

Resource Letter BH-2: Black Holes

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This resource letter is designed to guide students, educators, and researchers through (some of) the literature on black holes. Both the physics and astrophysics of black holes are discussed. Breadth has been emphasized over depth, and review articles over primary sources. We include resources ranging from non-technical discussions appropriate for broad audiences to technical reviews of current research. Topics addressed include classification of stationary solutions, perturbations and stability of black holes, numerical simulations, collisions, the production of gravity waves, black hole thermodynamics and Hawking radiation, quantum treatments of black holes, black holes in both higher and lower dimensions, and connections to nuclear and condensed matter physics. On the astronomical end, we also cover the physics of gas accretion onto black holes, relativistic jets, gravitationally red-shifted emission lines, evidence for stellar-mass black holes in binary systems and super-massive black holes at the centers of galaxies, the quest for intermediate mass black holes, the assembly and merging history of super-massive black holes through cosmic time, and their effects on the evolution of galaxies.

I. INTRODUCTION

The status of black hole physics has changed dramatically since the publication of the first black hole resource letter, #1 below. The observational evidence for black holes is now plentiful and strong. Moreover, black holes have progressed from a curiosity of mathematical physics to a *tool* for building or studying models in other branches of physics. Examples range from feedback mechanisms that may control the formation and evolution of galaxies (see # 63, 64) to string theoretic connections to nuclear and condensed matter physics (see # 35, 141, 142). Nevertheless, black holes remain fascinating objects in their own right that may yet divulge deep clues to further revolutions in fundamental physics.

We have endeavored to provide a guide to this extraordinarily diverse literature, and to include resources at a variety of levels. Material marked elementary (E) should be accessible to students who have at most a good introductory physics course under their belt, or in some cases to those with no background at all. Intermediate material (I) is appropriate for advanced U.S. undergraduate students, while advanced material (A) begins roughly at the U.S. graduate level. Some advanced resources will require additional specialized knowledge of general relativity, astrophysics, or quantum field theory. We hope that bringing together many levels of material will allow students of black hole physics to find the right entry point for their background and teachers of black hole physics to extract useful material for their courses.

Given the breadth of our subject, it is impossible to be complete. We have by no means attempted to do so. Experts will find many of their favorite resources, and perhaps even their favorite topics to be missing entirely. We have concentrated on a “few” review articles and seminal papers, though we have tried to include sufficient commentary to help the user locate the most useful resource. Where possible, we have chosen review articles over original works and modern treatments over

classic presentations. This decision was made for purely practical reasons and we encourage the reader to consult Steve Detweiler’s original black hole resource letter (#1 below) for an excellent guide to the historical literature. Indeed, we view our work as a supplement to his, not as a replacement.

1. “Resource Letter BH-1: Black Holes”, S. Detweiler, *American J. Phys.* **49**, 394 (1981).

Resources in the following sections are organized first by level: popular material appears in section II while most U.S.-undergraduate material appears in section III. Graduate general relativity textbooks are found in section IV while more specialized material is presented in sections V-VIII. However, some of the material (labeled (I)) in these latter sections is also accessible to advanced undergraduate students. We have then attempted to sort the more specialized papers by topic. This task is far from straightforward, as many excellent reviews overlap several obvious categories. The result is that many resources are cross-referenced, typically by adding a note to “see also #nnn.” The reader is well-advised to do so.

We have arranged the specialized material as follows: Section V contains resources dealing with black holes in astrophysics. This includes observations of black holes, models of astrophysical processes involving black holes, and the implications of these models.

Section VI then addresses well-established mathematical physics describing the statics, dynamics, and thermodynamics of black holes. The focus here is on classical black hole physics such as the treatment and classification of black holes in general relativity and related theories (in a variety of dimensions), their perturbations and stability properties, their formation and interaction through collisions, the production of gravitational radiation and the relation to gravity wave detectors, and black hole thermodynamics. In addition, however, section VID includes broadly accepted material on quantum field theory in curved spacetime and the associated Hawking radiation. Such subjects are deeply connected to the thermodynamics of black holes and are addressed in much of the same literature. Discussions of the possible production of black holes in particle collisions has also been included in section VIE.

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In contrast, those references which concern the deep quantum micro-physics of black holes have been placed in section VII. Such resources are more speculative, at least in the sense that they typically make assumptions about the quantum nature of gravity which are beyond the reach of current experiments. We therefore separate this material from that of section VID, even though Hawking radiation is again a common theme. Finally, we have collected connections to nuclear and condensed matter physics in the very short section VIII. Such connections include the use of established fluid dynamics and condensed matter physics to describe certain analogues of black holes, as well as current attempts to use black hole physics (and string theory) to describe as yet poorly understood effects in both nuclear and condensed matter physics. Here the emphasis is again on classical black hole physics but in novel, and sometimes somewhat speculative, contexts.

A. Basic Resources and Notation

Perhaps the most important information we can convey is how the reader might find and access useful works which appear only *after* the publication of this resource letter. Web-based search engines continue to make this more and more possible, but it is worthwhile to point out a few places to start. When entering a new subject, it is natural to begin with a review article. Several journals are dedicated entirely to such reviews, and one may begin by searching their websites. Certain on-line tools are also of particular help in locating reviews. Some suggestions are:

1. *Living Reviews in Relativity*.

This on-line journal publishes only review articles on gravitational physics. As you might guess, a large fraction of its contents deal with black holes, making it a prime resource. Indeed, many of the articles described below are from this journal.

2. *Reviews of Modern Physics*.

A review journal published by the American Physical Society. Topics covered span all of physics.

3. *Physics Reports*.

A review journal by Elsevier. Topics covered span all of physics.

4. *HEP Reviews at SPIRES*,

www.slac.stanford.edu/spires/reviews/.

An on-going project to index on-line reviews in high energy and theoretical physics. Black holes are currently category Id2. This web site also explains how to perform a more general search of the SPIRES database for relevant review articles.

5. *The SAO/NASA Astrophysics Data System Abstract Service (ADS)*,

adsabs.harvard.edu/abstract_service.html/.

Hosted by the Smithsonian Astrophysical Observatory for NASA, this website is the most powerful browser for

astrophysics-related material. It allows to search for, e.g.: specific authors (or list thereof), refereed publications vs. not, works mentioning your favorite astronomical object, as well as title keywords, publication year, number of citations, etc. It covers a wide spectrum of levels, from scholarly research papers, to Ph.D. thesis, to conference proceedings. A full description of the search criteria is given at: doc.adsabs.harvard.edu/abs_doc/help_pages/basicsearch.html#Basic_Query_Form. ADS has become an irreplaceable instrument in the work-day of every astrophysicist.

6. *Annual Reviews of Astronomy and Astrophysics*, arjournals.annualreviews.org/loi/astro/.

ARAA publishes comprehensive reviews written by well known authors. They are an excellent starting point for the interested reader who wishes to approach a new astrophysical subject at intermediate/advanced level.

The resources that we do list below should not be hard to locate. Most appear in journals which can be found in a typical university library or on-line. In addition, most also include an “Arxiv identifier” either of the form [arXiv:astro-ph/yymmnnn] or [arXiv:yymm.nnnn [astro-ph]], with astro-ph perhaps replaced by qr-qc, hep-th, or hep-ph. These reference numbers refer to the on-line physics archive currently maintained by the Cornell University Library: arxiv.org/. Many papers can be found here by using the search feature. To find the precise desired work, simply search by “identifier” and enter it into the search box: e.g., astro-ph/yymmnnn in the first (older style) numbering system or simply yymm.nnnn in the second system. Here yy denotes the year, mm the month, and nnn or nnnn the number assigned to the work when it was uploaded to arxiv.org.

As a final remark, the reader should be aware that two acronyms are frequently used in the text below: General Relativity is abbreviated “GR,” and “AGN” refers to Active Galactic Nuclei. We have otherwise attempted to make the comments below each resource as approachable as possible by the general reader.

II. POPULAR MATERIAL

Material in this section should be accessible to persons with a high school or college-level education without specialized training in physics. The goal of these works is typically to convey the general idea of the subject, without going into technical details. Certain resources are nevertheless somewhat demanding of the reader. These latter have been labeled (I), while more welcoming resources are labeled (E).

A. Popular Books

2. **Black Holes and Time Warps: Einstein’s Outrageous Legacy**, K. S. Thorne (W.W. Norton, New York, 1994). Although it is now 14 years old, *Black Holes and Time Warps*

remains the most popular book on this subject. First and foremost, it is an accessible introduction to basic issues: What is a black hole? How are they made? What happens when you fall in? The text begins by explaining the essential ideas in relativity and proceeds through the development of black hole physics itself. The approach is historical, and the author's personal involvement in this history cements a gripping storyline. But it is the style of the text which captivates many readers. The author's charming personality shines through. The final chapter on wormholes should be regarded as more speculative material, but the rest of the book focuses on well-established physics. (E)

3. Gravity's Fatal Attraction: Black Holes in the Universe, M. Begelman and M. Rees (Scientific American Library, 1995). Do "space-time singularities" actually exist in nature? Have we found them? Begelman and Rees tell us the captivating story of the scientific endeavors –and related anecdotes– which led to the discovery of black holes. Powerful, inspiring, and fun to read. (E)

4. General Relativity from A to B, R. Geroch (U. Chicago Press, Chicago, 1978). Developed as lectures to non-science majors at the University of Chicago, Geroch's book gives a solid conceptual explanation of special and GR. Some math is used. This book requires more effort than those above, but delivers a deeper understanding of relativity. (E/I)

5. Was Einstein Right? Putting General Relativity to the Test, C. M. Will (BasicBooks, New York, 1993). Most popular GR books emphasize the theory and conceptual underpinnings of the theory. In contrast, Will's book focusses on the many empirical tests of this theory, including Hulse and Taylor's Nobel-prize winning verification of the decay of neutron star orbits via gravitational radiation. (E/I)

6. Relativity, A. Einstein, (Three Rivers Press, New York, 1961). Most later expositions of GR are based at least in part on this book, Einstein's attempt to describe GR to anyone with a standard education. In his own words, "despite the shortness of the book, a fair amount of patience and force of will" is required of the reader. Nevertheless, the book is an invaluable opportunity to learn at least a bit of relativity from Einstein himself. (I)

B. Web Sites

We highly recommend the web sites below, all at the elementary level (E). Of course, web sites are in constant flux. Check your favorite search engine.

7. en.wikipedia.org/wiki/Black_hole/Black Hole, Wikipedia. As usual, this Wikipedia entry is surprisingly broad. The reference list is excellent.

8. hubblesite.org/explore_astronomy/black_holes/

Black Holes: Gravity's Relentless Pull, Hubble Site. Packed with graphics, simulated "experiments," and animations, this professional site from NASA and the Space Telescope Science Institute provides an exciting tour of black hole physics.

9. www.gothosenterprises.com/black_holes/Jillian's Guide to Black Holes, J. Bornak. A charming, informal, and plain-speaking introduction to black holes and GR. Written by a black hole fan as an undergraduate project. Non-professional in a delightful way and a marvelous introduction to the basic physics.

10. www.space.com/blackholes/All About Black Holes. Lovely videos and simulations, as well as black hole news items.

11. antwrp.gsfc.nasa.gov/htmltest/rjn_bht.html/Virtual Trips to Black Holes and Neutron Stars, R. Nemiroff. The way black holes curve spacetime makes light do crazy things. What would you see if you approached a black hole? Check this classic web site to find out.

12. casa.colorado.edu/~ajsh/schw.shtml/Falling Into a Black Hole, A. Hamilton. More modern simulations of trips to and inside black holes, but with a somewhat confusing portrayal of the horizon.

13. www-xray.ast.cam.ac.uk/xray_introduction/Blackholebinary.html/Black Holes and X-ray binaries. Black holes and astrophysics from the Institute of Astronomy, Cambridge.

14. library.thinkquest.org/25715/index.htm/Event Horizon. Black hole basics with great simulations.

15. www.astro.umd.edu/~chris/Research/X-rays_and_Black_holes/x-rays_and_black_holes.html/X-rays and Black Holes, C. Reynolds, University of Maryland. A brief, informal and yet accurate description of the status of research on astrophysical black holes, explained for the non-experts by a forefront leader in the field.

16. www.youtube.com/watch?v=hoLvOvGW3Tk/Black Holes, Neutron Stars, White Dwarfs, Space and Time, YouTube. Simulations of black holes feeding and being born through collisions, all set to an exciting sound track.

17. amazing-space.stsci.edu/resources/explorations/blackholes/Amazing Space, Space Telescope Science Institute. This site provides black hole resources for teachers and students.

C. Popular Articles

The reader can find a number of interesting black hole articles in popular-science publications such as *Scientific American*, *Physics World*, *Physics Today*, *New Scientist* and, at a slightly more advanced level, *Science* and *Nature*. Some fairly recent articles are listed below, but there is no doubt that more will appear in the near future. We have roughly sorted these articles into those that emphasize the astrophysics of black holes and those emphasizing fundamental aspects of theoretical physics.

Astrophysics:

18. **“Black Hole Blowback”**, W. Tucker, H. Tananbaum, A. Fabian, *Scientific American* (Feb. 2007). Black holes and active galactic nuclei as possible drivers of feedback in galaxy cluster evolution. (E)
19. **“Unmasking Black Holes”**, J. Lasota, *Scientific American* (May 1999). On how we might directly detect black hole horizons. (E)
20. **“The Galactic Odd Couple”**, K. Weaver, *Scientific American* (Jul. 2003). Is there a connection between black holes and bursts of star formation? (E)
21. **“The Midlife Crisis of the Cosmos”**, A. J. Barger, *Scientific American* (Jan. 2005). The authors describe the present and past of star- and black hole-formation in our universe. (E)
22. **“The Brightest Explosions in the Universe”**, N. Gehrels, L. Piro and P. J. T. Leonard, *Scientific American* (Dec. 2002). Connecting the birth of black holes to enormous explosions called gamma-ray bursts.

Fundamental Physics:

23. **“Quantum Black Holes”**, B. J. Carr and S. B. Giddings, *Scientific American* (May 2006). Might we soon be able to make black holes in the laboratory? (E)
24. **“The Reluctant Father of Black Holes”**, J. Bernstein, *Scientific American* (Jun. 1996). The early history of our understanding of black holes and of Einstein’s resistance to accepting them. (E)
25. **“An Echo of Black Holes”**, T. A. Jacobson and R. Parentani, *Scientific American* (May 2006). Flowing fluids in the laboratory can act much like black holes, and even have a form of Hawking radiation. (E)
26. **“Black Hole Computers”**, S. Lloyd and Y. J. Ng, *Scientific American* (Nov. 2004). Black Holes and Information Theory. (E)
27. **“Information in the Holographic Universe”**, J. D. Bekenstein, *Scientific American* (Aug. 2003). How black holes may be related to fundamental bounds on the information that objects can hold. (E)
28. **“The Illusion of Gravity”**, J. Maldacena, *Scientific American* (Nov. 2005). String theory has holographic properties that seem deeply linked to black hole physics. (E)
29. **“Reality-Bending Black Holes”**, A *Scientific American* Special Edition (Mar. 2007). This volume collects references # 18-28 above, along with other articles on related topics. (E)

III. UNDERGRADUATE MATERIAL

This section describes resources at the advanced (U.S.) undergraduate level. Some entries should be accessible to any student who has completed a one-year calculus-based physics course, while others require background in intermediate classical mechanics, quantum mechanics, and electromagnetism. The articles in section III A address special topics not covered by standard textbooks, but which are nevertheless approachable by readers without detailed knowledge of GR. Students who wish to establish a working understanding of black holes should consult one of the undergraduate textbooks in section III B.

A. Articles

30. **“Black holes: the inside story”**, S. Droz, W. Israel and S. M. Morsink, *Physics World* **9**, 34 (1996). One of a very few articles with a good description of black hole interiors. A key point is the instability of the so-called inner horizon of charged and rotating black holes. This instability forbids the use of black holes as passageways to other universes. The article is also posted on-line at: www.phys.ualberta.ca/~morsink/blackhole/, in both English and French translations. (E)
31. **“Spacetime embedding diagrams for black holes”**, D. Marolf, *Gen. Rel. Grav.* **31**, 919 (1999). [arXiv:gr-qc/9806123]. The curved spacetime of a Schwarzschild black hole can be visualized by drawing the so-called rt -plane as a curved surface inside flat 2+1 Minkowski space. The main text is accessible to those with some training in special relativity, though some knowledge of GR is required to follow the construction (in the appendix) of the diagrams from the black hole metric. (I)
32. **“Gravity and the quantum”**, A. Ashtekar, *New J. Phys.* **7**, 198 (2005) [arXiv:gr-qc/0410054]. Ashtekar gives a broad overview of loop quantum gravity for the non-expert. After introducing the basic ideas, section IIIB addresses black hole entropy in loop quantum gravity. The article should be accessible to students familiar with undergraduate quantum mechanics, the description of electromagnetism via 4-vector potentials, and some knowledge of GR. (I)
33. **“Black Holes and Quark Confinement”**, E. Witten, *Current Science* **81**, 1576 (2001). Witten takes the reader on a tour of ideas ranging from strings to quarks to black holes

and the AdS/CFT duality. The climax is the fusion of these ideas into a stringy derivation of quark confinement in theories mathematically similar to, but still different than, the QCD that describes the strong interactions of our universe. (I)

34. **“A Microscopic Theory of Black Holes in String Theory”**, S. R. Wadia, *Current Science* **81**, 1591 (2001). After providing an undergraduate-level description of black-hole thermodynamics and Hawking radiation, Wadia describes the extent to which these phenomena are currently described by string theory. The concluding section includes a useful set of open questions. (I)
35. **“Theoretical physics: A black hole full of answers”**, J. Zaanen, *Nat.* **448**, 1000 (2007). Zaanen briefly describes current attempts to use black holes and string theory as mathematical tools to explain the physics of both high-temperature superconductors and quark-gluon plasmas. (I)

B. General Relativity Textbooks

A number of excellent GR textbooks are now available at the U.S. undergraduate level, each with a good treatment of black hole physics. The texts differ in terms of both perspective and level of sophistication, but each one provides what is in principle a self-contained introduction. We have roughly ordered the books below in terms of level of presentation.

36. **Gravity from the ground up**, B. Schutz (Cambridge, 2003). This excellent book emphasizes *gravity*, and its interactions with astrophysics. Assuming only high school math and physics, Schutz addresses the solar system, the birth and life cycle of stars, relativity, cosmology, gravity waves and gravitational lenses. The chapter on black holes summarizes a wide range of physics from accretion and black hole growth to Hawking radiation and black hole entropy. (E/I)
37. **Notes on Relativity and Cosmology**, D. Marolf (2003). Available on-line at: www.physics.ucsb.edu/Smarolf/MasterNotes.pdf. Designed to convey understanding of relativity using only elementary calculus, these notes first thoroughly treat accelerated reference frames in special relativity. Spacetime diagrams are favored over calculations. GR is introduced by piecing together such frames using the equivalence principle. The chapter on black holes emphasizes similarities between horizons and accelerated frames in flat spacetime, and also summarizes # 31 above. (E/I)
38. **Exploring Black Holes: Introduction to General Relativity**, E. F. Taylor and J. A. Wheeler (Addison Wesley Longman, San Francisco, 2000). Taylor and Wheeler focus on a nuts-and-bolts exploration of black hole solutions as an introduction to GR. The text requires algebra and basic calculus but does not assume prior knowledge of physics or relativity. The emphasis is on the experience of an observer near a black hole. (I)

39. **Flat and Curved Space-times, 2nd Edition**, by G. F. R. Ellis and R. Williams (New York, Oxford University Press, 2000). This excellent book on special and general relativity includes a survey of basic black hole results including no-hair theorems, energy extraction, and thermodynamics. Ellis and Williams assume knowledge of basic calculus and introduce other mathematics as needed. (I)
40. **Gravity, An Introduction to Einstein’s General Relativity**, J. B. Hartle (Addison Wesley, San Francisco, 2003). We recommend Hartle’s advanced undergraduate text to beginners and experts alike. It provides a thorough introduction to GR with a delightful emphasis on both physical concepts and experimental tests. Hartle treats black holes in some depth, discussing orbits, energy extraction, and the detailed solutions. (I)

IV. ADVANCED MATERIAL: GENERAL

Good introductions to black hole physics can be found in many general relativity textbooks, of which a large number are available at the U.S. graduate-student level. Some of our favorites are described below. Unless otherwise noted, these books require a thorough understanding of undergraduate-level classical mechanics but do not presume knowledge of more advanced material. Sections V-VIII provide a guide to particular topics in black hole physics and include a few more specialized texts.

41. **A First Course in General Relativity**, B. F. Schutz (Cambridge, 1985). A text at the advanced undergraduate or early graduate-level with an excellent treatment of stress-energy tensors and a brief introduction to black hole physics. (I/A)
42. **Spacetime and Geometry: An Introduction to General Relativity**, S. Carroll (Benjamin Cummings, San Francisco, 2003). A modern beginning graduate-level text which emphasizes links to field theory. Carroll’s two chapters on black hole physics provide a readable introduction to the subject. (A)
43. **General Relativity**, R. M. Wald (University of Chicago Press, Chicago, 1984). Wald’s book is the standard text for a mathematical physics based advanced graduate course on general relativity. (A)
44. **Gravitation**, C. Misner, K. S. Thorne, J. A. Wheeler (W. H. Freeman and Company, New York, 1973). Misner, Thorne, and Wheeler provide an encyclopedic reference for GR as of the early 1970s. (A)
45. **Gravitation and Cosmology: Principles and Applications of the General Theory of Relativity**, S. Weinberg (John Wiley, New York, 1972). A good text for those who wish to do calculations. Weinberg’s book emphasizes equations over geometric intuition and provides a solid connection to cosmology. (A)

- 46. The large scale structure of space-time**, S. W. Hawking and G. F. R. Ellis (Cambridge, 1973). The classic reference on causal structures, singularity theorems, and mathematical physics aspects of general relativity. (A)

V. ASTROPHYSICAL BLACK HOLES

It is now thought that almost all galaxies contain ‘super-massive’ black holes at their centers, millions or even billions of times more massive than the Sun. Some of these objects power the most energetic (non-explosive) phenomena in the universe, such as active galactic nuclei (AGN) and quasars. Others, like the black hole at the center of the Milky Way, are much more quiet. Galaxies are also thought to contain many examples of stellar mass black holes, with masses a few times greater than that of the Sun, which are the evolutionary endpoint of very massive stars.

While we can not observe black holes directly, we can observe and measure their effects on the surroundings. In fact, black holes are extremely efficient at converting the gravitational potential energy of in-falling gas into radiation. Except under special circumstances, the gas which falls into a black hole (be it interstellar/intergalactic gas, or supplied by a companion star) does not plunge in directly; instead it forms what is known as an accretion disk. The inner disks of supermassive black holes reach thousands of degrees Kelvin, while stellar mass black holes can heat their disks to millions of degrees, where they emit in the X-ray part of the spectrum.

After listing a few basic texts in section V A, sections V B-V D organize other resources by whether the relevant black holes are stellar mass, super-massive, or of intermediate (between the former two) mass scale. Material concerned with specific astrophysical sources, most notably the supermassive black hole in the center of our own galaxy, appears separately in section V E.

A. Astrophysics: Basic Textbooks and Reviews

See #3 from section II A.

- 47. “Probes and Tests of Strong-Field Gravity with Observations in the Electromagnetic Spectrum”**, D. Psaltis, *Living Reviews in Relativity* (2008), [arXiv:0806.1531]. This review serves as a nexus between the previous sections of this resource letter and the following ones, which cover the observable aspects of black holes. Psaltis summarizes the current prospects for using astrophysical sources hosting black holes as tools for testing the predictions of GR in the strong-field regime, and does so in a concise and clear manner. (I)
- 48. Accretion Power in Astrophysics**, J. Frank, A. King and D. Raine (Cambridge University Press, 2002). Assuming a basic knowledge of physics, the authors examine the process of accretion, i.e. the extraction of gravitational potential energy from matter falling onto a compact object.

A fundamental textbook for astronomy graduate students. (I/A)

- 49. The Galactic Supermassive Black Hole**, F. Melia (Princeton, NJ, 2007). This book is an excellent starting point for astrophysics graduate students interested in the black hole associated with galactic center source Sagittarius A*. The history of observations is described along with the observational signatures themselves. These observations range from radio to X-ray wavelengths. Nearby stellar orbits, accretion, flares, and lensing are discussed. While primarily written from the astrophysical point of view, the text devotes one chapter to explaining elements of GR that are key to understanding black holes in general. (A)
- 50. “Fluorescent iron lines as a probe of astrophysical black hole systems”**, C. Reynolds and M. Nowak, *Physics Reports*, **377**, 389 (2003) [arXiv:astro-ph/0212065]. As gas accretes onto a black hole, its velocity approaches the speed of light. This should give rise to observable relativistic effects, such as gravitationally red-shifted emission lines. In this extensive review, Reynolds and Nowak describe relativistic iron lines as probe of the innermost regions around accreting black holes. It also features a broad, pedagogical introduction. (I)
- 51. “Black Holes and Relativistic Jets”**, R. D. Blandford, *Progress of Theor. Phys. Suppl.* **143**, 182 (2001) [arXiv:astro-ph/0110394]. Accreting black holes of all mass scales seem to be able to form narrow, bipolar streams of outflowing matter/energy, as known as jets. Blandford discusses a variety of viable mechanisms through which mass, angular momentum and energy can escape the pull of gravity and be ejected outwards at relativistic velocities. Particular attention is paid to the connection between the jet, the accretion disk and the black hole itself. Written by a world-leader, as well as perhaps the most cited author(ity), in the field. (I/A)

B. Stellar mass black holes

- 52. Black Holes, White Dwarfs and Neutron Stars: The Physics of Compact Objects**, S. L. Shapiro and A. Teukolsky (John Wiley & Sons Inc., 1983). This excellent textbook brings together different branches of physics – from nuclear physics, to hydrodynamics, to special relativity and GR – to understand the birth, evolution and death of a massive star under the special circumstances which lead to the formation of a binary star system hosting a relativistic compact object. (A)
- 53. “Black holes in binary systems. Observational appearance”**, N. I. Shakura and R. A. Sunyaev, *Astron. Astrophys.*, **24**, 337 (1973). In this seminal paper, Shakura and Sunyaev explain how, in a binary system made of an evolved star which transfers part of its envelope mass to a black hole, the outward transfer of angular momentum leads to the formation of a disk around the black hole. (A)

54. **“Black hole binaries”**, J. E. McClintock and R. A. Remillard, in *Compact stellar X-ray sources*, edited by W. Lewin and M. van der Klis (Cambridge University Press, 2006) [arXiv:astro-ph/0306213]. The reader is introduced to the 40+ known systems hosting candidate stellar mass black holes in the Milky Way. About half of them are known to contain an object whose mass exceeds the maximum theoretical mass for a neutron star, and are referred to as “dynamically confirmed” black hole accretors. (I)
55. **“Relativistic Jets from X-ray binaries”**, R. P. Fender, in *Compact stellar X-ray sources*, edited by W. Lewin and M. van der Klis (Cambridge University Press, 2006) [arXiv:astro-ph/0303339]. Relativistic jets are among the most spectacular objects in the universe, and are increasingly being found throughout the Milky Way. Despite being discovered in the radio band, they have been proven to emit across the entire electromagnetic spectrum. This review deals with the variety of jets from galactic stellar mass black holes (as well as neutron stars), and their connection with the accretion flow. (I)
56. **“Advection-dominated Accretion and Black Hole Event Horizons”**, R. Narayan and J. E. McClintock, in “X-ray binaries, accretion disks and compact stars”, *New Astronomy Reviews*, edited by M. A. Abramowicz and O. Straub (Elsevier, 2008) [arXiv:astro-ph/0803.0322]. This work summarizes the observational evidence for the presence of an event horizon in stellar mass black holes by comparing the luminosities of black holes and neutron stars accreting mass at low rates. If the accretion flow has a low enough density, the gravitational potential energy can remain trapped in the flow. In the case of a neutron star, half of that energy will be released as X-rays upon impact on the star surface. Instead, the energy will be advected across the event horizon in the case of a black hole. Indeed, this luminosity gap has been observed in X-rays. (A)
57. **“Gamma-ray bursts from stellar mass accretion disks around black holes”**, S. Woosley, *Astrophys. J.* **405**, 273 (1993). Gamma-ray bursts are the most energetic, explosive astrophysical events we know of. In a flash lasting few ticks of a clock, more energy is released than over the entire Sun lifetime. Woosley’s model for the production of (long) gamma-ray bursts involves the formation of a stellar mass black hole as the evolutionary end-point of Wolf-Rayets, i.e. a particular class of massive stars. (A)
58. **“Black Hole Models for Active Galactic Nuclei”**, M. J. Rees, *Annu. Rev. Astron. Astrophys.*, **22**, 471 (1984). An inspired – and inspiring – work that reviews the body of evidence for super-massive black holes as the power engine for AGN: quasars, radio galaxies, blazars, and related objects. (I)
59. **“Quasars and galaxy formation”**, J. Silk and M. J. Rees, *Astron. and Astrophys.* **331**, L1 (1998) [arXiv:astro-ph/9801013]. A visionary paper on the interplay between the formation of black hole seeds in the early universe and the evolution of (proto-)galaxies. (I/A)
60. **“Supermassive Black Holes in Galactic Nuclei: Past, Present and Future Research”**, L. Ferrarese and F. Holland, *Space Sciences Rev.*, **116**, 523 (2005) [arXiv:astro-ph/0411247]. This review discusses the current status of super-massive black hole research from an observational perspective. Recent observations have unveiled empirical scaling relations between the mass of the central black hole and the global properties of the host galaxy (see #74, #75), suggesting that black holes influence the very formation and evolution of cosmic structures since the dawn of time. (I)
61. **“Massive black holes: formation and evolution”** M. J. Rees and M. Volonteri, in *Black Holes from Stars to Galaxies – Across the Range of Masses*, edited by V. Karas and G. Matt (Cambridge University Press, 2007) [arXiv:astro-ph/0701512]. Rees and Volonteri summarize the progresses and open questions about the formation and growth of super-massive black holes, as well as the prospects for using them as laboratories for testing the strong gravity regime. This work includes a list of the most important (albeit advanced) bibliographic references in the field. (I/A)
62. **Energy input from quasars regulates the growth and activity of black holes and their host galaxies**, T. Di Matteo, V. Springel, L. Hernquist, *Nat.* **433**, 604 (2005) [arXiv:astro-ph/0502199]. The evolution of galaxies along with their nuclear super-massive black holes has been followed by means of detailed numerical simulations. It is found that a galaxy-galaxy merger leads to a massive enhancement of the inner accretion rate, enough to switch on the nuclear black hole as a quasar. In turn, the energy release by the quasar is able to quench star formation and halts further growth. This self-regulatory mechanism sets the quasars’ duty cycle and may be able to explain the empirical scaling relations #74 and #75. (I)

C. Super-massive black holes

58. **Active galactic nuclei: from the central black hole to the galactic environment**, J. Krolik (Princeton University Press, 1998). A comprehensive description of the observational properties of super-massive black holes which are actively accreting at the center of AGN. A must-read resource for astronomers, physicists interested in applications of the theory of gravitation, and graduate students. (E/I)
64. **“The many lives of active galactic nuclei: cooling flows, black holes and the luminosities and colors of galaxies”**, D. J. Croton et al., *Mon. Not. R. Astron. Soc.*, **365**, 11 (2006) [arXiv:astro-ph/0508046]. Energy “feedback” from super-massive black holes could solve a number of problems faced by the hierarchical paradigm for the formation and evolution of cosmic structures at galactic and subgalactic scales, such as the observed red colors of massive spheroidal galaxies. Croton et al. couple the output from state of the art dark matter simulations to semi-analytical

modeling to show that nuclear super-massive black holes may be able to quench the formation of young blue stars by injecting heat and mechanical power into the surroundings. In order for black hole feedback to be effective, low-levels of prolonged activity are needed, as opposed to sudden bursts of power. These effects should be observable in the cores of nearby, formally inactive galaxies. (A)

65. **“Massive Black Hole Binary Evolution”**, D. Merritt and M. Milosavljević, *Living Reviews in Relativity* (2005), <http://relativity.livingreviews.org/Articles/lrr-2005-8/>. This article reviews the observational evidence (or lack thereof) for binary super-massive black holes and discusses their evolution and final fate. Although a compelling case for a bound binary system is yet to be discovered, a number of sources are believed to host two super-massive black hole binaries at projected separations of less than 3000 light-years. (A)
66. **“LISA observations of rapidly spinning massive black hole binary systems”**, A. Vecchio, *Phys. Rev. D*, **70** (2004) [arXiv:astro-ph/0304051]. The Laser Interferometer Space Antenna (LISA: lisa.nasa.gov/) is a (planned) space-based gravitational wave observatory. Detecting gravitational waves from super-massive black hole binaries will have tremendous impact on our understanding of gravity in the highly nonlinear relativistic regime, as well as the formation and evolution of galaxies in a cosmological context. This review focuses on the effects of black hole spin on the expected LISA gravitational wave signal, and describes how accounting for these effects can substantially reduce the uncertainties on other parameters, such as the reduced mass. (A)

D. Intermediate Mass Black Holes

67. **“Ultraluminous X-Ray Sources in External Galaxies”**, A. R. King et al., *Astrophys. J.* **552**, L109 (2001) [arXiv:astro-ph/0104333]. With the launch of sensitive X-ray observatories, a new population of compact, luminous, off-nuclear X-ray sources has been unveiled in external galaxies. While these so-called ‘ultraluminous X-ray sources’ are natural intermediate mass black hole candidates, several –less exotic– explanations are offered in this paper. (I/A)
68. **“A Comparison of Intermediate-Mass Black Hole Candidate Ultraluminous X-Ray Sources and Stellar-Mass Black Holes”**, J. M. Miller, A. C. Fabian and M. C. Miller, *Astrophys. J.* **614**, L117 (2004) [arXiv:astro-ph/0406656]. On the same topic as the previous work, this paper focusses instead on the observational evidence for intermediate mass black holes in a small number of ultraluminous X-ray sources, namely those where the X-ray continuum spectra appear too cold to be due to accreting stellar mass black holes. (I/A)

E. Black Holes: Case Studies

Throughout this section, we list a number of journal papers which report on observational milestones on specific black hole sources, or an ensemble of them. The two last entries are devoted to the case for a 3-4 million solar mass black hole at the center of our own Milky Way. This list is not meant to be complete, but simply to offer a glance at ground-breaking astrophysical discoveries.

69. **“Observation of a Correlated X-Ray Transition in Cygnus X-1”**, H. Tananbaum et al., *Astrophys. J.* **177**, L5 (1972). X-ray astronomy began only in the early 60’s. The first rocket flight to detect a cosmic X-ray source was launched in 1962, by a group at American Science and Engineering. In the 70s, the dedicated X-ray satellite “Uhuru” repeatedly observed a newly discovered X-ray source in the Cygnus constellation (# 70) over a period of 16 months. Its X-ray flux was found to vary significantly, and in correlation with its radio counterpart. The first “black hole state transition” (see # 54) had just been discovered.
70. **“X-ray fluorescence from the inner disc in Cygnus X-1”**, A. C. Fabian et al., *Mon. Not. R. Astron. Soc.* **238**, 729 (1989). It is argued that the broad emission line in the X-ray spectrum of the 10 solar mass black hole candidate in Cygnus X-1 is well accounted for by a model of fluorescent emission from the inner parts of an inclined accretion disk. (I/A)
71. **“A Superluminal Source in the Galaxy”**, I. F. Mirabel and L. F. Rodriguez, *Nat.* **371**, 46 (1994). A seminal paper reporting on the discovery of radio-emitting plasmons traveling with superluminal velocities (apparent velocities greater than the speed of light) within the Milky Way. The accepted explanation is that the plasmons are ejected in opposite directions from the central stellar mass black hole at speeds higher than $0.7c$. If the ejection direction is close to the observer’s line of sight, this can lead to apparent superluminal velocities. (E/I)
72. **“Gravitationally Redshifted Emission Implying an Accretion Disk and Massive Black-Hole in the Active Galaxy MCG 6-30-15”**, Y. Tanaka et al., *Nat.* **375**, 659 (1995). Tanaka and collaborators report on the discovery of relativistic effects in an X-ray emission line from ionized iron in the galaxy MCG 6-30-15. The line is extremely broad and asymmetric, with most of the line flux being redshifted. (I/A)
73. **“Formation of the radio jet in M87 at 100 Schwarzschild radii from the central black hole”** W. Junor, J. A. Biretta and M. Livio, *Nat.*, **401**, 891 (1999). This work reports on high resolution radio observations of the inner regions of the nearby active galaxy M87, which powers a highly collimated jet. The jet radio maps are consistent with the hypothesis that jets are formed by an accretion disk around the central black hole, which is threaded by a magnetic field. (I)

74. **“Inward Bound—The Search For Supermassive Black Holes In Galactic Nuclei”** J. Kormendy and D. Richstone, *Annu. Rev. Astron. Astroph.* **33**, 581 (1995). The discovery of an empirical scaling relation between the mass of nuclear super-massive black holes and the mass of the host galaxy’s bulge. (A)
75. **“A Fundamental Relation between Supermassive Black Holes and Their Host Galaxies”**, L. Ferrarese and D. Merritt, *Astrophys. J.* **539**, L9 (2000) [arXiv:astro-ph/0006053] & **“A Relationship between Nuclear Black Hole Mass and Galaxy Velocity Dispersion”**, K. Gebhardt et al., *Astrophys. J.* **539**, L13 (2000) [arXiv:astro-ph/0006289]. The discovery (by two different groups) of an empirical scaling relation between the mass of nuclear super-massive black holes and the velocity dispersion of stars orbiting much further than the black hole sphere of influence. (A)
76. **“A 20,000 Solar Mass Black Hole in the Stellar Cluster G1”**, K. Gebhardt, R. M. Rich and L. C. Ho, *Astrophys. J.* **578**, L41 (2002) [arXiv:astro-ph/0209313]. At the center of a globular cluster, this object represents the strongest intermediate mass black hole candidate to date. Unlike in the examples listed in section V D, its presence is inferred from dynamics rather than from electromagnetic signals. (A)
77. **“The nucleus of our Galaxy”**, R. Genzel, D. Hollenbach and C. H. Townes, *Reports on Progress in Physics*, **57**, 417 (1994). The subject of this review is the central 100 parsecs of our galaxy, with a strong focus on the central few parsecs. The authors discuss the stellar and interstellar components, the importance of magnetic and gravitational forces, the evidence for stellar formation and a central massive black hole, and the origin and nature of ionization, outflows and interstellar gas dynamics. (I/A)
78. **“High Proper-Motion Stars in the Vicinity of Sagittarius A*^{*}: Evidence for a Supermassive Black Hole at the Center of Our Galaxy”**, A. Ghez, B. L. Klein, M. Morris, E. E. Becklin, *Astrophys. J.* **509**, 678 (1998) [arXiv:astro-ph/9807210]. The description of a groundbreaking astrophysics experiment: The motion of 90 faint stars in the vicinity the galactic center has been followed over a period of two years with the Keck 10m telescope. Their motion allows for the determination of the enclosed mass through Kepler’s laws. A central dark mass of about 3 million solar masses is confined to an area about 10 times smaller than Earth’s orbit around the Sun. The inferred density leads us to the conclusion that our galaxy harbors a massive central black hole. (A)
79. hubblesite.org/gallery/album/exotic_collection/black_hole/, **Space Telescope Science Institute/HST Gallery: Black Holes**. An amazing gallery of AGN pictures, taken by one the most powerful optical eyes ever built. NASA’s crown jewels, the Hubble Space Telescope has provided us with a window to the unexplored since 1990. (E)
80. chandra.harvard.edu/photo/category/blackholes.html, **Chandra X-ray Observatory Photo Album: Black Holes**. Black holes shine in the X-ray band because of the high temperatures attained by the in-falling gas; however, X-rays from space are absorbed by the Earth atmosphere. Since its launch in 1999, the Chandra X-ray Observatory has contributed substantially to our understanding of accreting black holes in the Milky Way as well as external galaxies. This friendly site is a collage of Chandra images, all equipped with accessible explanations. (E)
81. gallery.spitzer.caltech.edu/Imagegallery/subcat.php?cat=Astronomical_Images&subcat=Galaxies_and_the_Universe, **Spitzer Space Telescope Images: Galaxies and the Universe**. The last of NASA’s Great Observatories to fly, Spitzer is a flying infrared telescope: UV/X-rays from accreting super-massive black holes can be partly absorbed by surrounding dust and re-emitted at lower frequencies. Here is how AGN would look like if human eyes were sensitive to the same frequencies as microwaves. (E)

VI. STATICS, DYNAMICS, AND THERMODYNAMICS OF BLACK HOLES

This section addresses the well-established mathematical physics of black holes. We have attempted to impose some structure on this highly-interconnected body of knowledge by dividing the material as follows: Section VI A deals with the statics of black holes, including the construction of stationary solutions, no-hair and uniqueness theorems, some work on hairy black holes, and definitions of horizons. Perturbations, quasi-normal modes, and stability of stationary solutions is addressed in section VIB. We have chosen to include the important subject of extreme-mass in-spirals and the calculation of gravity wave production via post-Newtonian expansions in this section. Section VIC then considers the strongly non-linear dynamics of black holes associated with critical phenomena or with black hole collisions. Most of our discussion of numerical GR can be found here, as is the associated material on gravity waves. Section VID contains resources on black hole thermodynamics, quantum field theory in curved spacetime, and the associated description of Hawking radiation. More microscopic treatments of Hawking radiation and black hole entropy are postponed to section VII. Sections

F. Image Galleries: Black Holes from Space

This all well and fine, one may say. But what is it that we actually *see* when we go up there, outside of the Earth atmosphere? Browsing the following websites, the reader will

VIA-VID primarily focus on black holes in $d = 4$ space-time dimensions; resources on black holes in other spacetime dimensions have been collected in section VIE. We have chosen to include discussions of possible black hole production at particle colliders in this latter section.

The reader should be aware of a few peculiarities that result from this organization. Resources on gravity wave production by black holes appear in both sections VIB and VIC. Resources on isolated and dynamical horizons appear in sections VIA, VIC, VID, and also in section VIIB. There is also much overlap between section VIE (black holes in $d \neq 4$ dimensions) and sections VID, VIIA, and VIII, and between sections VID (thermodynamics) and VIIC (where we have chosen to locate discussions of entropy bounds and the proposed holographic principle, as well as Jacobson's work #139 on the Einstein equation of state). We apologize to the reader for any confusion that this may cause.

A. Statics

82. **'No-Hair' Theorems: Folklore, Conjectures, Results**, P. T. Chrusciel, in *Differential Geometry and Mathematical Physics*, edited by J. Beem and K. L. Duggal (Am. Math. Soc., Providence, 1994) [arXiv:gr-qc/9402032]. **"Uniqueness of Stationary, Electro-Vacuum Black Holes Revisited"**, P. T. Chrusciel, *Helv. Phys. Acta* **69**, 529 (1996). For a related online version see: **"Uniqueness of Stationary, Electro-Vacuum Black Holes Revisited"**, P.T. Chrusciel [arXiv:gr-qc/9610010]. An important property of black holes is that, when left to themselves, they settle down to solutions described by only a few parameters, most importantly their mass M and angular momentum J . In other words, the surface of a black hole *must* be very smooth; even in principle, the surface of a black hole cannot support mountains, valleys, or other complicated features. One says that black holes "have no hair". These papers review and complete classic work on the no-hair theorems. (A)
83. **"Stationary Black Holes: Uniqueness and Beyond"**, Markus Heusler, *Living Reviews in Relativity* (1998), relativity.livingreviews.org/Articles/lrr-1998-6/index.html. In sufficiently complicated settings, the number of parameters needed to describe black holes increases and black holes can support a limited amount of "hair." This review describes the original no-hair theorems as well as some of the more complicated hairy black holes. See also 92 for more on non-abelian black holes. (A)
84. **"Black hole boundaries"**, I. Booth, *Can. J. Phys.* **83**, 1073 (2005) [arXiv:gr-qc/0508107]. Black holes are traditionally defined as regions of space-time from which in principle signals cannot propagate to infinity. Such definitions clearly break down in cosmological settings, where appropriate asymptotic regions may not exist. Booth reviews recent work on alternative "quasi-local" definitions of black hole horizons. See also #100,101,127. (A)
85. **"Quasi-Normal Modes of Stars and Black Holes"**, K. D. Kokkotas and B. Schmidt, *Living Reviews in Relativity* (1999), <http://relativity.livingreviews.org/Articles/lrr-1999-2/>. When a black hole is perturbed, it 'rings' with a characteristic set of frequencies. However, the amplitude at each frequency decays as energy falls through the horizon and radiates to infinity. As a result, the solutions associated with this ringing are called "quasi-normal" modes. Kokkotas and Schmidt review such perturbations for both black holes and relativistic stars, and in the process review the stability of black holes. See also #86. (A)
86. **"Quasi-Normal Modes and Gravitational Wave Astronomy"**, V. Ferrari and L. Gualtieri, *Gen. Rel. Grav.* **40**, 945 (2008) [arXiv:0709.0657 [gr-qc]]. A review of quasi-normal modes with emphasis on possible detection of the associated gravitational waves. See also # 85. (A)
87. **"Extreme Mass Ratio Inspirals: LISA's unique probe of black hole gravity"**, K. Glampedakis, *Class. Quant. Grav.* **22**, S605 (2005) [arXiv:gr-qc/0509024]. The proposed LISA gravitational radiation detector is expected to be sensitive to gravity waves produced when stars or stellar mass black holes spiral into supermassive black holes. In such cases the extreme ratio between the two black hole masses provides a small parameter that allows controlled calculations of both these gravitational waves and the back-reaction on the small body's orbit. This review begins with free particle motion on the black hole background and builds up toward more complicated calculations. (A)
88. **Analytic Black Hole Perturbation Approach to Gravitational Radiation**, M. Sasaki and H. Tagoshi, *Living Reviews in Relativity* (2003), relativity.livingreviews.org/Articles/lrr-2003-6/index.html. A slightly more advanced review of extreme mass in-spirals describing both the relevant perturbative and post-Newtonian techniques. (A)
89. **"Resource letter GrW-1: Gravitational waves"**, J. M. Centrella, *Am. J. Phys.* **71**, 520 (2003) [arXiv:gr-qc/0211084]. Centrella's resource letter is an excellent guide to the pre-2003 literature on the production of gravity waves by events involving black holes. (E/I/A)
90. **Black Holes: The Membrane Paradigm**, by K. S. Thorne, D. A. MacDonald, and R. H. Price (Yale University Press, 1986). This compilation of classic papers shows that black hole horizons respond to outside perturbations like a two-dimensional viscous fluid or membrane. The horizon conducts electricity but (at least classically) does not conduct heat. As a result, horizons are described by both the Navier-Stokes equations and Ohm's law. (A)
91. **"The internal structure of black holes"**, W. Israel, in *Black holes and relativistic stars*, edited by R. Wald (U. of Chicago Press, Chicago, 1998). Analytic solutions

for charged and rotating black holes contain a so-called Cauchy horizon at which predictability appears to break down. However, this Cauchy horizon is unstable to the formation of a singularity (where quantum effects clearly become relevant). This concise summary of black hole interiors provides a good introduction and guide to the pre-1998 literature on Cauchy-horizon instabilities and the resulting phenomenon of mass inflation. (A)

- 92. Internal Structure of Black Holes and Spacetime Singularities: Proceedings**, Annals of the Israel Physical Society **13**, edited by L. M. Burko and A. Ori (Institute of Physics Publishing, London, 1998). This volume contains a number of excellent articles on subjects ranging from Cauchy horizon instabilities (in diverse contexts) to the internal structure of non-Abelian black holes. (A)

C. Non-linear Black Hole Dynamics: Numerical GR and Black Hole collisions

- 93. “Critical Phenomena in Gravitational Collapse”**, C. Gundlach, Living Reviews in Relativity (1999), relativity.livingreviews.org/Articles/lrr-1999-4/index.html. In 1993 Matt Choptuik discovered a kind of critical phenomenon associated with black hole formation in certain contexts. For spherically symmetric scalar fields, he considered generic 1-parameter families of initial data that interpolate between weakly gravitating data (which does not form a black hole) and strongly gravitating data (which does). At the threshold of black-hole formation one finds a complicated, singular, scale-periodic solution while black holes of arbitrarily small mass arise close to this threshold. Related phenomena were later found in many systems. Gundlach reviews the modern understanding of this field. (A)
- 94. “Binary Black Hole Coalescence”**, F. Pretorius, Lecture Note to appear in *Relativistic Objects in Compact Binaries: From Birth to Coalescence*, edited by Colpi et al. (Springer Verlag, Canopus Publishing Limited) [arXiv:0710.1338]. The coalescence of two black holes of comparable mass is a difficult problem, best treated by numerical techniques. (See # 87, 88 for the case of extreme mass ratios.) After many years of effort, such methods have recently become quite successful at calculating the properties of the final black hole and producing detailed waveforms for gravitational radiation. Pretorius reviews these techniques, their results, and the implications for astrophysics and other fields. (A)
- 95. “Initial Data for Numerical Relativity”**, G. Cook, Living Reviews in Relativity (2000), relativity.livingreviews.org/Articles/lrr-2000-5/index.html. Extracting useful information from numerical simulations requires starting with appropriate initial data. Cook describes the current methods and their limitations, with an eye toward future progress. (A)

- 96. “Introduction to Isolated Horizons in Numerical Relativity”**, O. Dreyer, B. Krishnan, D. Shoemaker and E. Schnetter, Phys. Rev. D **67**, 024018 (2003) [arXiv:gr-qc/0206008]. Dreyer et al describe methods for using isolated-horizon techniques (see # 84,100, 101, 127) to compute the mass M and angular momentum J of black holes in numerical simulations. (A)

D. Black Hole Thermodynamics and Hawking radiation

See also # 110, 117-119.

- 97. “The Four Laws of Black Hole Mechanics”**, J. M. Bardeen, B. Carter, and S. W. Hawking, Commun. Math. Phys. **31**, 161, (1973). This seminal paper derives a “first law of black hole mechanics” with striking similarities to the first law of thermodynamics. The authors argue that black holes also satisfy analogues of the 0th, 2nd, and 3rd laws of thermodynamics. The idea that black holes are thermodynamic systems is now widely regarded as a fundamental clue to the nature of quantum gravity. (A)
- 98. “Black Holes and Entropy”**, J. D. Bekenstein, Phys. Rev. D **7**, 2333, (1973). **“Generalized Second Law of Thermodynamics in Black-Hole Physics”**, J. D. Bekenstein, Phys. Rev. D **9**, 3292, (1974). These foundational works suggest that the area of a black hole measures its entropy and formulate the generalized second law of thermodynamics: that the summed entropy of matter plus black holes should not decrease. (A)
- 99. “The thermodynamics of black holes”**, R. Wald, Living Reviews in Relativity (2001), relativity.livingreviews.org/Articles/lrr-2001-6/index.html. Wald provides a comprehensive survey of black-hole thermodynamics and the generalized second law. A detailed guide to the literature is presented, to which the reader is referred for derivations. Hawking radiation and associated issues are also discussed. (I/A)
- 100. Black Hole Mechanics**, A. Ashtekar, in *Encyclopedia of Mathematical Physics*, 300, (Elsevier, 2006). Also available at cgpg.gravity.psu.edu/people/Ashtekar/articles/bhm.pdf Ashtekar briefly reviews the standard formulation of black hole mechanics and then describes the more local isolated- and dynamical-horizon formulations. See also #84,101,127. (A)
- 101. “Isolated and Dynamical Horizons and Their Applications”**, A. Ashtekar and B. Krishnan, Living Reviews in Relativity (2004), relativity.livingreviews.org/Articles/lrr-2004-10/. This more thorough review begins with the basics of the isolated and dynamical horizon quasi-local formalism for black hole thermodynamics, but proceeds to address applications in numerical GR, mathematical physics, and quantum gravity. (A)

- 102. “Particle Creation by Black Holes”,** S. W. Hawking, Commun. Math. Phys. **43**, 199 (1975). Hawking shows that, due to quantum effects, black holes emit thermal radiation at (very low) temperature set by their so-called surface gravity. This is the original paper on such Hawking radiation. The calculation identifies the black hole entropy with $A/4\ell_p^2$ where A is the event horizon area and ℓ_p is the so-called Planck length. (A)
- 103. “Introduction to quantum fields in curved spacetime and the Hawking effect”,** T. Jacobson in *Lectures on Quantum Gravity*, edited. by A. Gomberoff and D. Marolf (Springer, New York, 2005) [arXiv:gr-qc/0308048]. Based on lectures given at a summer school, Jacobson’s notes provide a very physical introduction to quantum fields in curved spacetime and the Hawking effect. This is an excellent reference for students new to the subject. Cosmological particle creation, transplanckian questions, and other issues are also addressed. The focus is on Lorentzian techniques. (A)
- 104. “Black hole thermodynamics”,** S. F. Ross (2005) [arXiv:hep-th/0502195]. Also based on lectures at a summer school, Ross’ notes are a good complement to #103 above. This work includes a brief introduction to black holes and a review of classical black hole thermodynamics. Ross also discusses quantum field theory in curved spacetime, but emphasizes Euclidean techniques. (A)
- 105. Quantum Fields in curved space,** N. D. Birrell and P. C. W. Davies (Cambridge, 1982). A classic textbook on quantum field theory in curved spacetime. This text begins with the basics and works up through the derivation of Hawking radiation. (A)
- 106. Quantum Field Theory in Curved Spacetime and Black Hole Thermodynamics,** R. M. Wald (U. Chicago Press, Chicago, 1994). This brief text quickly summarizes these fields as of 1994. The approach is somewhat more mathematical than #103-105. (A)
- 107. “Path Integral Derivation Of Black Hole Radiance”,** J. B. Hartle and S. W. Hawking, Phys. Rev. D **13**, 2188 (1976). **“Action Integrals and Partition Functions in Quantum Gravity”,** G. Gibbons and S. W. Hawking, Phys. Rev. D **15**, 2752 (1977). Together, these two papers introduce Euclidean techniques into black hole thermodynamics. Hartle and Hawking use analytic continuation to derive Hawking radiation, while Gibbons and Hawking introduce the use of Euclidean solutions to approximate the gravitational partition functions. (A)
- 108. “Black hole entropy is the Noether charge”,** R. M. Wald, Phys. Rev. D **48**, 3427 (1993) [arXiv:gr-qc/9307038]. **“Some properties of Noether charge and a proposal for dynamical black hole entropy”,** V. Iyer and R. M. Wald, Phys. Rev. D **50**, 846 (1994) [arXiv:gr-qc/9403028]. **“A Comparison of Noether charge and Euclidean methods for computing the entropy of stationary black holes”,** Phys. Rev. D **52**, 4430 (1995) [arXiv:gr-qc/9503052].
- These works generalize the first law of black hole thermodynamics to theories more complicated than just Einstein gravity plus matter. Higher derivative theories are included. (A)
- E. Black Holes in dimensions $d \neq 4$**
- 109. “The Black hole in three-dimensional space-time”,** M. Banados, C. Teitelboim and J. Zanelli, Phys. Rev. Lett. **69**, 1849 (1992) [arXiv:hep-th/9204099]. **“Geometry of the (2+1) black hole”,** M. Banados, M. Henneaux, C. Teitelboim and J. Zanelli, Phys. Rev. D **48**, 1506 (1993) [arXiv:gr-qc/9302012]. In three dimensions, black holes arise only in the presence of a negative cosmological constant ($\Lambda < 0$). These so-called BTZ black holes are particularly simple, as they are quotients of 2+1 Anti-de Sitter space. The above works introduce such solutions and study their properties. (I/A)
- 110. “Dilaton gravity in two dimensions”,** D. Grumiller, W. Kummer and D. V. Vassilevich, Phys. Rept. **369**, 327 (2002) [arXiv:hep-th/0204253]. Many calculations simplify in 1+1 dimensions. While there is no meaningful theory of Einstein gravity in 1+1 dimensions, the so-called dilaton gravity theories capture some of the same physics and can be related to Einstein gravity in higher dimensions. This work reviews such models, with emphasis on black hole solutions, Hawking radiation, and quantum effects. (A)
- 111. “Black Holes in Higher Dimensions”,** R. Emparan and H. S. Reall, to appear in Living Reviews in Relativity [arXiv:0801.3471]. The space of black hole solutions and behaviors becomes much richer in $d > 4$ dimensions where one finds black strings, black branes, and black rings in addition to the natural generalizations of 3+1 black holes. New behaviors arise as well, as some black objects are unstable and appear to lead to violations of certain cosmic censorship conjectures. Emparan and Reall review these solutions, the techniques used to construct them, their thermodynamics, and what is known about their dynamics. The section on open problems may be of particular interest for the motivated student. (A)
- 112. “Black rings”,** R. Emparan and H. S. Reall, Class. Quant. Grav. **23**, R169 (2006) [arXiv:hep-th/0608012]. This more focused review addresses both the classical physics and microscopic string theory of black rings. (A)
- 113. “The phase transition between caged black holes and black strings: A review”,** B. Kol, Phys. Rept. **422**, 119 (2006) [arXiv:hep-th/0411240]. In $d > 4$ dimensions, which sort of black hole solution is most stable can depend on the size of the box or “cage” in which it is placed. Large boxes favor black holes, while small boxes favor black strings or branes. Kol reviews the complex structure associated with the associated phase transitions. (A)

114. **“Black holes and solitons in string theory”**, D. Youm, Phys. Rept, **316**, 1 (1999) [arXiv:hep-th/9710046]. An encyclopedic review of black-hole and black-brane solutions in string theory as of 1997. (A)
115. **“BPS branes in supergravity”**, K. S. Stelle, in *1997 Summer school in high energy physics and cosmology*, edited by E. Gava et al. (World Scientific, New Jersey, 1998) [arXiv:hep-th/9803116]. Stelle introduces brane solutions in supergravity, discussing super-symmetry, Kaluza-Klein reduction, and low-velocity scattering of branes. (A)
116. **“String/M-branes for relativists”**, D. Marolf, in *Proceedings of the 3rd Mexican School on Gravitation and Mathematical Physics*, [arXiv:gr-qc/9908045]. A brief introduction to stringy branes, intended to convey some basic aspects of brane physics and perspectives on string theory to those trained in GR. (E/I/A)
117. **“Black holes at future colliders and beyond: A review”**, G. L. Landsberg (2002) [arXiv:hep-ph/0211043]. If our universe has more than four dimensions, then it may be possible to produce black holes at particle colliders, or they may be produced naturally in our atmosphere due to the impacts of cosmic rays. Landsberg reviews the status of these ideas as of 2002, including the signatures of such events that could be used to detect them. (A)
118. **“High-energy black hole production”**, S. B. Giddings, AIP Conf. Proc. **957**, 69 (2007) [arXiv:0709.1107]. A brief but more recent review of the possible production and detection of TeV-scale black holes. Includes a discussion of open problems. (A)
119. **“Black holes in theories with large extra dimensions: A review”**, P. Kanti, Int. J. Mod. Phys. A **19**, 4899 (2004) [arXiv:hep-ph/0402168]. A more thorough review of mini black holes in models with large extra dimensions, focussing on their decay processes. (A)

VII. BLACK HOLE MICROPHYSICS

The resources below address the deep microphysics of black holes. The reader should be aware that most of these works make certain assumptions about the quantum nature of gravity and space-time which are beyond the reach of current experiments. We have attempted to sort this material on the basis of these assumptions into string theory (section VII A), loop quantum gravity (section VII B), and other (section VII C). Section VII C includes not only other approaches to quantum gravity, but also discussions of entropy bounds, the proposed holographic principle, black hole complementarity, and Jacobson’s work on the Einstein equation of state #139.

Certain overlaps and ambiguities are of course unavoidable. The string theory material is closely related to that of sections VI E (higher dimensions) and VIII and has at least historical connections to some material in section VII C, while the loop

gravity work below is intimately tied to the treatments of isolated and dynamical horizons #84,100, and 101 (which we have chosen to list again as #130). There is also much overlap between sections VII C and VI D.

A. Black Hole Microphysics in String Theory

120. **String Theory, Vols. 1 & 2**, J. Polchinski (Cambridge University Press, Cambridge, 1998). **D-branes**, C. Johnson (Cambridge University Press, Cambridge, 2003). **String Theory and M-Theory: A Modern Introduction**, K. Becker, M. Becker, and J. Schwarz (Cambridge University Press, Cambridge, 2007). **String theory in a Nutshell**, E. Kiritsis (Princeton University Press, Princeton, 2007). These standard string theory textbooks each include at least a chapter on black holes in string theory. They are designed for readers with a working knowledge of quantum field theory and gauge theories in addition to the usual undergraduate physics material. (A)
121. **“Resource letter: The nature and status of string theory”**, D. Marolf, Am. J. Phys. **72**, 730 (2004) [arXiv:hep-th/0311044]. This resource letter contains a more comprehensive guide to the string theory literature, including the pre-2004 literature on stringy black holes. (A)
122. **“TASI lectures on black holes in string theory”**, A. W. Peet, in *Strings, Branes, and Gravity*, edited by J. Harvey, S. Kachru, and E. Silverstein (World Scientific, Singapore, 2001) [arXiv:hep-th/0008241]. Peet’s thorough introduction to black holes and branes takes the reader up though a stringy treatment of black-hole entropy and Hawking radiation. (A)
123. **“The Quantum Physics Of Black Holes: Results From String Theory,”** S. R. Das and S. D. Mathur, Ann. Rev. Nucl. Part. Sci. **50**, 153 (2000) [arXiv:gr-qc/0105063]. This review takes the reader as directly as possible to the stringy description of black-hole entropy and Hawking radiation. (A)
124. **“Microscopic formulation of black holes in string theory”**, J. R. David, G. Mandal and S. R. Wadia, Phys. Rept **369**, 549 (2002) [arXiv:hep-th/0203048]. The authors review the calculation of black-hole properties from string theory for the case of the so-called nearly-extreme D1-D5 black hole. Many important details of the relevant gauge and conformal field theories are discussed, leading to black-hole thermodynamics and Hawking radiation. (A)
125. **Black holes in string theory**, J. M. Maldacena, [arXiv:hep-th/9607235]. Maldacena’s Ph.D. thesis was not written as an introduction for outsiders, but does contain detailed treatments of gauge-theory aspects relevant to the stringy counting of black-hole entropy that are hard to find in other sources. Other useful aspects of brane and black-hole physics are also described. (A)

126. **“The fuzzball proposal for black holes: An elementary review”**, S. D. Mathur, *Fortschritte der Physik* **53**, 793 (2005) [arXiv:hep-th/0502050]. Mathur reviews a particular set of speculations about black hole microphysics in string theory. This proposal is by no means established, but has attracted significant interest. (A)

B. Black Hole Microphysics in Loop Quantum Gravity

127. **“Interface of general relativity, quantum physics and statistical mechanics: Some recent developments”**, A. Ashtekar, *Annalen Phys.* **9**, 178 (2000) [arXiv:gr-qc/9910101]. Ashtekar provides an introductory overview of both isolated and dynamical horizons and black hole entropy in loop quantum gravity. This work attempts to be as non-technical as possible, and makes a good first introduction to the subject. (I/A)
128. **“Quantum geometry and black hole entropy”**, A. Ashtekar, J. Baez, A. Corichi and K. Krasnov, *Phys. Rev. Lett.* **80**, 904 (1998) [arXiv:gr-qc/9710007]. Microstates of black holes are counted in loop quantum gravity. Their number agrees with the Bekenstein-Hawking entropy up to issues associated with the so-called Immirzi parameter. (A)
129. **“Quantum horizons and black hole entropy: Inclusion of distortion and rotation”**, A. Ashtekar, J. Engle and C. Van Den Broeck, *Class. Quant. Grav.* **22**, L27 (2005) [arXiv:gr-qc/0412003]. The state counting of #128 is generalized to include distorted and rotating black holes. (A)
130. **“Isolated and Dynamical Horizons and Their Applications”**, A. Ashtekar and B. Krishnan, *Living Reviews in Relativity*, (2004), relativity.livingreviews.org/Articles/lrr-2004-10/. Ashtekar and Krishnan review the loop quantum gravity description of black holes and provide a thorough discussion of background material. (A)

C. Other Microphysics and Black Hole Entropy

131. **“Black Hole Thermodynamics from Euclidean Horizon Constraints”**, S. Carlip, *Phys. Rev. Lett.* **99**, 021301 (2007) [arXiv:gr-qc/0702107]. Carlip investigates whether the Bekenstein-Hawking entropy $A/4\ell_p^2$ might be determined by subtle symmetries of black holes, independent of the detailed microscopic theory of quantum gravity. (A)
132. **“Black Hole Entropy as Causal Links”**, D. Dou and R. D. Sorkin, *Found. Phys.* **33**, 279 (2003) [arXiv:gr-qc/0302009]. Within the causal set approach to quantum gravity, Dou and Sorkin show that the number of causal links crossing the horizon is proportional to the black hole area. (A)
133. **“The Entropy Of The Vacuum Outside A Horizon”**, R. D. Sorkin, in *Proceedings of the GR10 Conference*,

edited by B. Bertotti, F. de Felice, A. Pascolini (Consiglio Nazionale della Ricerche, Roma, 1983). **“A Quantum Source Of Entropy For Black Holes”**, L. Bombelli, R. K. Koul, J. H. Lee and R. D. Sorkin, *Phys. Rev. D* **34**, 373 (1986). **“Entropy and area”**, M. Srednicki, *Phys. Rev.* **71** 666, (1993) [arXiv:hep-th/9303048]. These works introduce the idea that black hole entropy might fundamentally describe correlations in the vacuum across the horizon of the black hole. The corresponding entropy diverges in quantum field theory but can be made to agree with the Bekenstein-Hawking entropy $A/4\ell_p^2$ by imposing a cut-off at the Planck length. (A)

134. **“On The Quantum Structure Of A Black Hole”**, G. 't Hooft, *Nucl. Phys. B* **256**, 727 (1985). A calculation much like that of #133 is presented, but from a different perspective. In this so-called brick wall model, the entropy of a black hole is associated with states of quantum fields outside of, but close to, the horizon. (A)
135. **“Black hole horizon fluctuations”**, A. Casher, F. Englert, N. Itzhaki, S. Massar and R. Parentani, *Nucl. Phys. B* **484**, 419 (1997) [arXiv:hep-th/9606106]. **“How wrinkled is the surface of a black hole?”**, R. D. Sorkin, in *Proceedings of the First Australasian Conference on General Relativity and Gravitation* (1996) [arXiv:gr-qc/9701056]. **“On the quantum width of a black hole horizon,”** D. Marolf, *Springer Proc. Phys.* **98**, 99 (2005) [arXiv:hep-th/0312059]. We include these works as a counterpoint to # 133, #134. They argue that a natural cut-off occurs at a larger length scale, so that the vacuum entanglement entropy is negligible compared with $A/4\ell_p^2$. (A)
136. **“TASI lectures on the holographic principle”**, D. Bigatti and L. Susskind, in *Strings, Branes, and Gravity*, edited by J. Harvey, S. Kachru, and E. Silverstein (World Scientific, Singapore, 2001) [arXiv:hep-th/0002044]. This surprisingly non-technical work reviews a number of interesting but controversial ideas about black hole microphysics ranging from black hole complementarity to entropy bounds and the holographic principle: the assertion that the number of states of a region of space is determined by the area of its boundary. The more established anti-de Sitter/conformal field theory correspondence is discussed as an example of these ideas. (I)
137. **“The holographic principle”**, R. Bousso, *Rev. Mod. Phys.* **74**, 825 (2002) [arXiv:hep-th/0203101]. Bousso provides a thorough review of entropy bounds, and related issues. Applications and examples are presented and some relevant black-hole physics is reviewed. Much of this discussion is accessible to non-experts. (I)
138. **“Acceleration Radiation And Generalized Second Law Of Thermodynamics”**, W. G. Unruh and R. M. Wald, *Phys. Rev. D* **25**, 942 (1982). **“Entropy Bounds, Acceleration Radiation, And The Generalized Second Law,”** W. G. Unruh and R. M. Wald, *Phys. Rev. D* **27**, 2271 (1983). **“On the status of highly entropic objects”**,

D. Marolf and R. D. Sorkin, Phys. Rev. D **69**, 024014 (2004) [arXiv:hep-th/0309218]. “**Notes on spacetime thermodynamics and the observer-dependence of entropy**”, D. Marolf, D. Minic and S. F. Ross, Phys. Rev. D **69**, 064006 (2004) [arXiv:hep-th/0310022]. We include these works as a counterpoint to some of the arguments in #136 and #137. See also #99. (A)

- 139. “Thermodynamics of space-time: The Einstein equation of state”**, T. Jacobson, Phys. Rev. Lett. **75**, 1260 (1995) [arXiv:gr-qc/9504004]. All horizons in general relativity share the basic features of black hole thermodynamics. This intriguing paper shows that the usual derivations can be reversed: by assuming that the first law holds for all horizons in its standard form, one can derive the full dynamics of Einstein gravity. (A)

VIII. CONNECTIONS TO NUCLEAR AND CONDENSED MATTER PHYSICS

This brief section addresses connections between black hole physics and that of the at first sight unrelated fields of nuclear and condensed matter physics. The resources below are of two types. First, #140 reviews the use of established hydrodynamics and condensed matter physics to design analogues of black holes in certain fluid systems and Bose-Einstein condensates. In contrast, the remaining works attempt to use black holes and a bit of string theory as mathematical tools to understand quark gluon plasmas (#141) and condensed matter physics (#142). It will be very interesting to see how these ideas develop in the near future.

- 140. “Analogue gravity”**, C. Barcelo, S. Liberati and M. Visser, Living Reviews in Relativity (2005), relativity.livingreviews.org/Articles/lrr-2005-12/. The authors review the vast literature on analogues of black holes in fluid and condensed matter systems. Both classical properties of black holes and Hawking radiation are discussed. (A)
- 141. “String Theory and Quantum Chromodynamics”**, D. Mateos, Class. Quant. Grav. **24**, S713 (2007)

[arXiv:0709.1523]. The so-called gauge/gravity dualities of string theory imply that certain theories of gravity can be used to calculate properties of what might appear to be completely unconnected quantum field theories. The best-studied example of this is the anti-de Sitter/conformal field theory correspondence (AdS/CFT). Finite temperature effects in the quantum field theory are associated with black holes in the gravitational theory. Mateos reviews attempts over the last few years to use this correspondence to understand the physics of quark-gluon plasmas such as those currently being generated at the Relativistic Heavy Ion Collider (RHIC) in Brookhaven, New York. Some background in gauge theory and string theory is required. (A)

- 142. “Quantum critical transport, duality, and M-theory”**, C. P. Herzog, P. Kovtun, S. Sachdev and D. T. Son, Phys. Rev. D **75**, 085020 (2007) [arXiv:hep-th/0701036]. “**Theory of the Nernst effect near quantum phase transitions in condensed matter, and in dyonic black holes**”, S. A. Hartnoll, P. K. Kovtun, M. Muller and S. Sachdev, Phys. Rev. B **76**, 144502 (2007) [arXiv:0706.3215]. Strongly coupled quantum effects are believed to play an important role in certain condensed matter systems, such as high-temperature superconductors. Near a phase transition these effects should be described by a strongly coupled conformal field theory. As such, they may be amenable to study via the AdS/CFT correspondence. These papers begin what will surely be a long process of attempting to do so. (A)

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