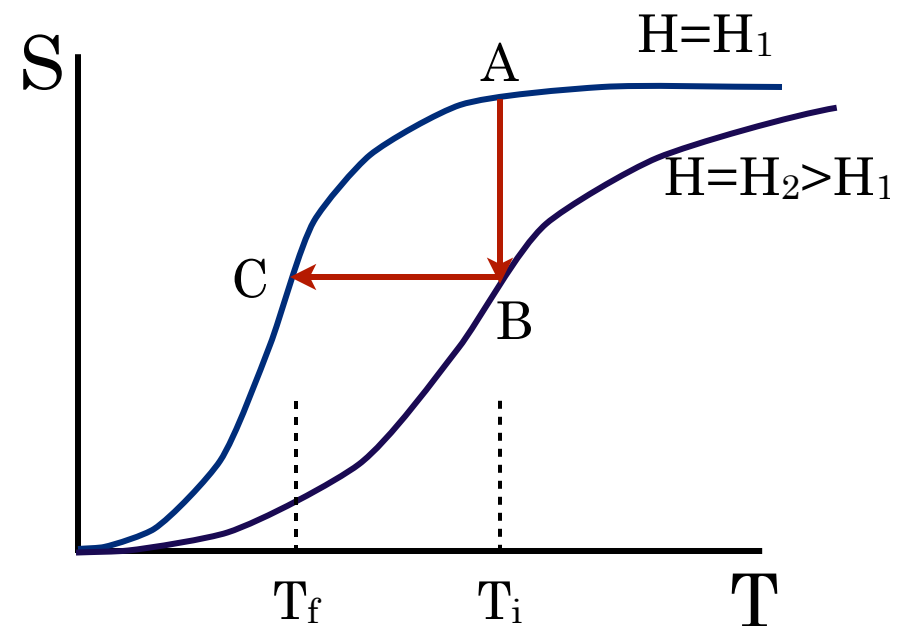
- The large susceptibility of free spins at low temperature means they are easily aligned by small magnetic fields
- This alignment corresponds to a drastic reduction of entropy. One can use this control over entropy to remove entropy from another system, thereby cooling it.

# Magnetic Cooling



# Magnetic Cooling

- A → B: isothermal step - raise field, lower entropy
- B → C: adiabatic step - lower field, same entropy: lower temperature
- For paramagnetic spins,  $S = S(H/T)$ 
  - Hence  $H_1/T_f = H_2/T_i$



# Exchange

- How do spins interact?
- Magnetostatic dipole-dipole coupling

$$H_{d-d} = -\frac{\mu_0}{4\pi r^3} [3(\mathbf{m} \cdot \mathbf{r})(\mathbf{m}' \cdot \mathbf{r}) - \mathbf{m} \cdot \mathbf{m}']$$

- This is rather weak,  $\approx$  1K for even large spins
- Electrostatic interaction usually dominates, just as it does inside atoms
- Indirectly leads to spin coupling through Pauli principle

# Exchange

Lattice of spins has extensive degeneracy

$$\cancel{2^{2N}} (2S+1)^N \text{ states}$$

$$S = Nk_B \ln(2S+1)$$

Must be released. (3<sup>rd</sup> law of thermo)

This is due to interaction.

Two kinds:

① Magnetic dipole ( $J_{\text{ex}} \leq 1K$ )

② Electrostatic origin

- Already saw Hund's rule  $J_{\text{H}}$  due to Coulb  
in single atom.

↓

Exchange Interact.

Generally, have form like

$$J_{ij}^a S_i^a S_j^b$$

↳ decay rapidly with  $|i-j|$   
because related to Fermi statistics and overlap

↳ Can have varied structure in spin space.

Typical Picture = Due to "virtual exchange" of  $e^-$   
between atomic orbitals.

Wes

# Hubbard Model

$$H = \sum_i \epsilon_0 \hat{n}_i - \sum_{\langle ij \rangle} t (c_{i\alpha}^\dagger c_{j\alpha} + h.c.) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$t \ll U$  : ~~exchange interaction~~  
 $t/U = 0$  : isolated atoms  $\rightarrow$  spins (here  $S = 1/2$ )  
 $O((t/U)^2)$  : exchange interaction

Use DPT  $H = H_0 + H'$

$$H_{\text{eff}} = -P H' (H_0 - E_0)^{-1} H' P_0$$

[Provided  $P_0 H' P_0 = 0$   
 $P_0 =$  Projector to G.S. Subspace]

$$\begin{aligned} H_{\text{eff}} &= -t^2 P \sum_{\langle ij \rangle} (c_{i\alpha}^\dagger c_{j\alpha} + h.c.) (H_0 - E_0)^{-1} \left( \sum_{k\beta} c_{k\beta}^\dagger c_{l\beta} + h.c. \right) P \\ &= -\frac{2t^2}{U} \sum_{\langle ij \rangle} c_{i\alpha}^\dagger c_{j\alpha} \quad c_{j\beta}^\dagger c_{i\beta} \quad \leftarrow \text{Intermediate state} \\ &= \frac{2t^2}{U} \sum_{\langle ij \rangle} c_{i\alpha}^\dagger c_{i\beta} c_{j\beta}^\dagger c_{j\alpha} - \delta_{\alpha\beta} \quad \leftarrow \text{cancel} \\ &= \frac{2t^2}{U} \sum_{\langle ij \rangle} \left( c_{i\alpha}^\dagger c_{i\beta} c_{j\beta}^\dagger c_{j\alpha} - 1 \right) \\ &= \frac{2t^2}{U} \sum_{\langle ij \rangle} \left( \frac{n_i n_j}{2} + \vec{S}_i \cdot \vec{S}_j - 1 \right) \left( \frac{n_i n_j}{2} + \vec{S}_j \cdot \vec{S}_i - 1 \right) \\ &= \frac{2t^2}{U} \sum_{\langle ij \rangle} \left( \frac{n_i n_j}{2} + 2\vec{S}_i \cdot \vec{S}_j - 1 \right) = \frac{4t^2}{U} \sum_{\langle ij \rangle} \left( \vec{S}_i \cdot \vec{S}_j - \frac{1}{4} \right) \end{aligned}$$



Case  
 Up to const, we get  $H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j$

N.B. -  $J > 0$  : Antiferro (usually)

-  $\vec{S}_i \cdot \vec{S}_j$  form : due to  $SU(2)$  spin-rotate symmetry.

This is not a true symmetry of nature.  
 But approx same. If SOC can be neglected.

↳ general  $H_{ij} = J \vec{S}_i \cdot \vec{S}_j + \vec{D} \cdot \vec{S}_i \times \vec{S}_j + S_i^z \Gamma S_j^z$

↑  
 Dzyaloshinskii-Moriya (DM)  
 ↑  
 tetrahedral symmetry -  
 ↑  
 synthetic exchange anisotropy

\*When SOC is "weak"  $J \gg D \gg \Gamma$  typically.

(light elements (3d) w/o orbital degeneracy)